

## **The 2<sup>nd</sup> Bishop Lecture**

# **Advanced laboratory testing in research and practice**

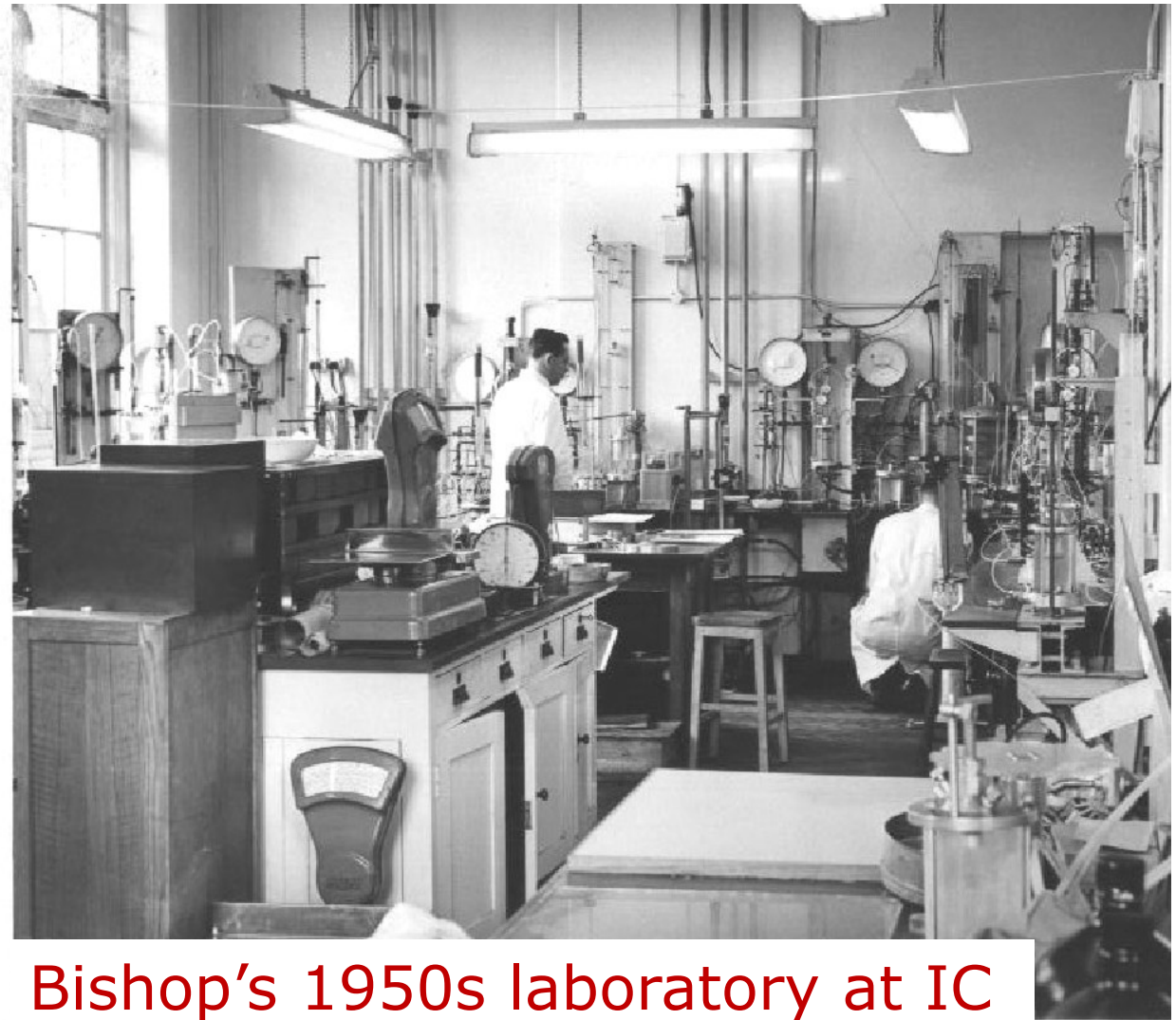
**Paris ICSMGE, 2<sup>nd</sup> September 2013**

Richard Jardine

## Legacy

TC-101 honouring  
Prof A W Bishop  
1920-1988

Analyst,  
Experimentalist,  
Equipment designer



Bishop's 1950s laboratory at IC

Life, work and archived papers:  
[www.cv.ic.ac.uk/SkemArchive/index.htm](http://www.cv.ic.ac.uk/SkemArchive/index.htm)

# **Bishop's last keynote: Stockholm ICSMFE 1981:**

## **With 70 former and current IC group members**

Sampling &  
Advanced  
Laboratory  
testing:

Equipment &  
techniques



Rigour, meticulous attention to detail,  
engineering application

## Lecture themes

Following Bishop & TC101: special capabilities & practical value of Advanced Laboratory Testing

Contributions: Author, colleagues & French co-workers

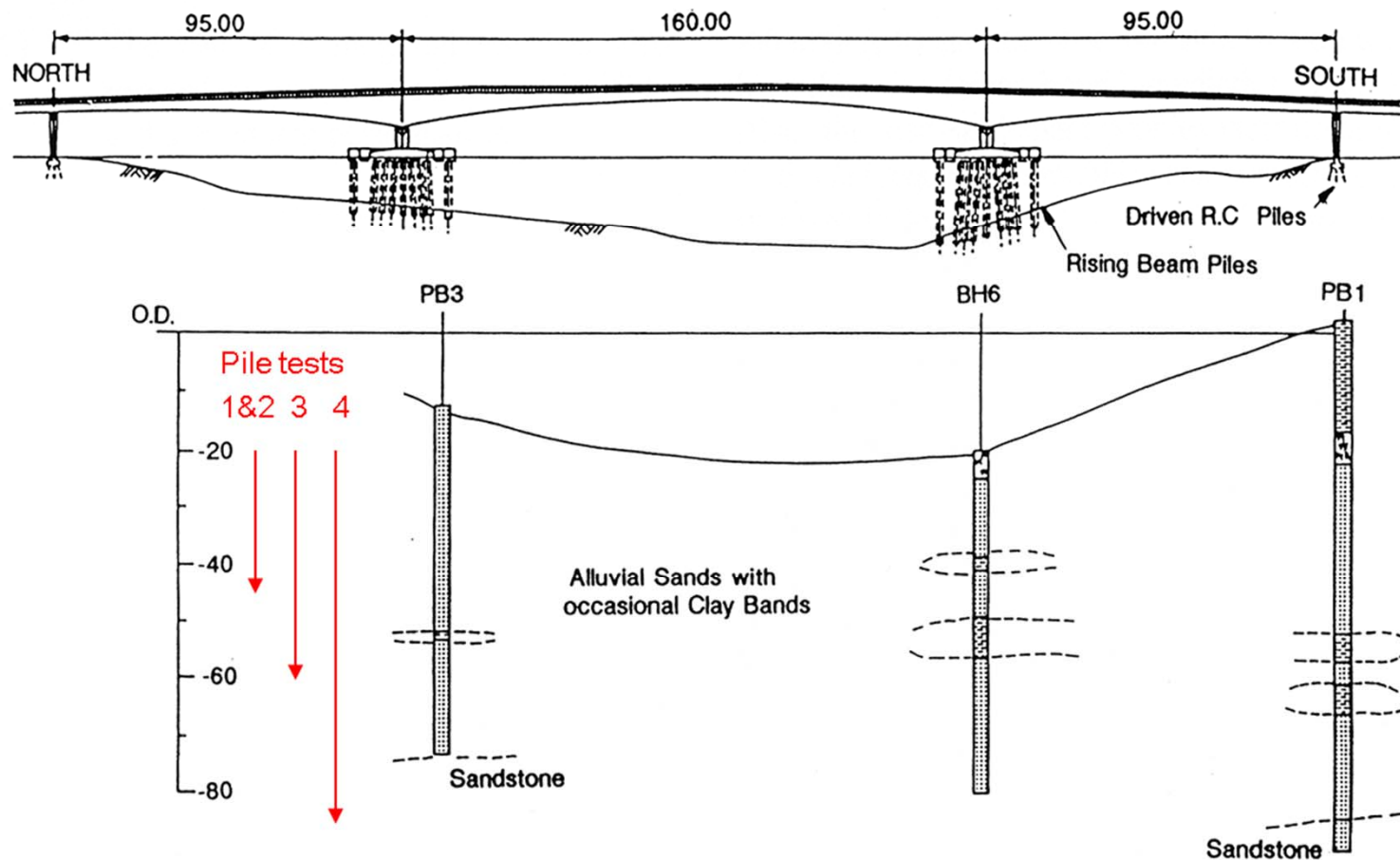
Integration of laboratory & field research with analysis

Example of application considered fully resistant to 'theoretical refinement': Piles driven in sand

For clays: see Jardine et al 2012



# Mainstream Civil Engineering: see Williams et al 1997



Sungai Perak, Malaysia: 160m central span

# Offshore Energy applications

## Oil and gas platforms



Overy 2007



Piled tripods for Wind-turbines:  
Borkum West II German N. Sea  
Merritt et al 2012

# Research agenda: set by field experience

**Axial capacity** - improved after field research in France:  
Lehane et al 1993, Chow 1997, ICP-05 (Jardine et al 2005)

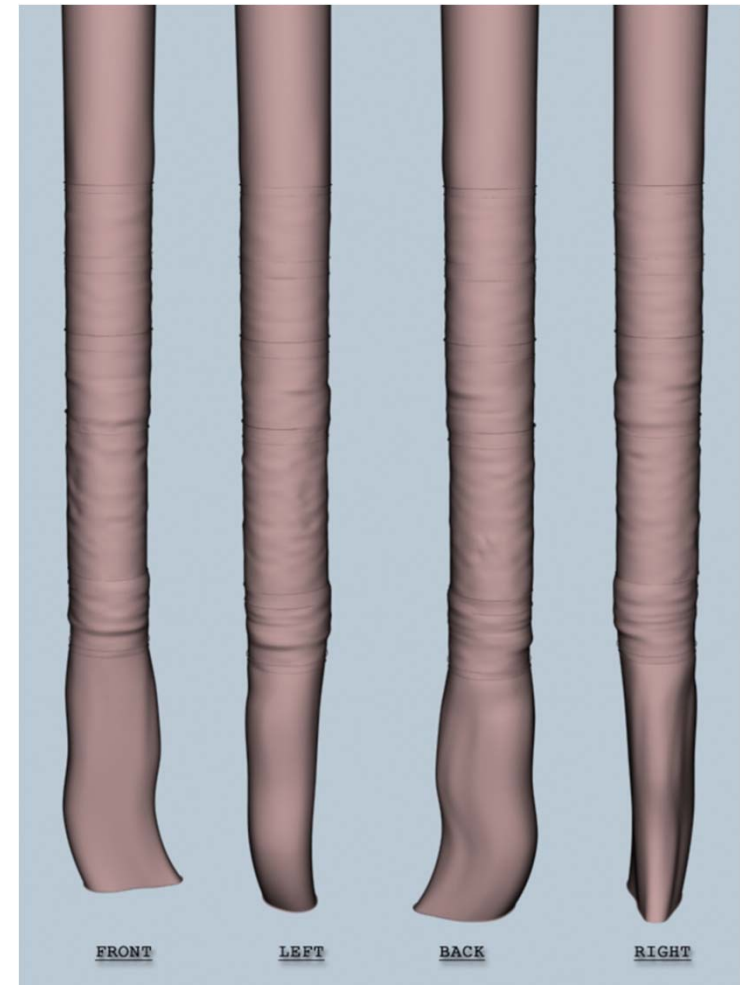
**Installation stresses?** Tip buckling

Other observations revealed by  
large-scale Dunkerque tests

**Creep & ageing:** affects axial  
capacity & stiffness

**Non-linear stiffness:** axial,  
lateral & rotational

**Cyclic loading:** potential impact





# Dunkerque programme:

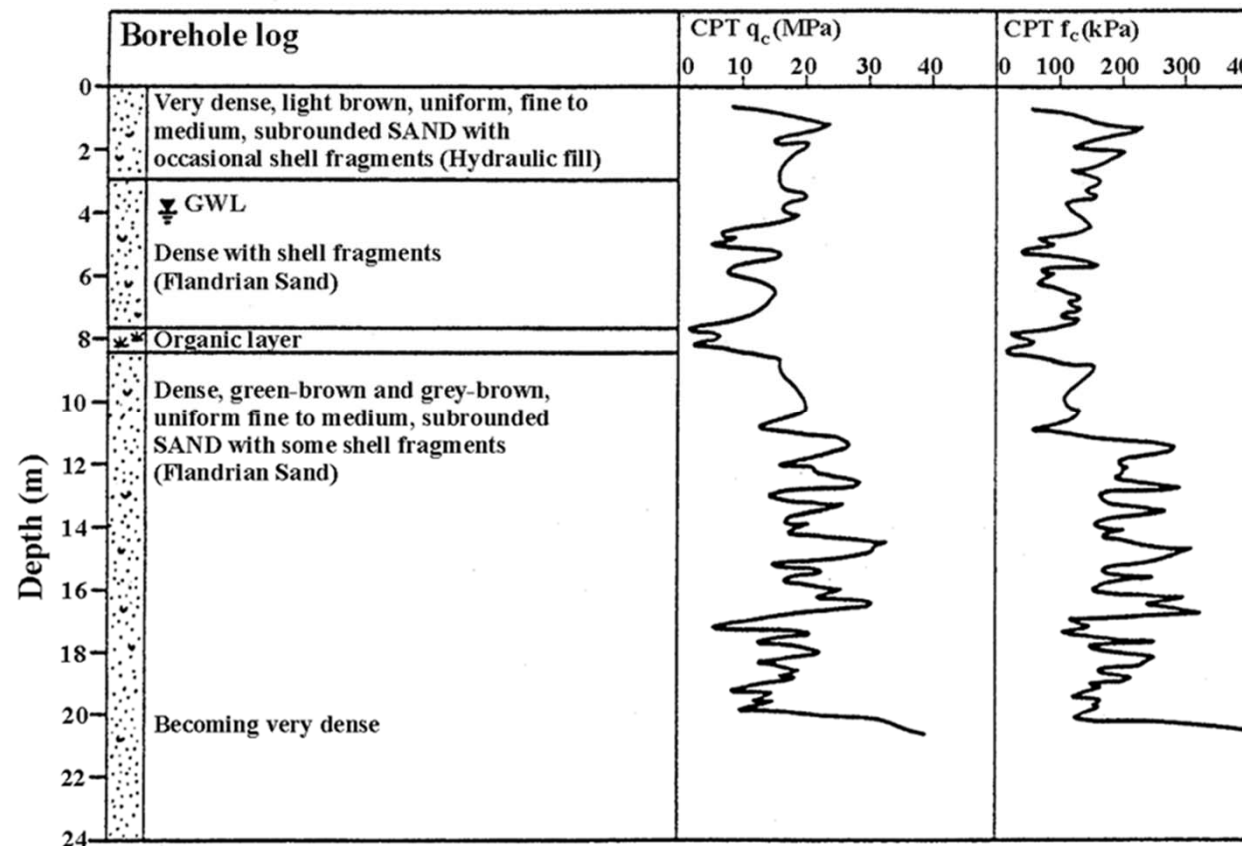
Dense marine sand

Eight steel pipe piles  
457mm OD, 19m

Static & cyclic loading

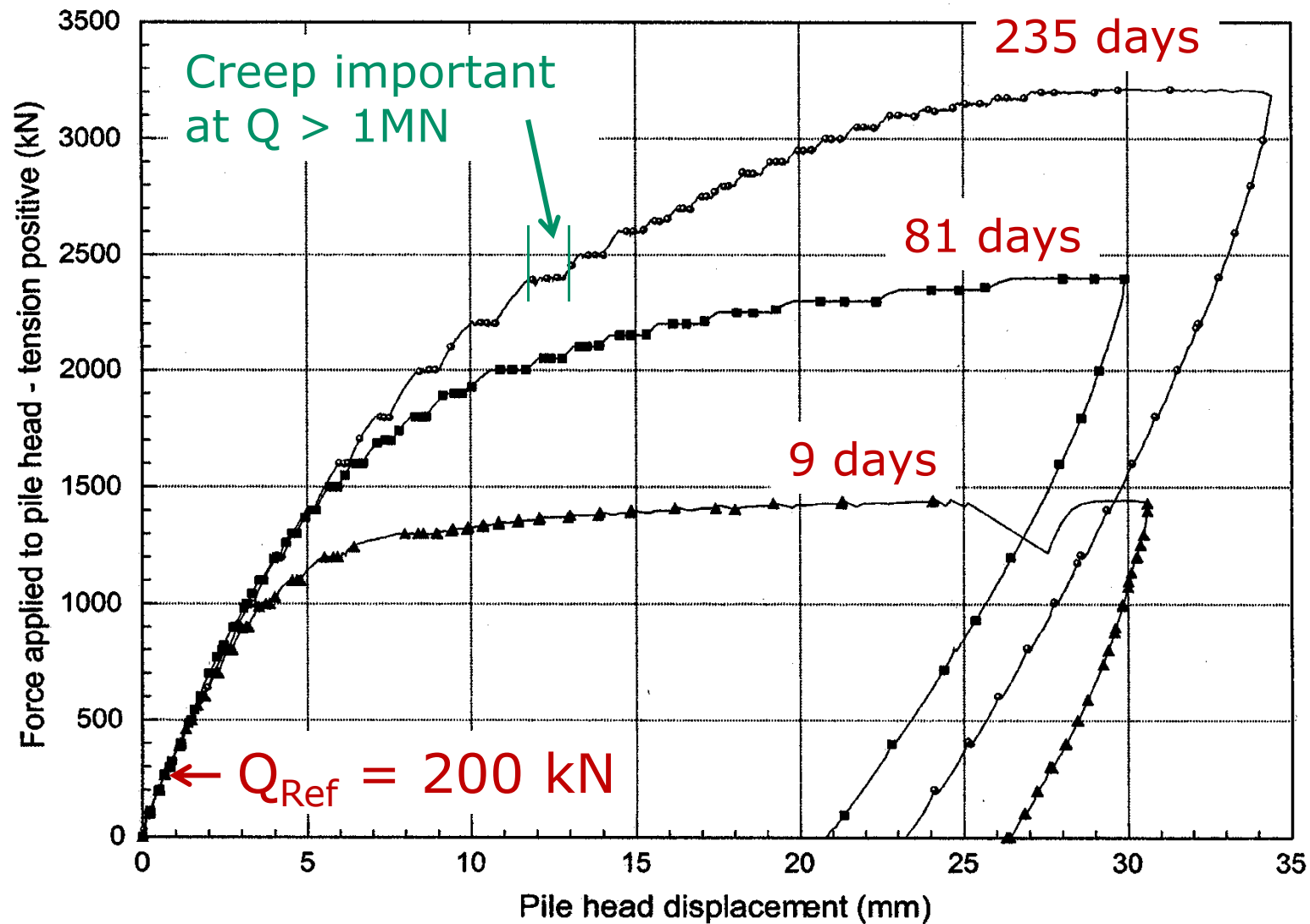
9 days to 1 year after  
driving

Jardine et al 2006  
Jardine & Standing 2012





# Ageing, creep & non-linear axial shaft stiffness,



1<sup>st</sup> tension tests varying with age

# Non-linear axial stiffness: first time tension tests

Head loads  $Q$ , displacements  $\delta$

Pile stiffness  $k = Q/\delta$

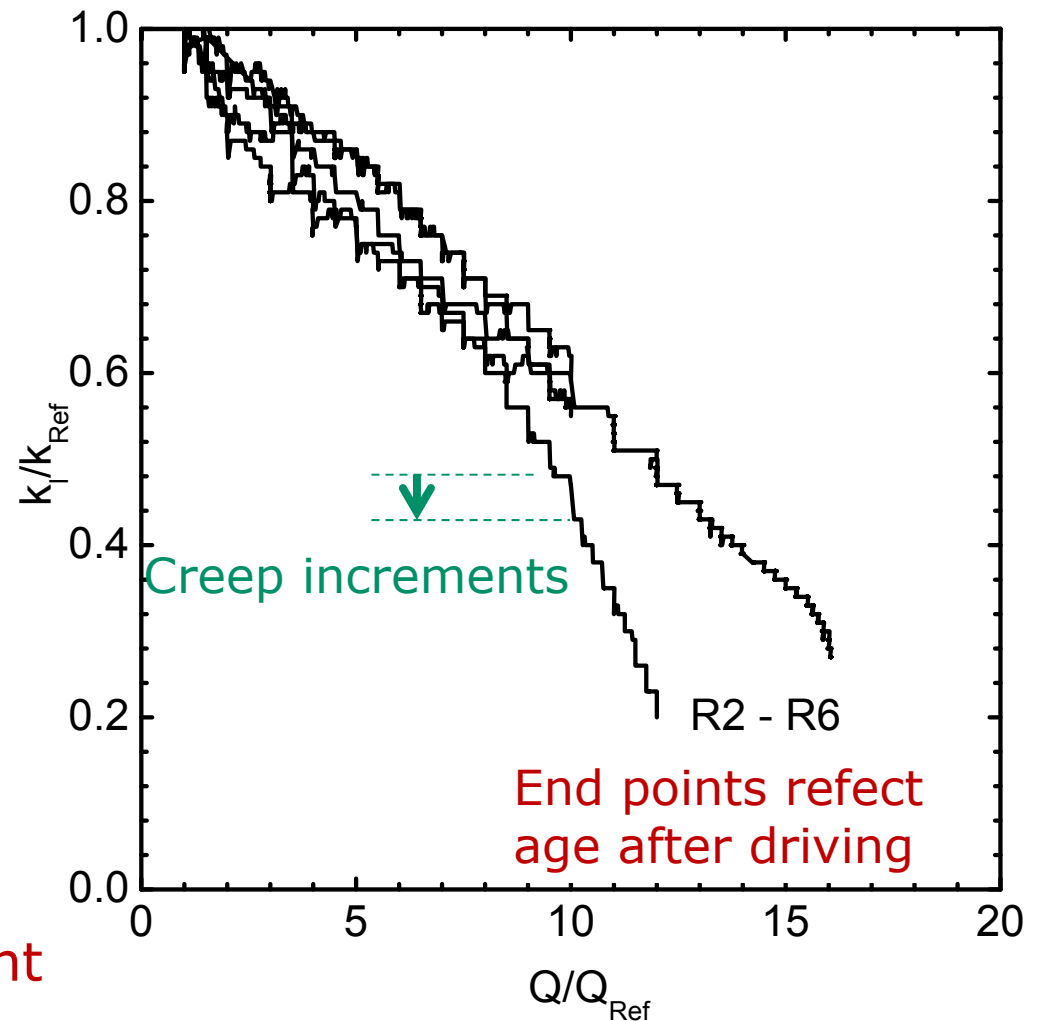
$Q_{\text{Ref}} = 200 \text{ kN}$

$k_{\text{Ref}} = \text{stiffness at } Q_{\text{Ref}}$

No 'linear-elastic' plateau

Stiffness falls with  $Q/Q_{\text{ref}}$

Creep & ageing: very significant



Rimoy et al 2013

# Impact of axial cyclic loading

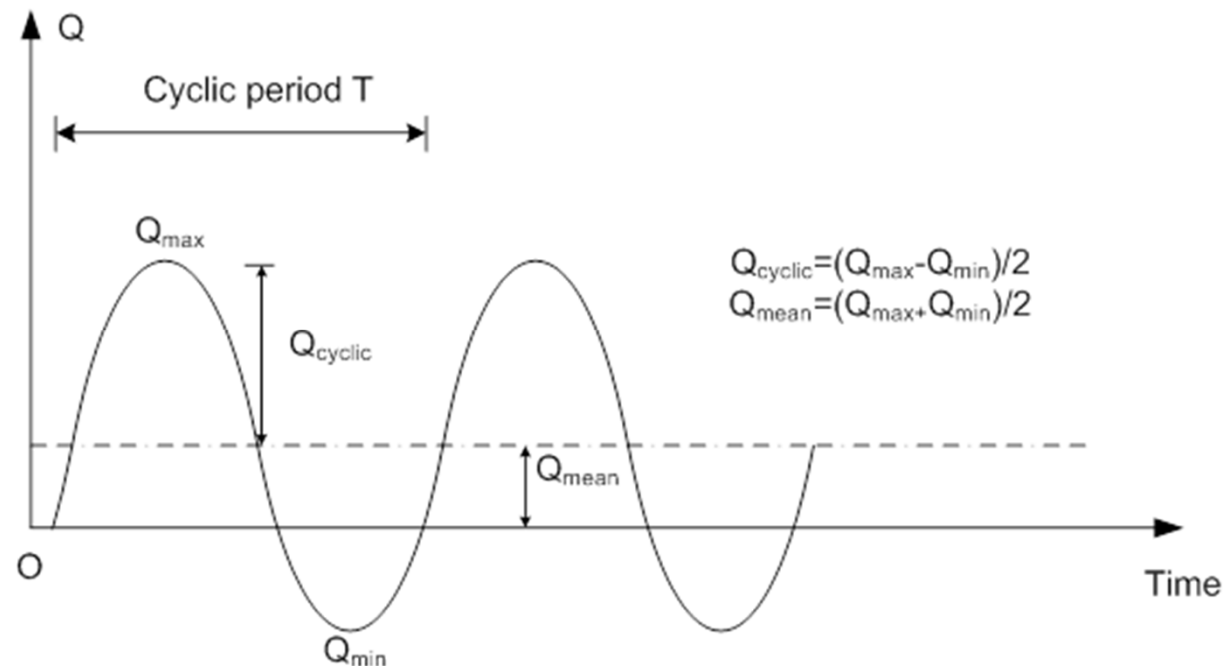
Load controlled

$T = 60s$

One-Way: tension

Two-Way: tension  
& compression

Plus: tension tests  
to failure

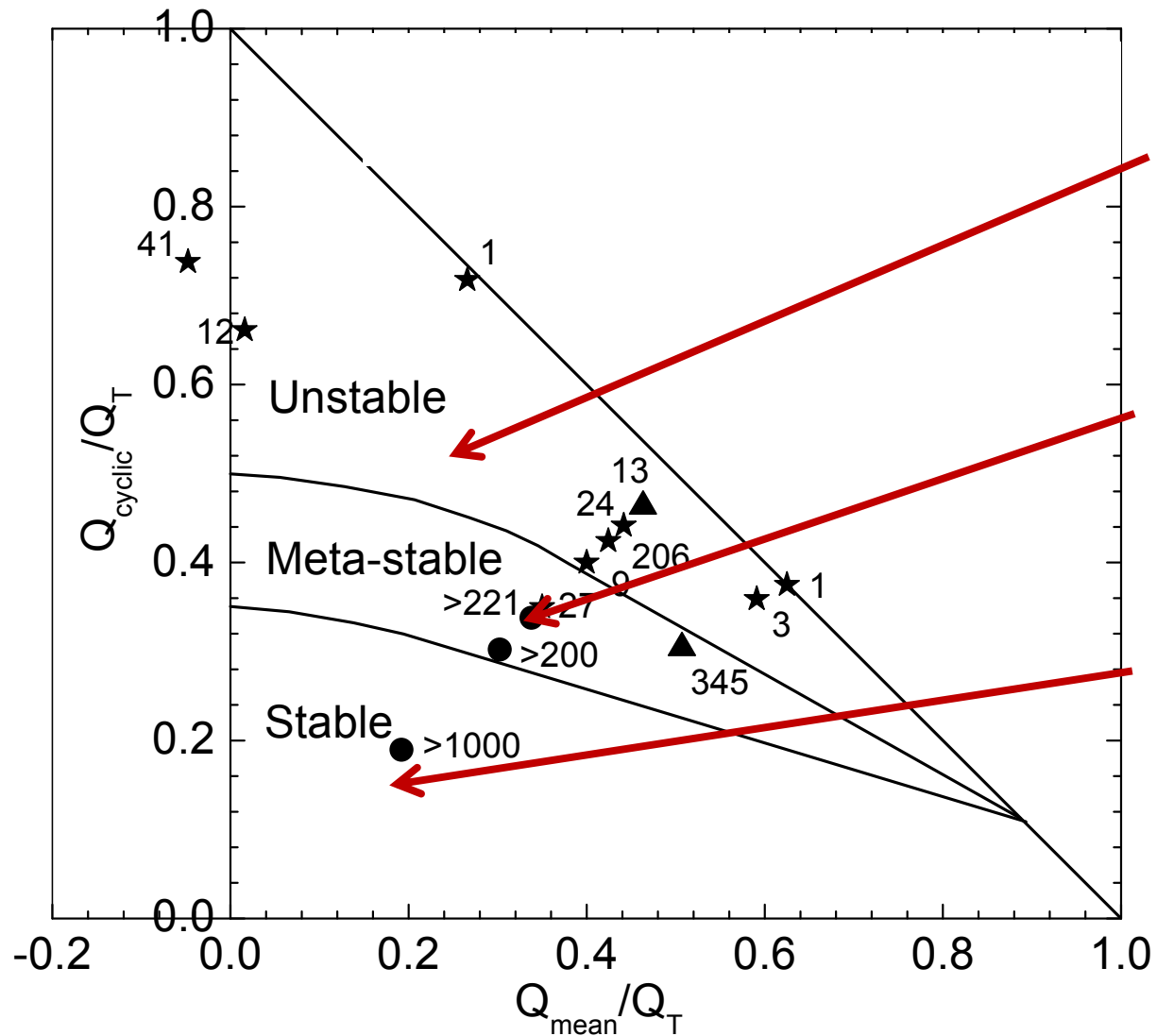


Failure depends on  $N$ ,  $Q_{cyclic}$ ,  $Q_{mean}$  & static tension capacity  $Q_T$

Loads normalised  $Q_{cyclic}/Q_T$  &  $Q_{mean}/Q_T$  to allow for age & pre-testing



# Impact of axial cyclic loading: can halve capacity



Failure  $N_f < 100$

$100 < N_f < 1000$

Stable to  $N > 1000$

Shaft capacity grows  
Cycling adds to  
ageing

## **Research to improve understanding & predictive scope**

1. Non-linear stiffness from advanced laboratory tests
2. Lab-based FE predictions & field trends
3. Stress path studies of creep & ageing
4. Installation soil stresses: laboratory model
5. Interface-shear & grain-crushing experiments
6. Lab results & 'breakage' FE analysis
7. Cyclic loading: towards lab-based design

# **Theme 1**

## Stress-path experiments

Pluviated Dunkerque, Ham River (Thames Valley) & Fontainebleau NE 34 sands

Sub-angular,  $0.2 < d_{50} < 0.3\text{mm}$ , silica media



# Stress path test: Kuwano 1999

'Bishop & Wesley' cell:  
200 x 100mm specimens

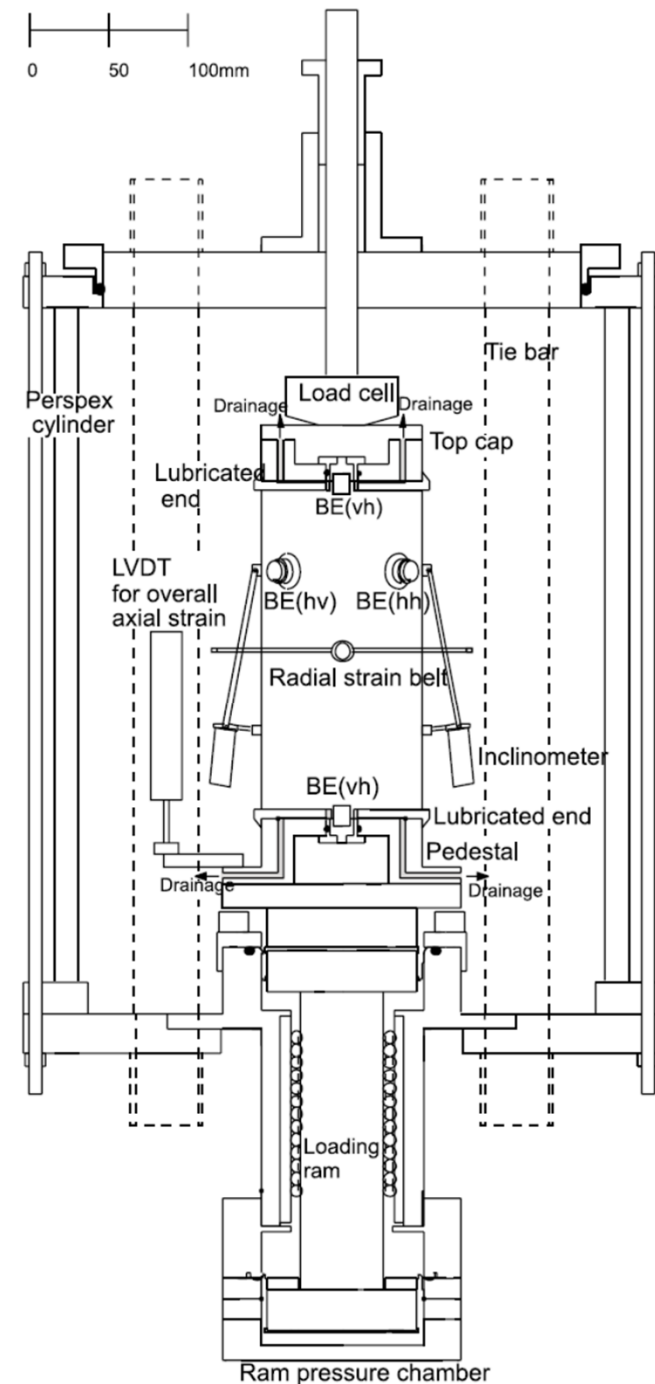
Automated stress & strain options

High resolution local strain gauges  
multi-axial Bender Elements (BE)

Elasticity & kinematic yielding

Non-linearity & anisotropy

Time dependency



# Elasticity & Kinematic Yield Surfaces: KYS

## Elastic $Y_1$ KYS

Stiffness depends on  $\sigma'$  level  
Usually anisotropic  
Plastic & non-linear if  $Y_1$  engaged

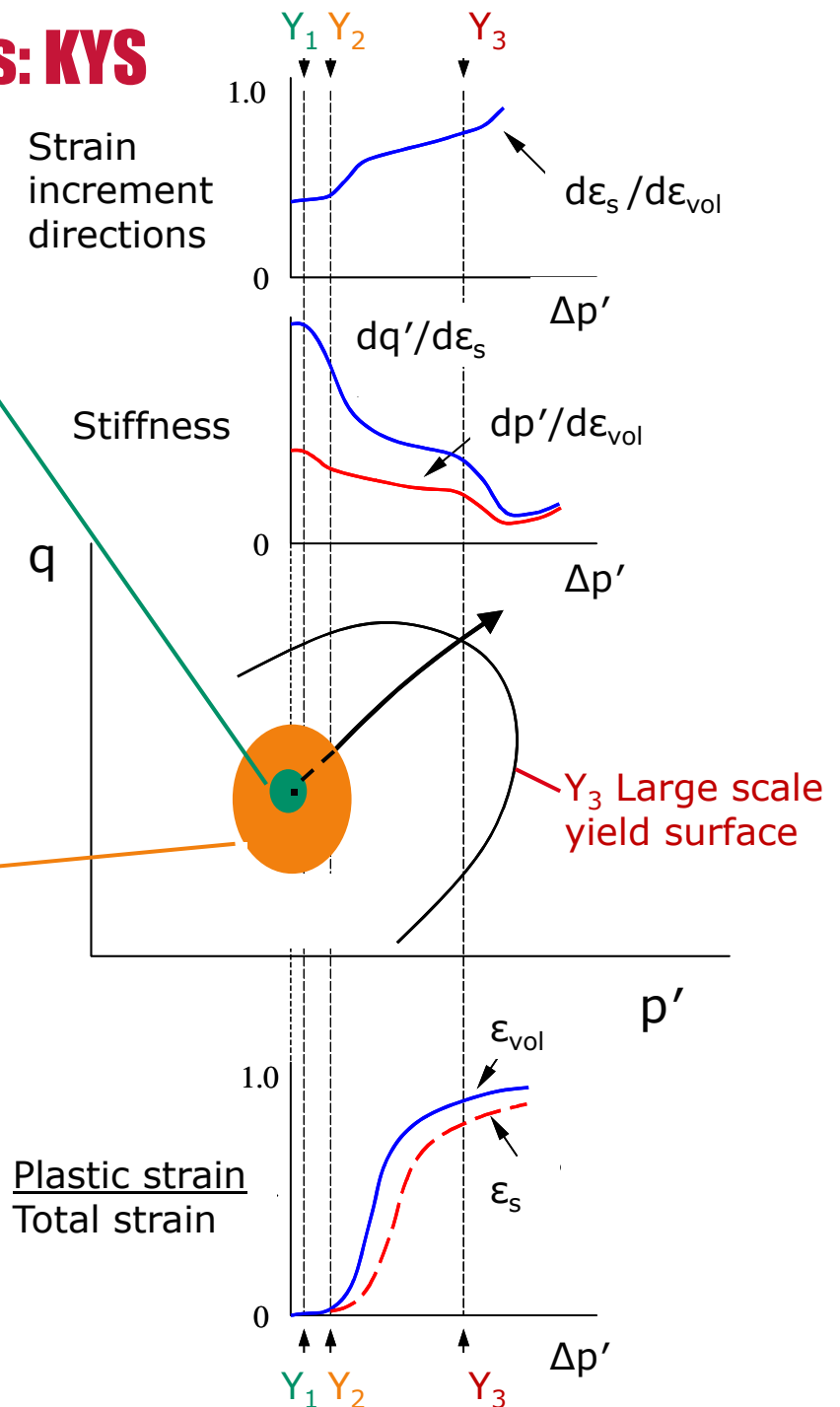
KYS dragged with  $q$ ,  $p'$   
Conforms to outer  $Y_3$   
Scales with  $p'$

## Intermediate $Y_2$ KYS

Strain increment direction changes

Onset of time/rate dependency

Cyclic threshold: sharp increase in permanent strain accumulation and/or undrained  $p'$  drift



# Elastic property measurement techniques

Bender Element shear wave velocities:

Vertical, polarised horizontally –  $S_{vh}$

Horizontal, polarised vertically –  $S_{hv}$

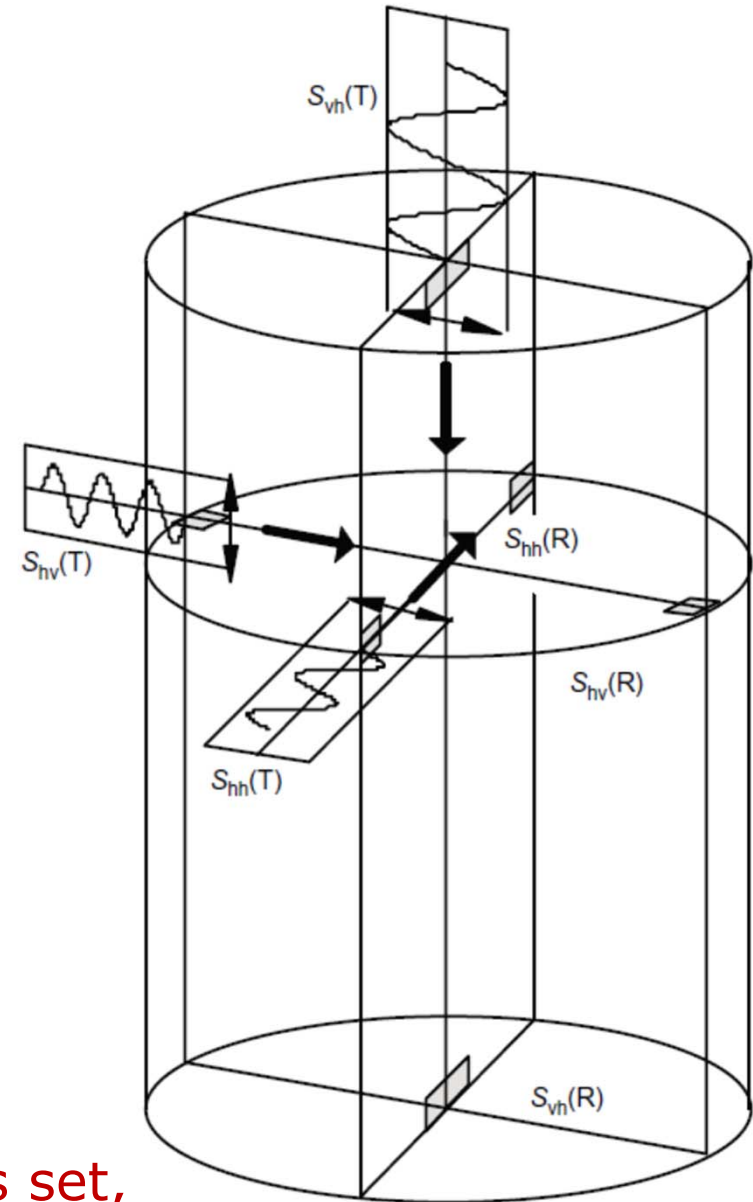
Horizontal, polarised horizontally –  $S_{hh}$

And vertical **P-Waves**

**Vertical & radial static probing tests**

Range of conditions, keeping within  $Y_1$

**Full cross-anisotropic elastic parameters set, assuming rate independence**





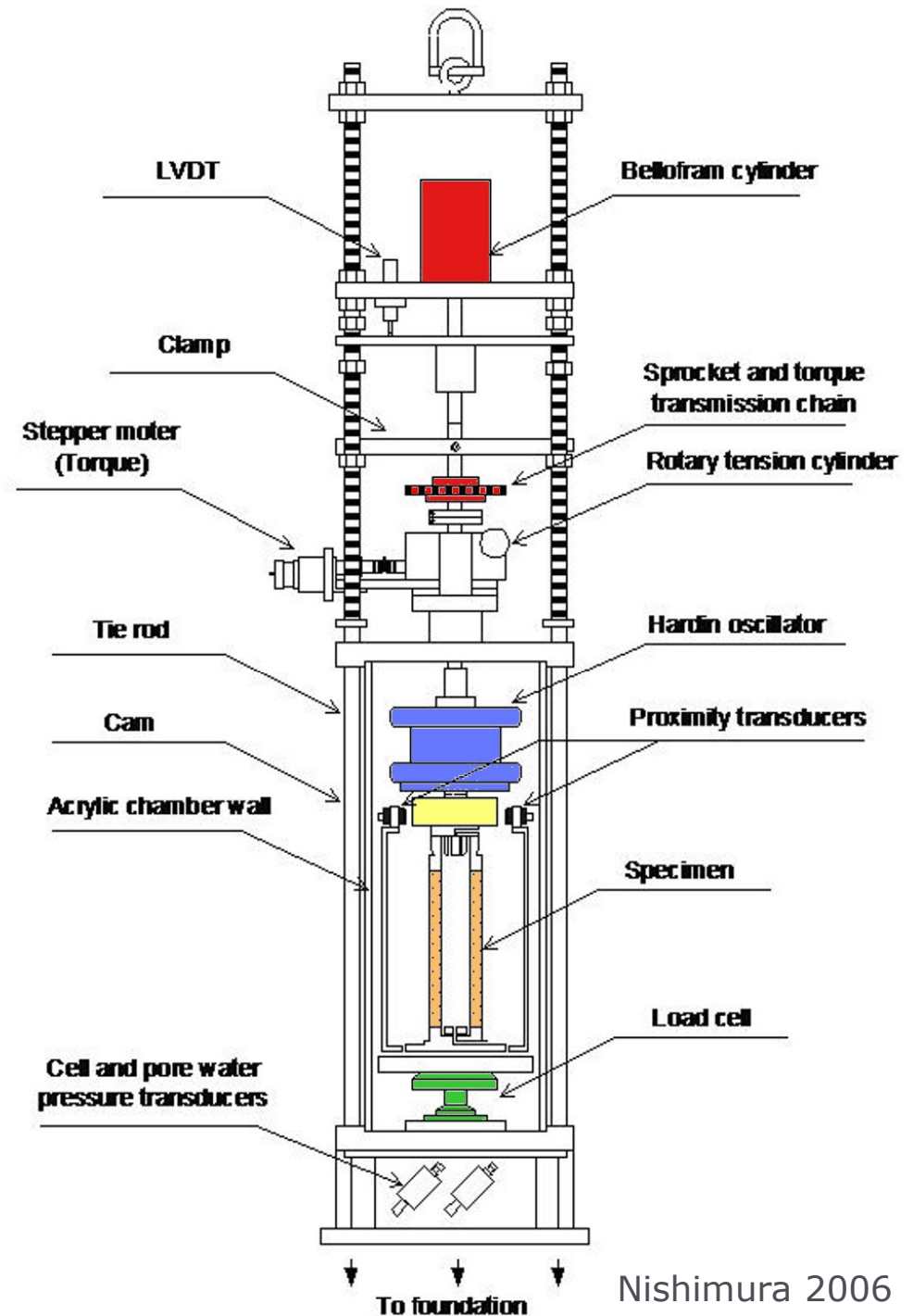
# Resonant column & static Torsional Shear (TS) HCA tests

Porovic 1995 & Connolly 1998

Dynamic & static  $G_{vh}$   
Wide range of conditions

HRS & Dunkerque sands:  
 $h = 170$ ,  $d_i = 38$  &  $d_o = 70$  mm

Correlated with BRE's **field**  
**seismic CPT & DMT**  $G_{vh}$  tests at  
Dunkerque



Nishimura 2006

# Cross anisotropic behaviour within $Y_1$ KYS

Kuwano 1999, Jardine & Kuwano 2003

Stiffnesses vary with  $\sigma'$  components & void ratio ( $e$ )

$$E_u = f(e) \cdot A_u \cdot (p' / p'_r)^{B_u}$$

$$E'_v = f(e) \cdot A_v \cdot (\sigma'_v / p'_r)^{C_v}$$

$$E'_h = f(e) \cdot A_h \cdot (\sigma'_h / p'_r)^{D_h}$$

$$G'_{vh} = f(e) \cdot A_{vh} \cdot (\sigma'_v / p'_r)^{C_{vh}} \cdot (\sigma'_h / p'_r)^{D_{vh}}$$

$$G'_{hh} = f(e) \cdot A_{hh} \cdot (\sigma'_v / p'_r)^{C_{hh}} \cdot (\sigma'_h / p'_r)^{D_{hh}}$$

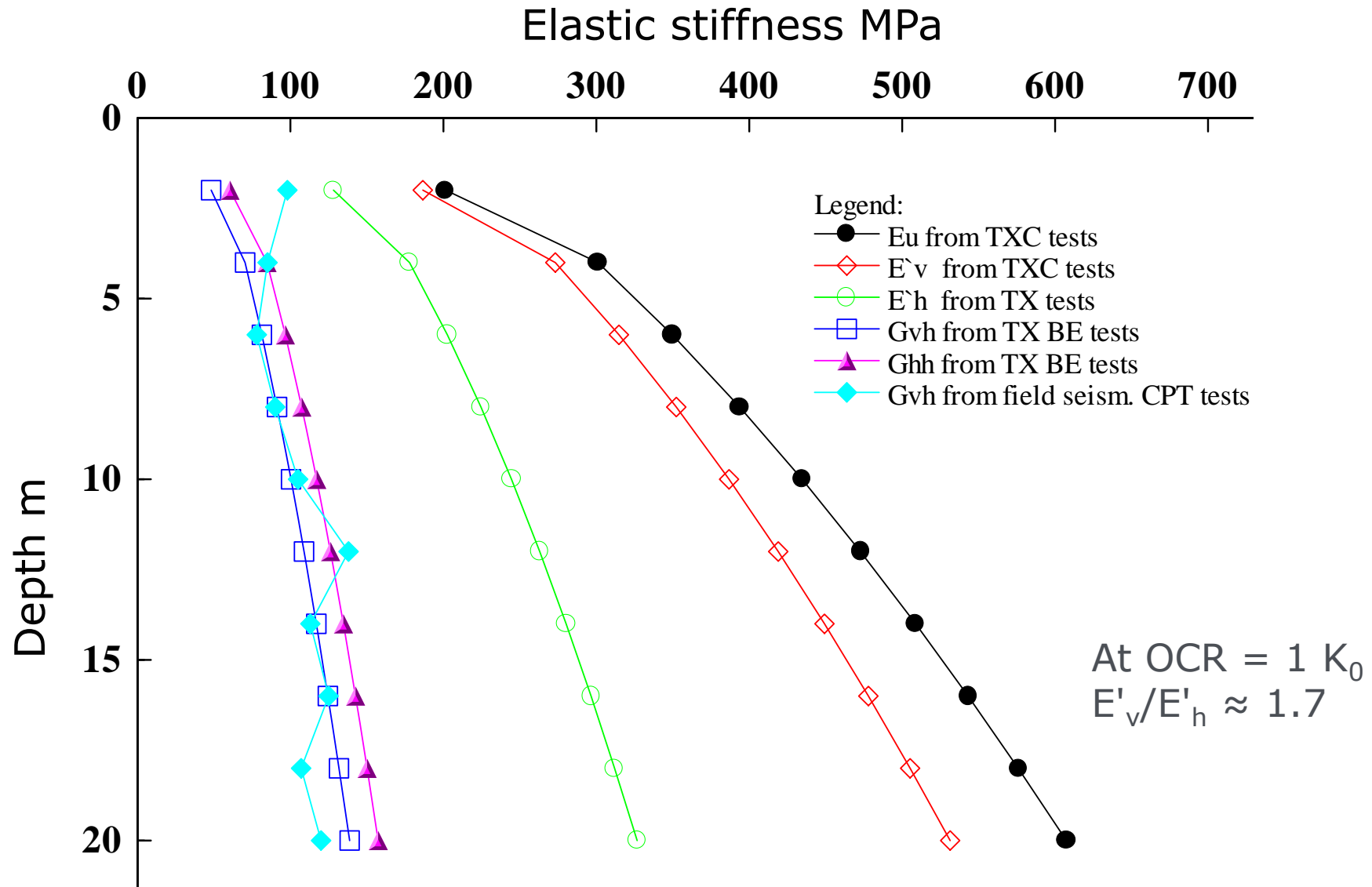
$$f(e) = (2.17 - e)^2 / (1 + e)$$

$p_r$  is atmospheric pressure

$A_{ij}$ ,  $B_{ij}$ ,  $C_{ij}$  &  $D_{ij}$  non-dimensional material constants

Stress level exponents  $B_u$  and  $[C_{ij} + D_{ij}] \approx 0.5$  to  $0.6$

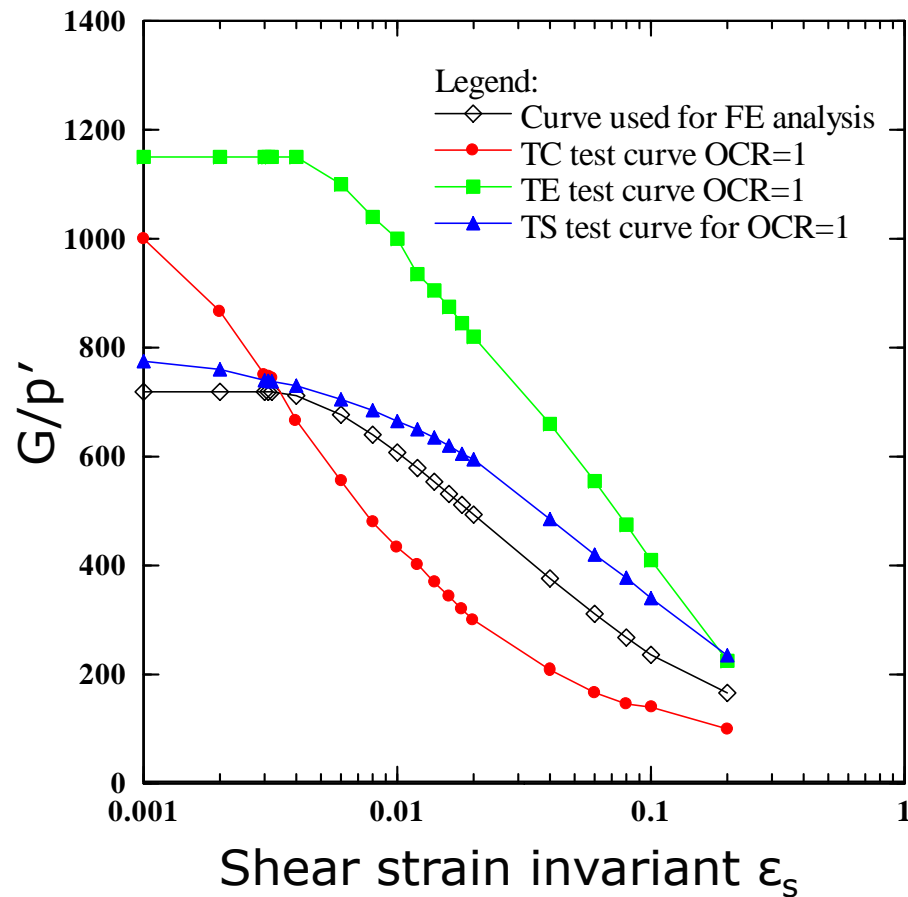
# Anisotropic $\gamma_1$ stiffness profiles: Dunkerque



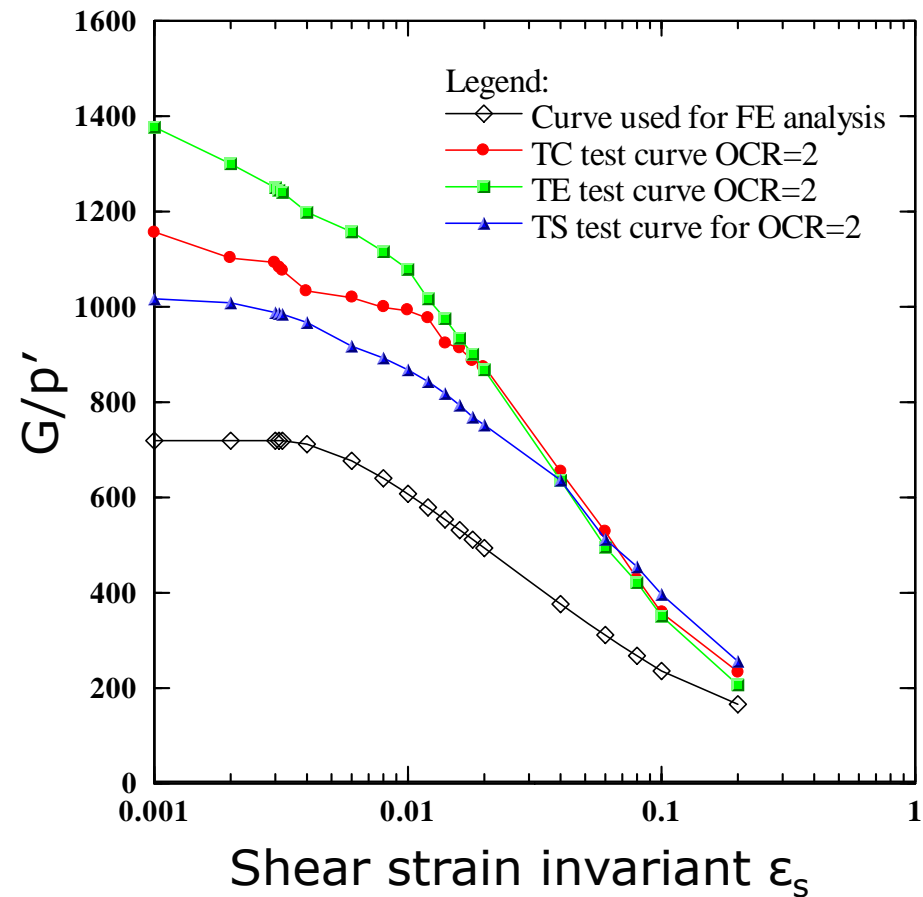
Field seismic & laboratory  $G_{vh}$  measurements agree within  $\approx 10\%$



# Anisotropy post $Y_1$ in non-linear range: Secant shear stiffnesses: dense Dunkerque sand



OCR = 1,  $K_0$  consolidation



OCR = 2,  $K_0$  consolidation

## **Theme 2**

Lab-based ICFEP predictions for  
Dunkerque tests

# Predictive tools: ICFEP; Potts and Zdravkovic 1999

Elastic pile displaced axially to failure

Sand: non-linear &  $\sigma'$  dependent tangent stiffness between  $Y_1$  &  $Y_3$  yield surfaces

$$G = f(p', \varepsilon_s) \quad \text{fitted to OCR} = 1, G_{vh} \text{ trends}$$

$$K' = g(p', \varepsilon_{vol})$$

$Y_3$  : non-associated Mohr-Coulomb, depth-variable  $\phi'$  &  $\psi$

Interface: effective-stress Coulomb,  $\delta$  from interface shear tests

Can be extended: anisotropy, stress reversals or 'bubble' models...

# Non-linear predictions for 81 day tension test

19m, 457mm OD, steel pipe pile

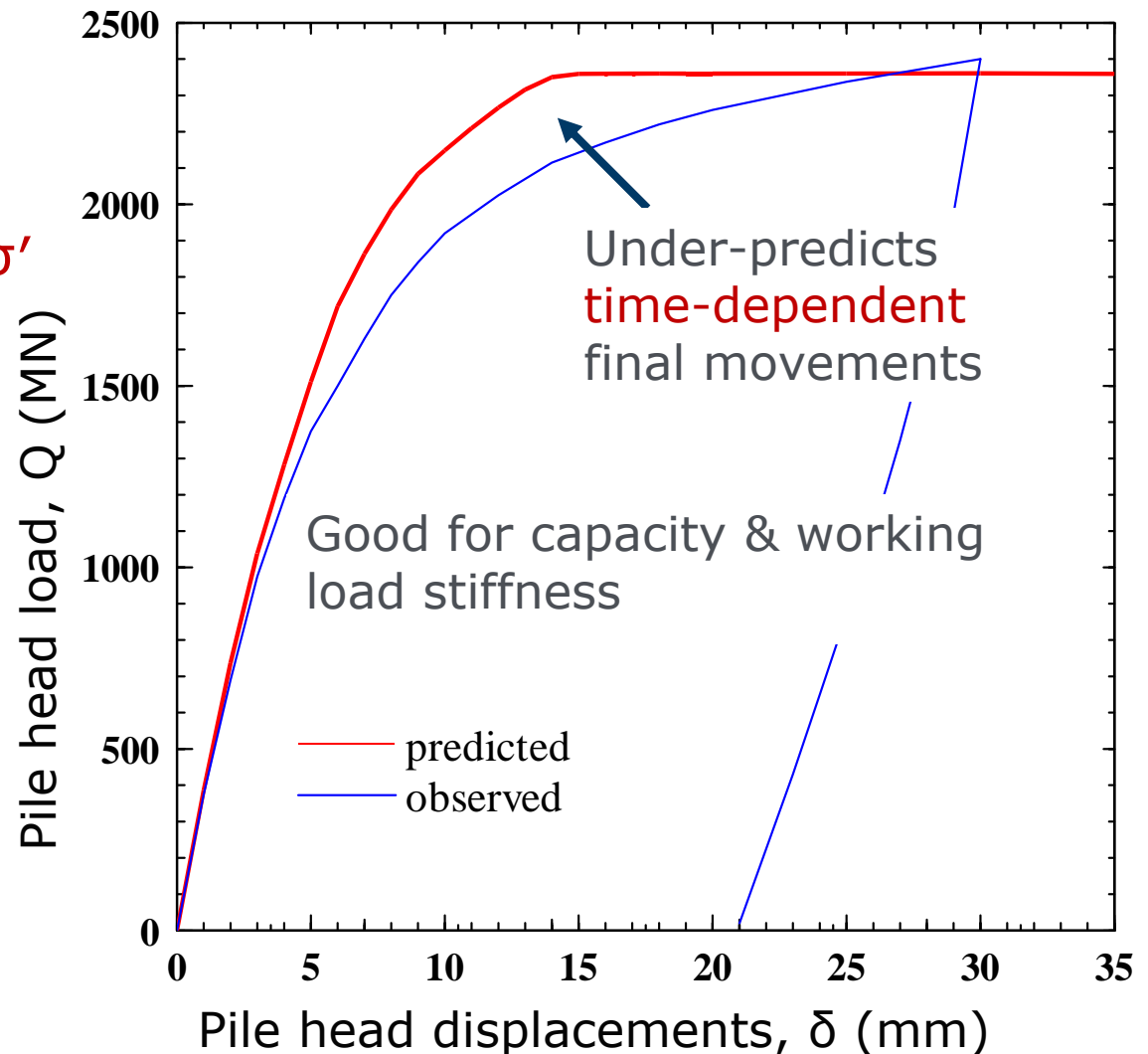
Shaft  $\sigma'_{rc}$  from ICP-05 approach

Estimates for other soil  $\sigma'$  components...

Pile ageing: adjustment from field trends...

Creep & time – not modelled

Jardine et al 2005b



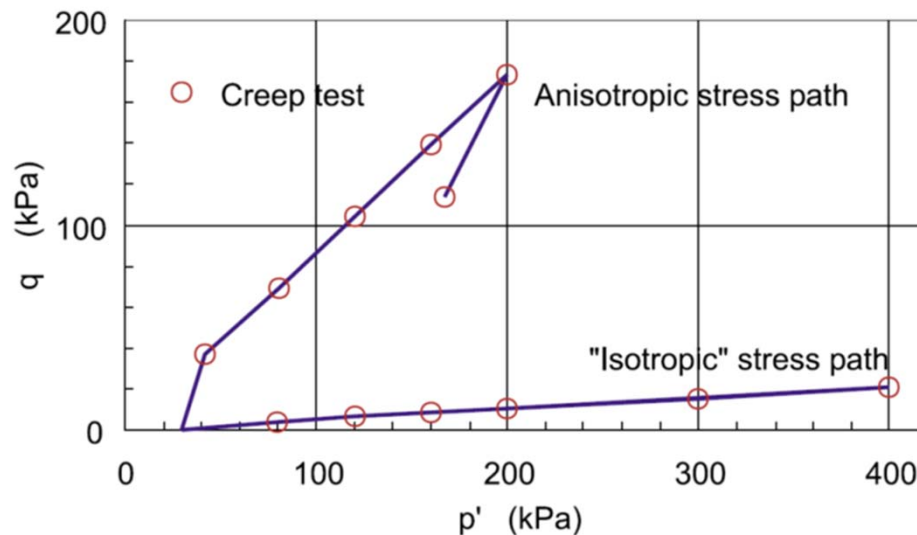
## **Theme 3**

Creep and time effects

**Need: stable high resolution  
instruments, careful calibrations,  
accurate stress path & temperature  
control**



# Isolating elastic, plastic & medium-term creep strains



$dp'/dt \neq 0$  'consolidation' sections

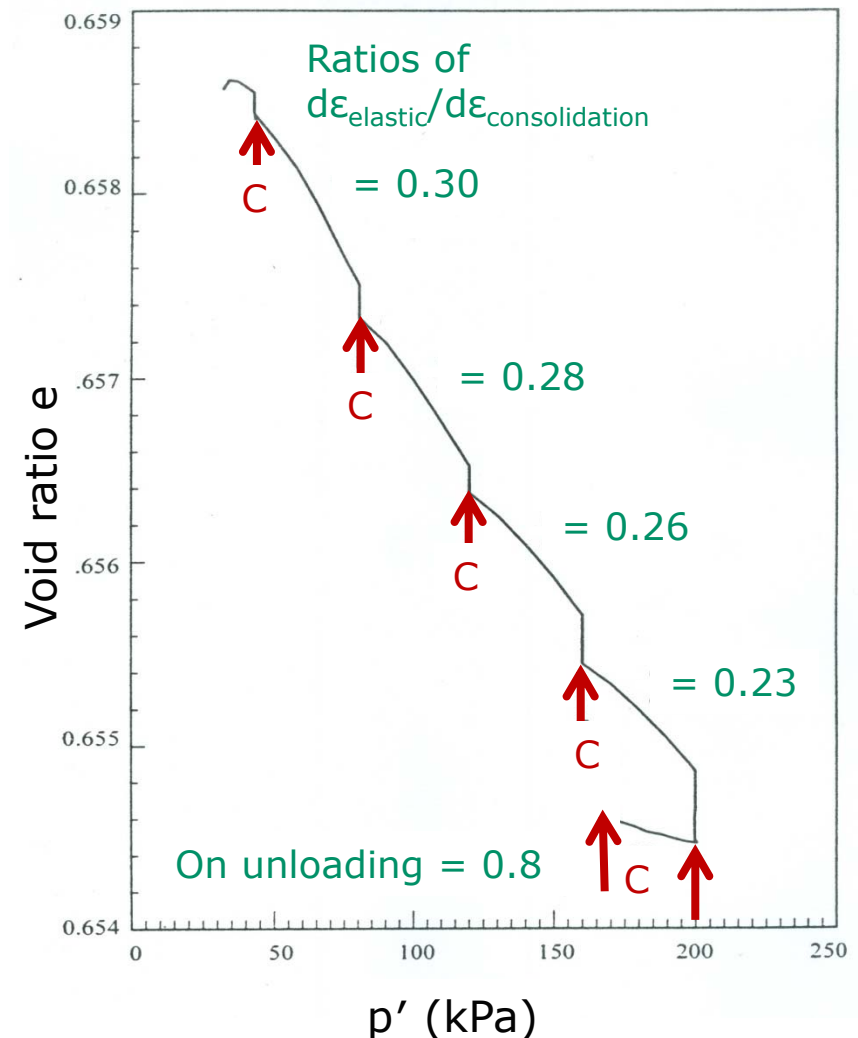
$$\epsilon_{\text{consolidation}} = \epsilon_{\text{elastic-plastic}}$$

Elasticity from stiffness functions

$d\epsilon_{\text{elastic}}/d\epsilon_{\text{consolidation}}$  falls with  $p'$ ,  
increases on unloading

Creep from  $dp'/dt=0$  pauses

$K_0$  test on med. dense HRS



Kuwano 1999, Jardine & Kuwano 2002

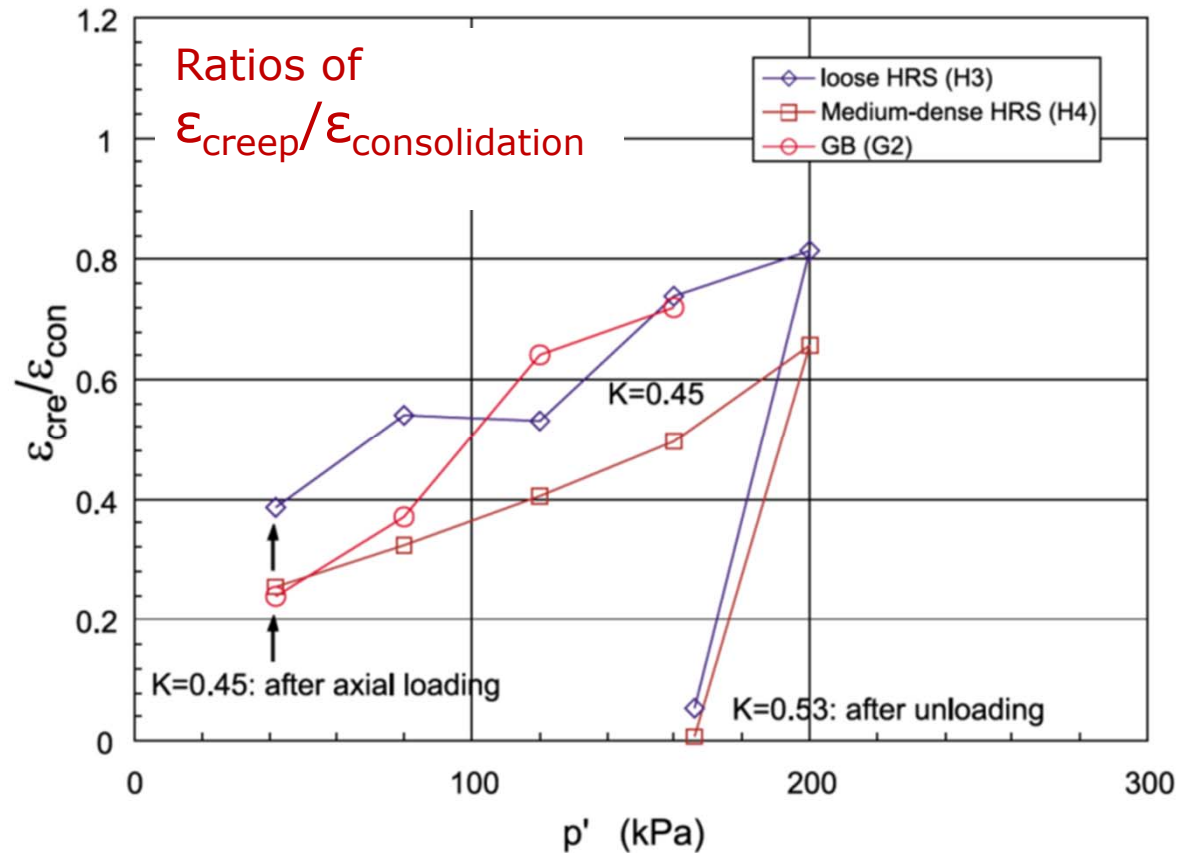
# 'Consolidation' and medium term creep

$\epsilon_{\text{creep}}/\epsilon_{\text{consolidation}}$  grows large with  $p'$  & time

Falls with  $K = \sigma'_h/\sigma'_v$

Falls with  $I_D$

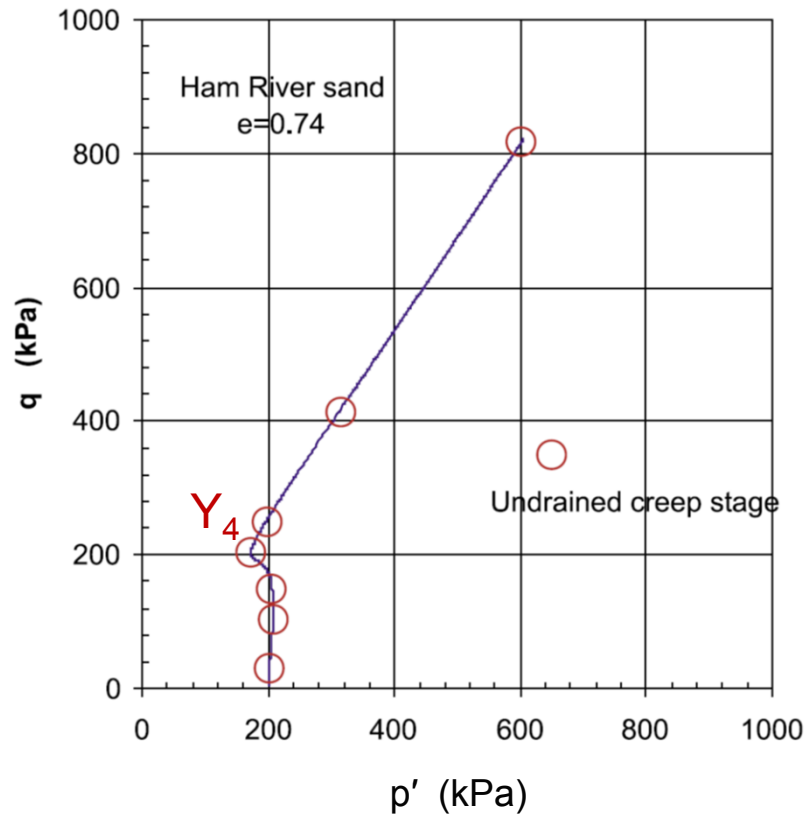
Falls on unloading



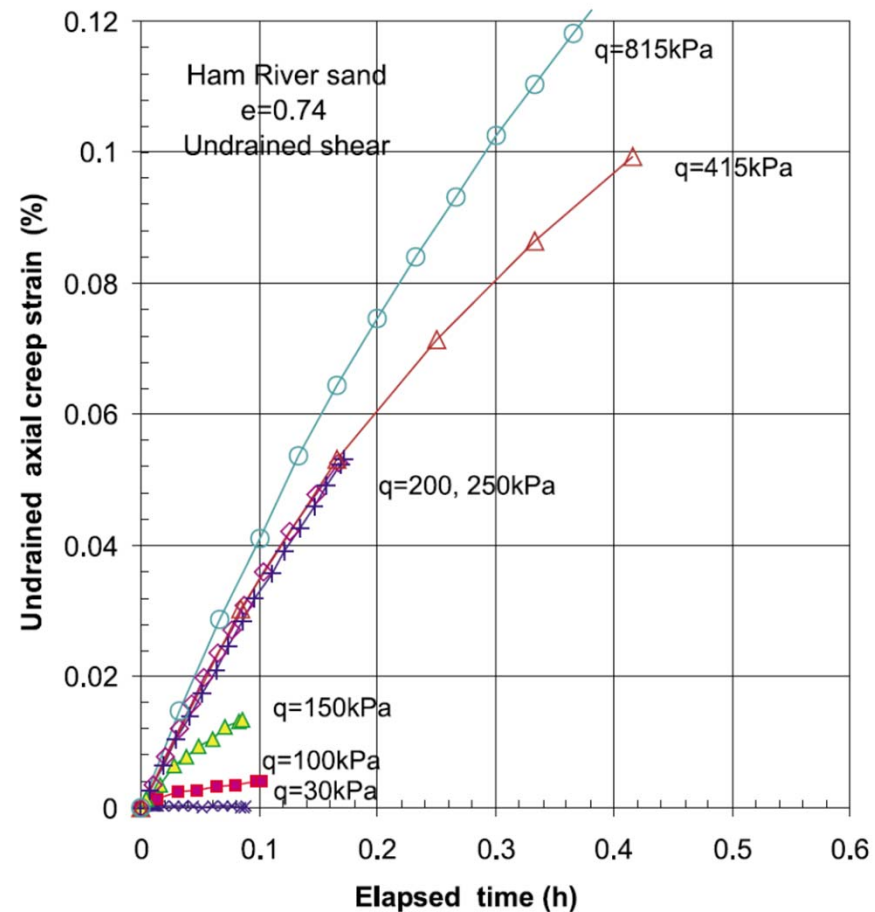
Kuwano & Jardine 2002

# Creep: HRS under undrained triaxial shearing

## Stress path & creep stages



## Early creep-time curves



Creep negligible until  $Y_2$  engaged  
Increases steadily post  $Y_2$   
Stabilises after phase transformation -  $Y_4$

## Rimoy's tests: updated equipment

200 x 100mm, dense TVS

New 'Bishop and Wesley' cell

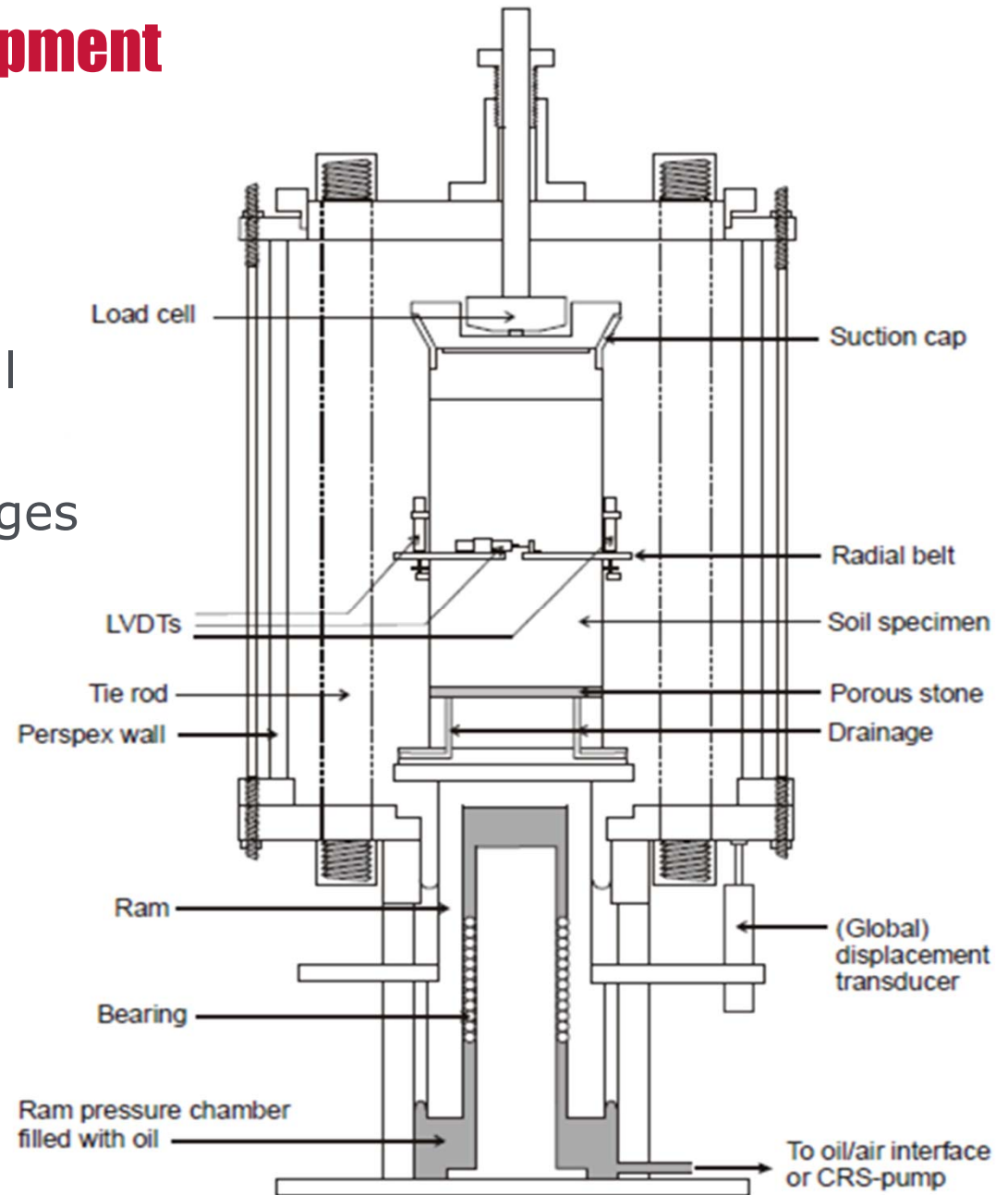
Higher resolution strain gauges

Accurate cyclic loading

Longer term creep tests

Interactions with cycling

Rimoy & Jardine 2011



## Medium dense TVS tests: $K_0$ paths, $OCR = 1$

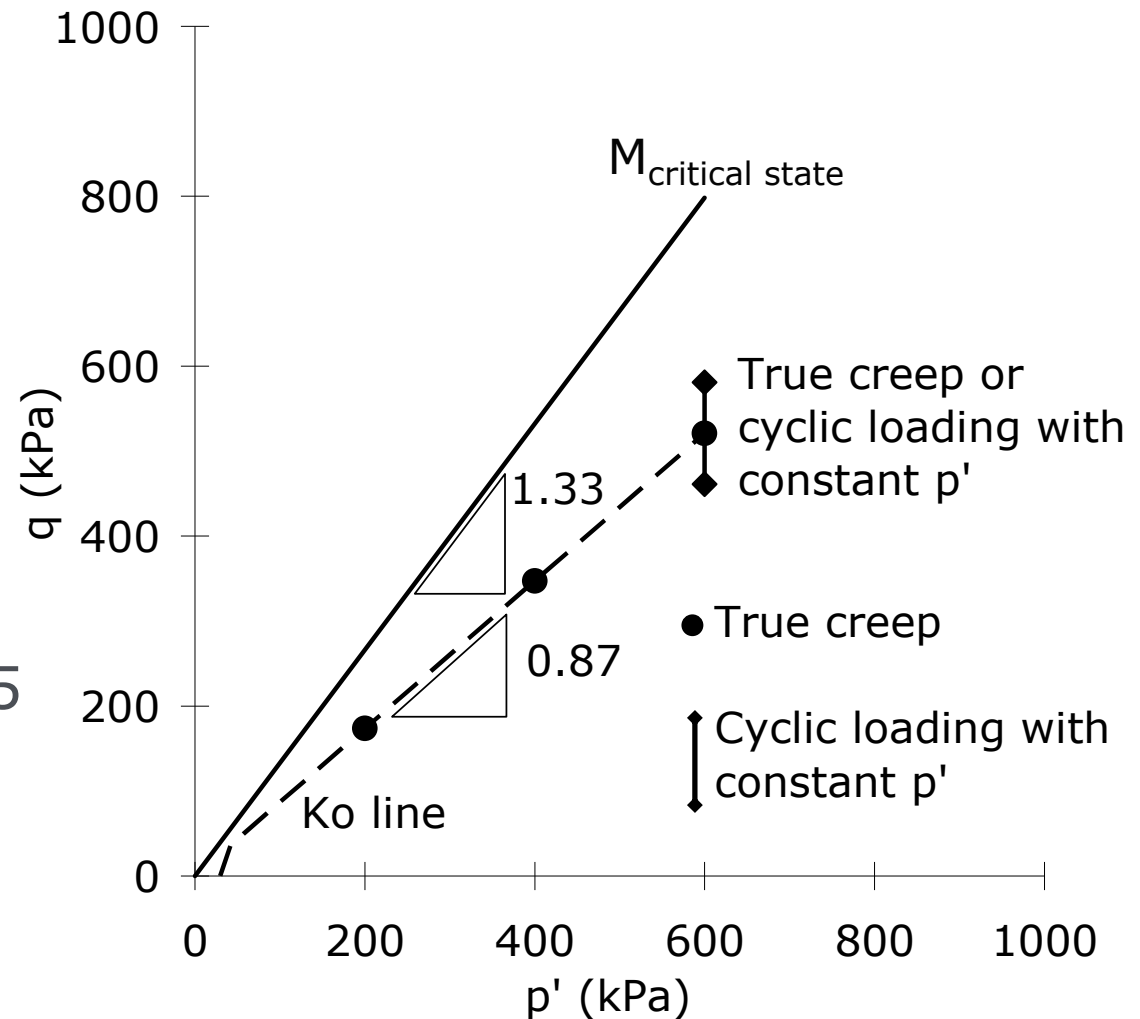
'True' creep and 'Creep plus low-level cycling'

Extended 'creep'

$p' = 200, 400, 600$  kPa

Extended cycling:

$q_{\text{cyclic}}/p' = 0.015$  to  $0.05$



Rimoy & Jardine 2011

# Creep straining patterns

## Invariant shear strains:

$\epsilon_s$  increases monotonically,  
 $d\epsilon_s/dt$  increases with  $p'$

## Volume strains:

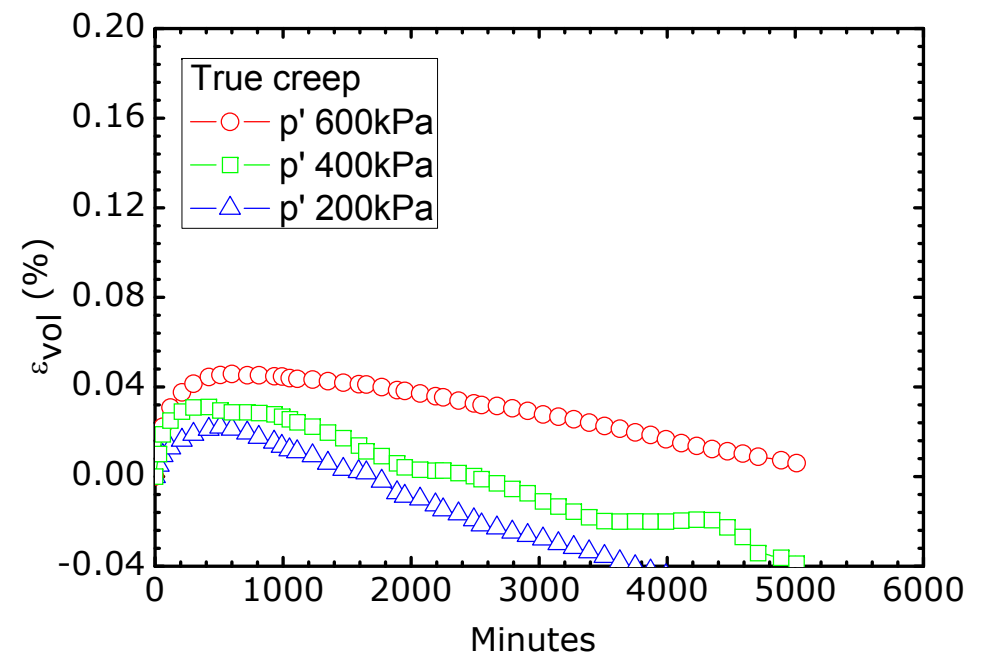
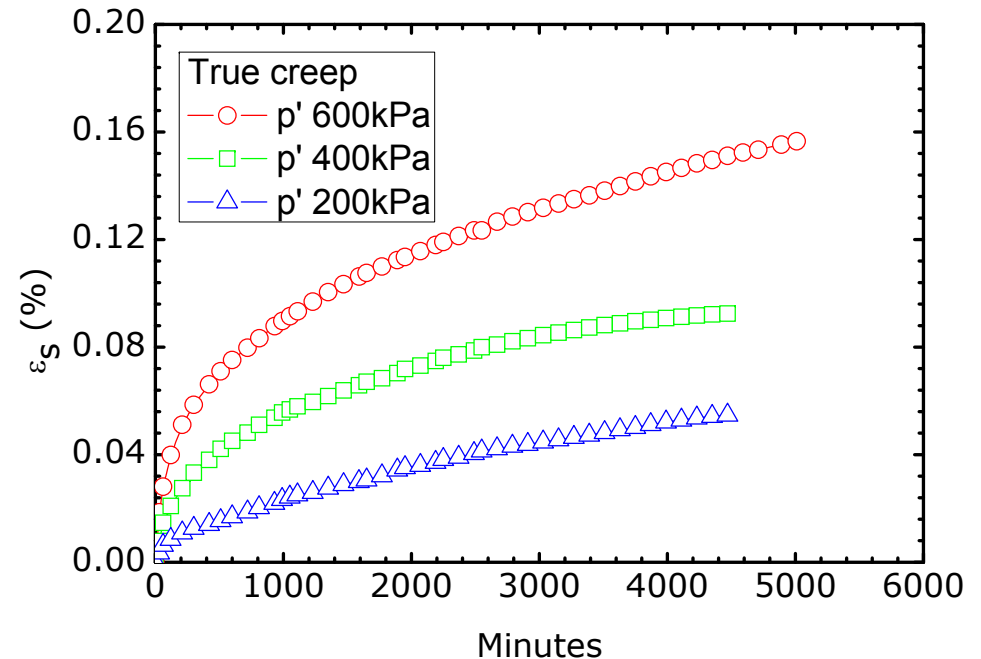
$K_0$  pattern initially:

$$d\epsilon_{vol}/d\epsilon_s = 3/2$$

Then reverses, negative  $d\epsilon_{vol}/dt$   
less dilatant at higher  $p'$

## $Y_2$ KYS:

Moving/changing with time





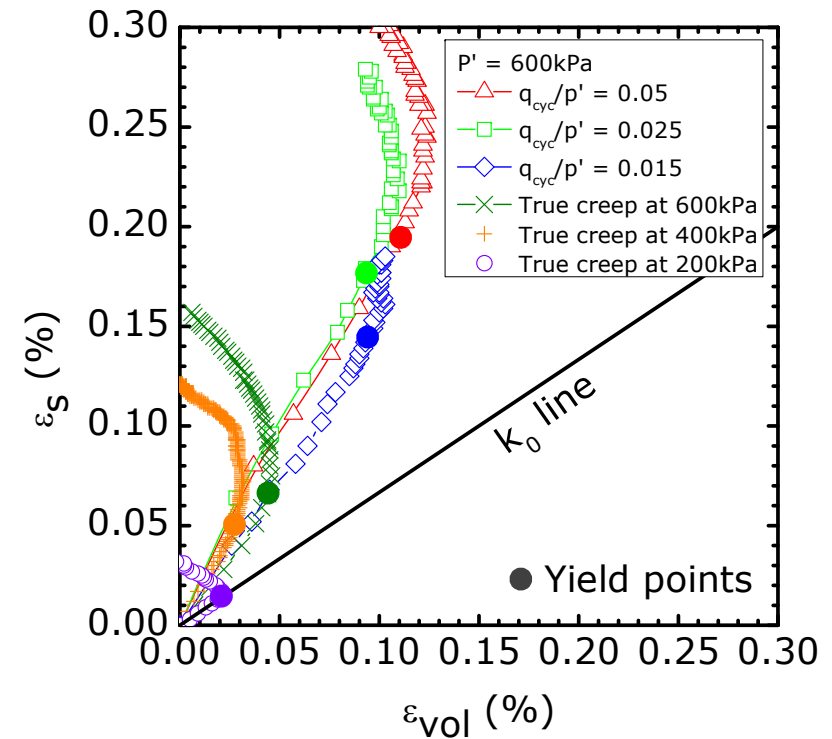
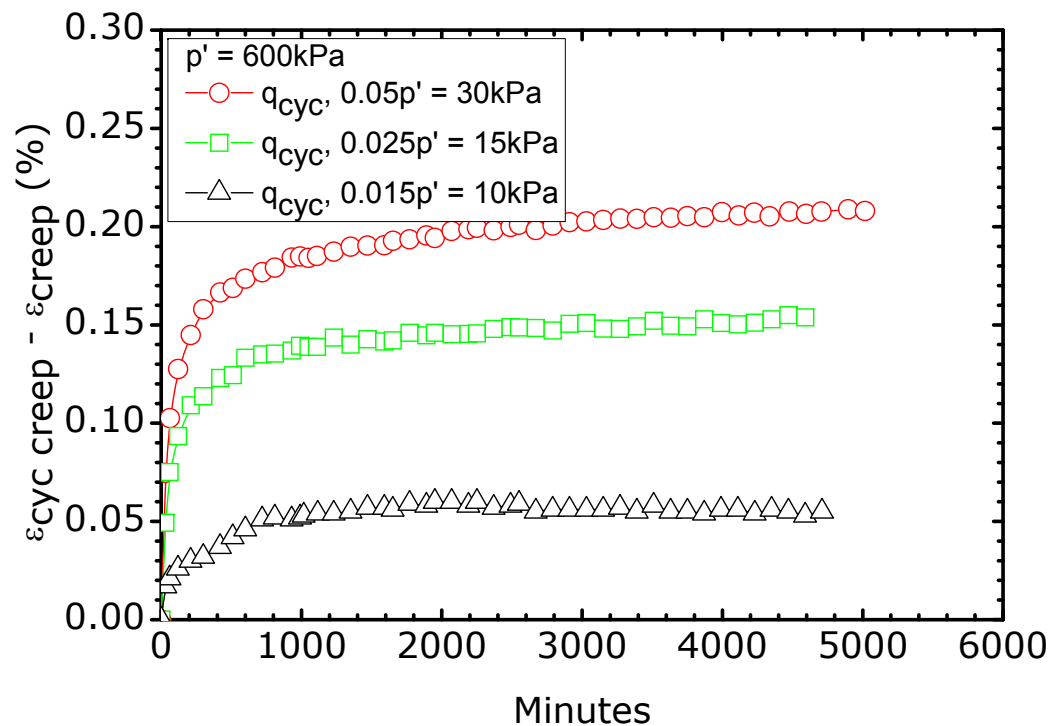
# Adding low level cyclic perturbations

Applying  $q_{\text{cyclic}}/p' = 0.015$  to  $0.05$

Also changes straining pattern:

Augments straining

Reduces & retards dilation



Creep & yielding: affected by stress state, time & background cycling

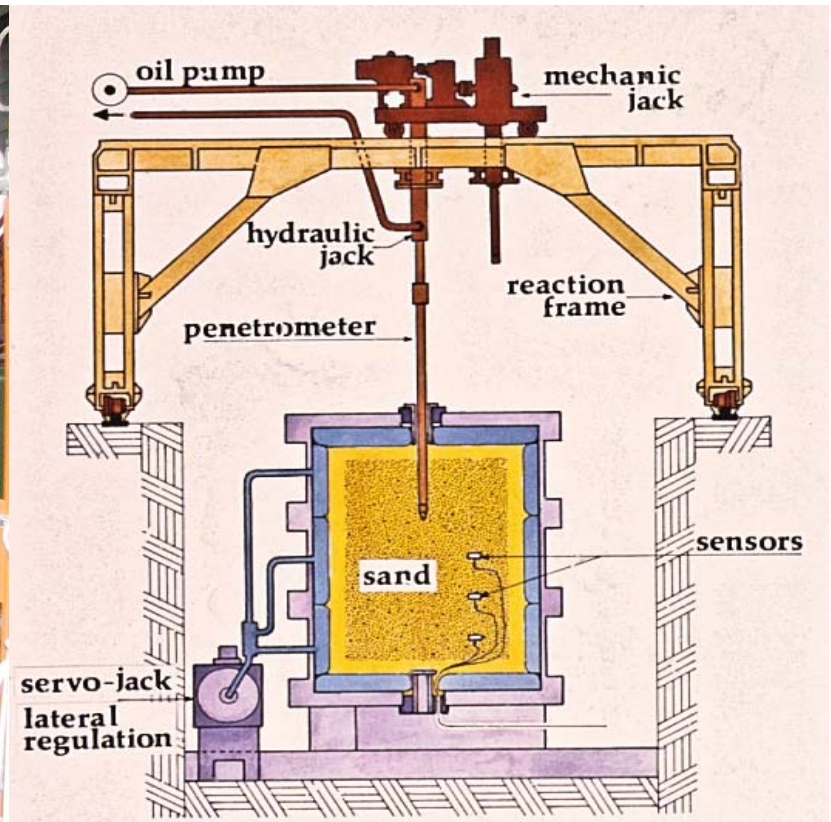
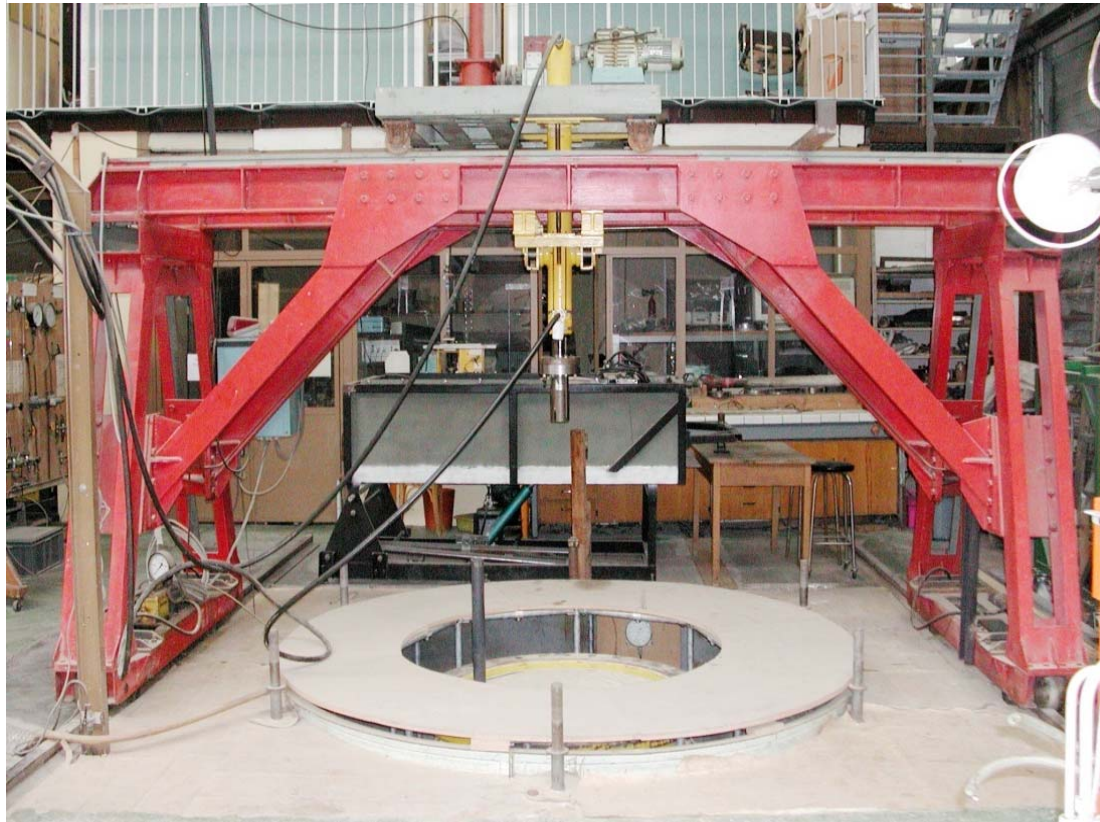
## Theme 4

Distributions of  $\sigma'_r$   $\sigma'_z$  &  $\sigma'_\theta$  around piles?

Important to modelling ageing, cyclic response, group effects..

IC-Grenoble laboratory model & particle scale studies

# Model experiments with Prof. Pierre Foray



1.3 x 1.5m chamber with close temperature & pressure control  
Dense pluviated Fontainebleau NE34 sand; CPT:  $20 < q_c < 25$  MPa  
Tests over months under 150 kPa  
Up to 36 stress sensors in sand  
Multiple tests with **instrumented Mini-ICP**

Jardine et al 2009, Zhu et al 2009

# Mini-ICP model pile

Stainless steel: 1.4m x 36mm

Cyclic jacking installation

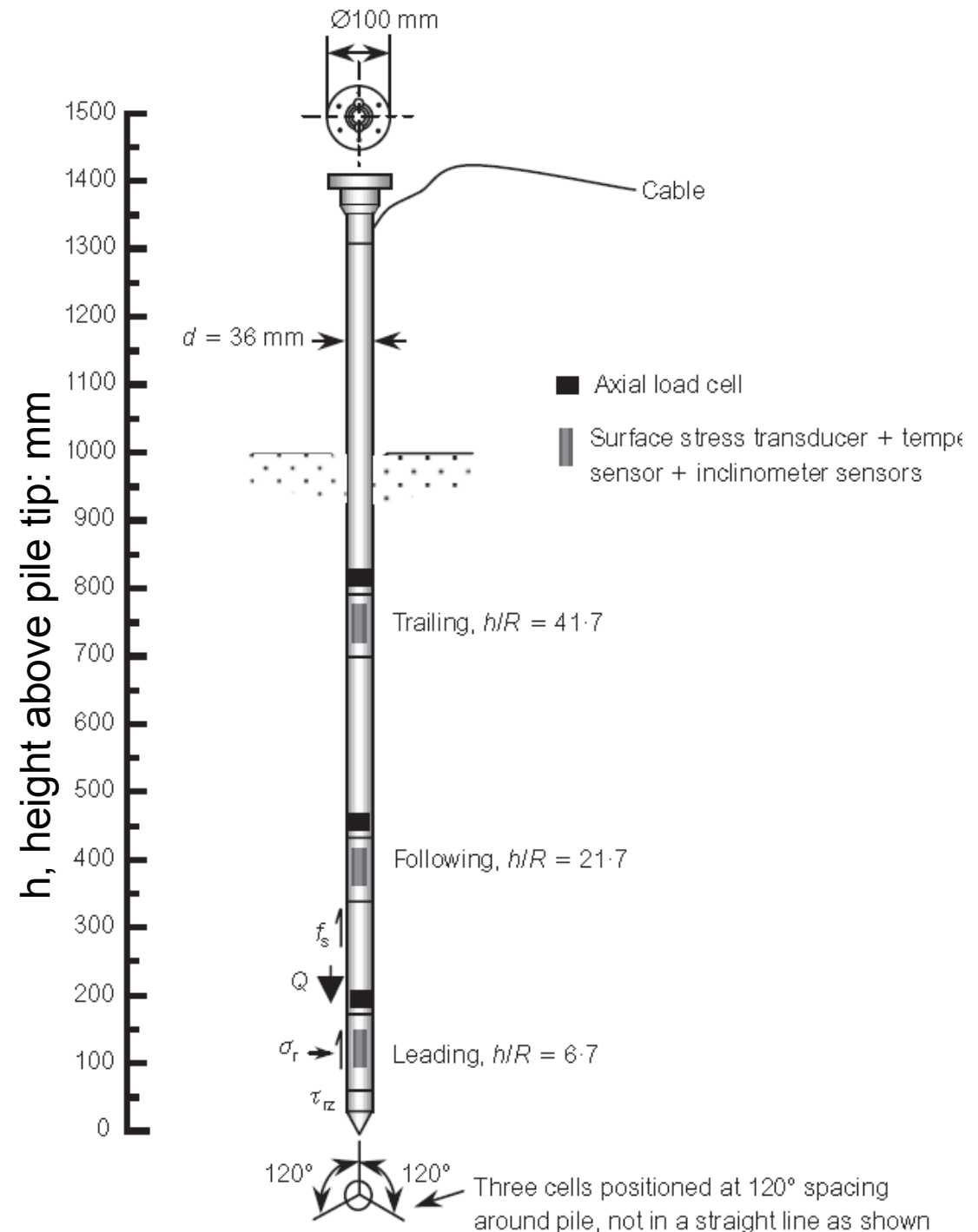
Traces shaft effective stress paths and tip loads

Local measurements of:

- Axial load
- Surface  $\tau_{rz}$  &  $\sigma_r$

At three  $h/R$  levels

Jardine et al 2009



# Installation $\sigma'_r$ trends in sand mass:

1000s data contoured

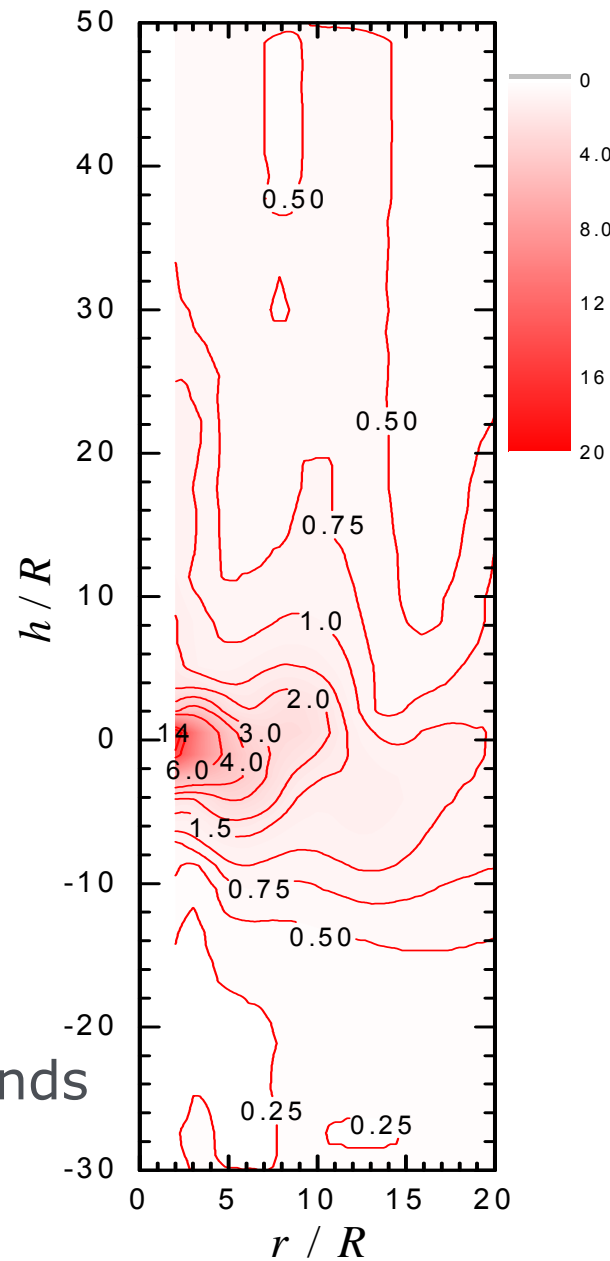
$$\sigma'_r/q_c = f(h/R, r/R, \sigma'_{zo})$$

Intense tip concentration  
Unloading above tip

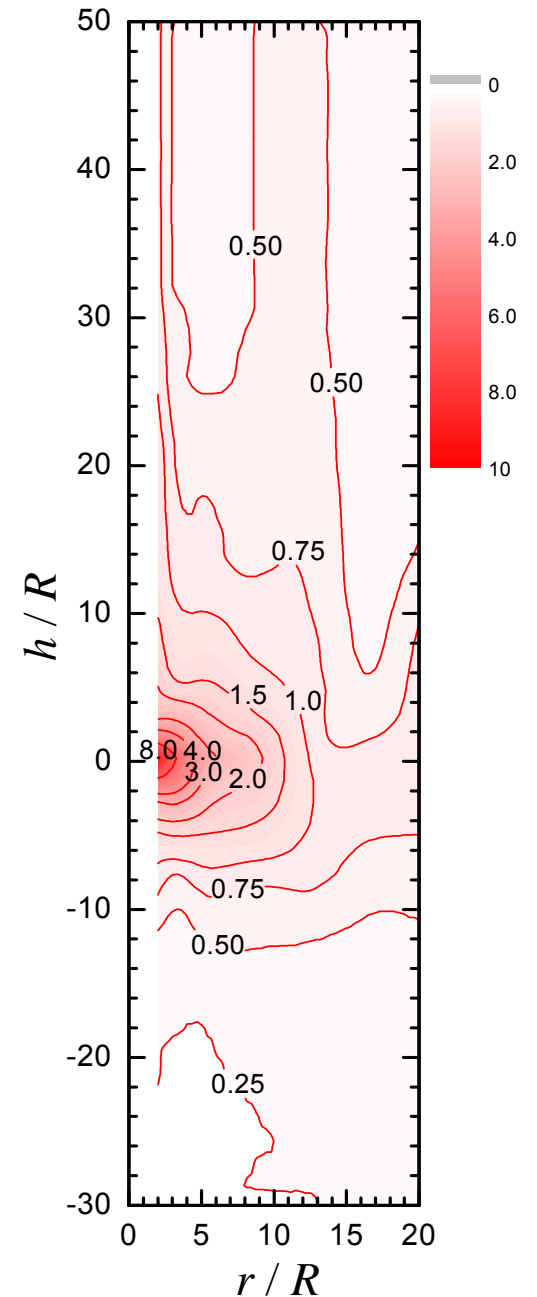
Sharp changes over each  
jacking cycle

Corresponding  $\sigma'_z$  &  $\sigma'_\theta$  trends

Jardine et al 2013b

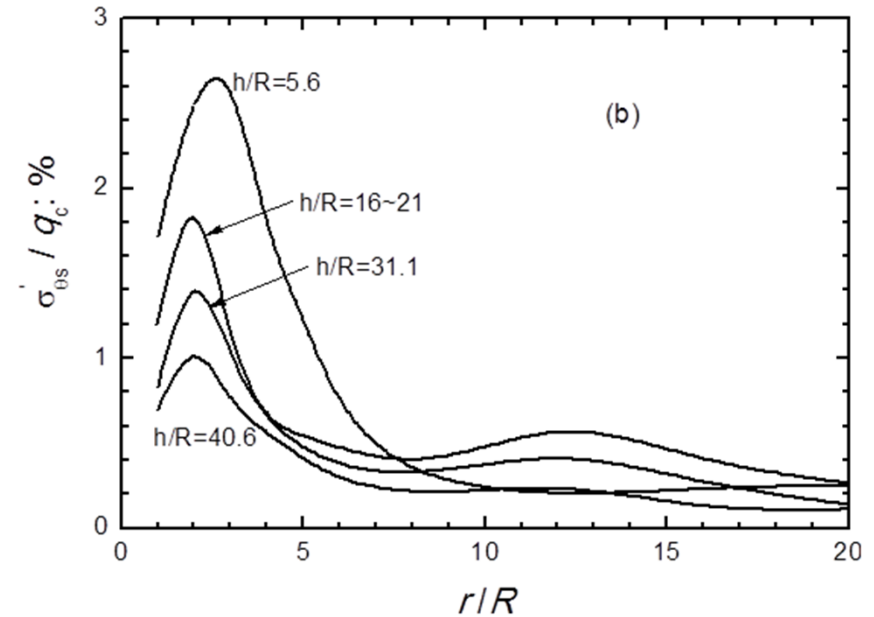
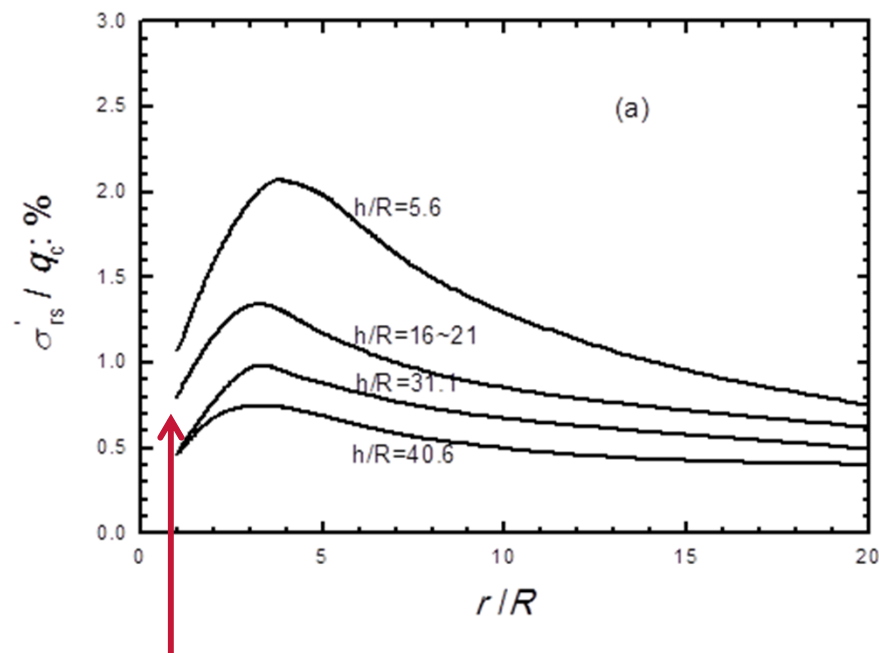


End of push



End of pause

## Radial profiles of $\sigma'_r/q_c$ and $\sigma'_\theta/q_c$ shortly after installation



Radial stresses measured on pile face – far below installation maxima

$\sigma'_r$  and  $\sigma'_\theta$  profiles interlinked, peaks in at  $2 < r/R < 4$

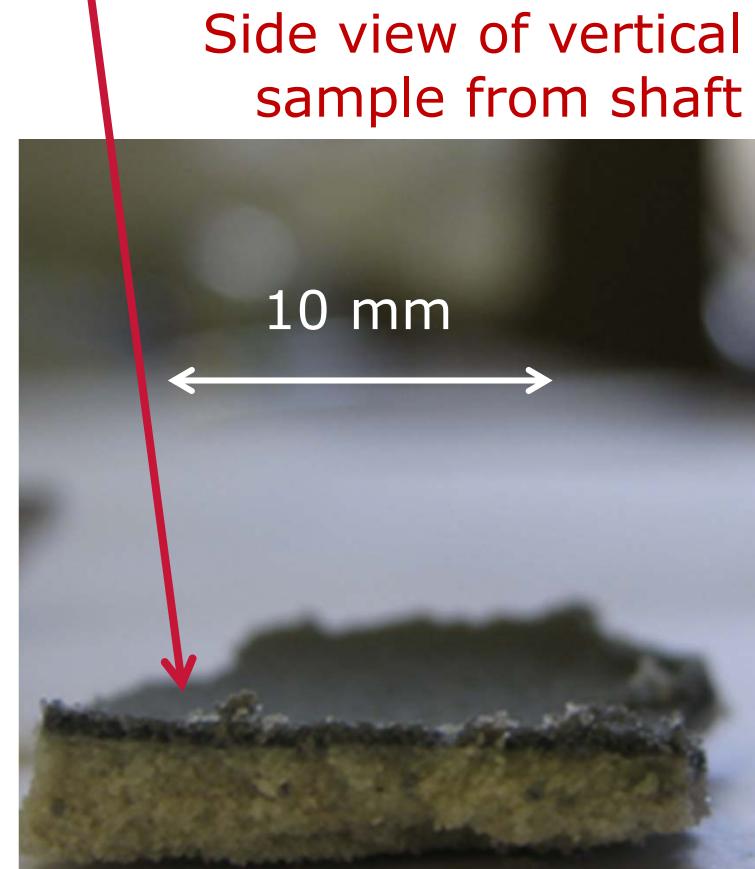
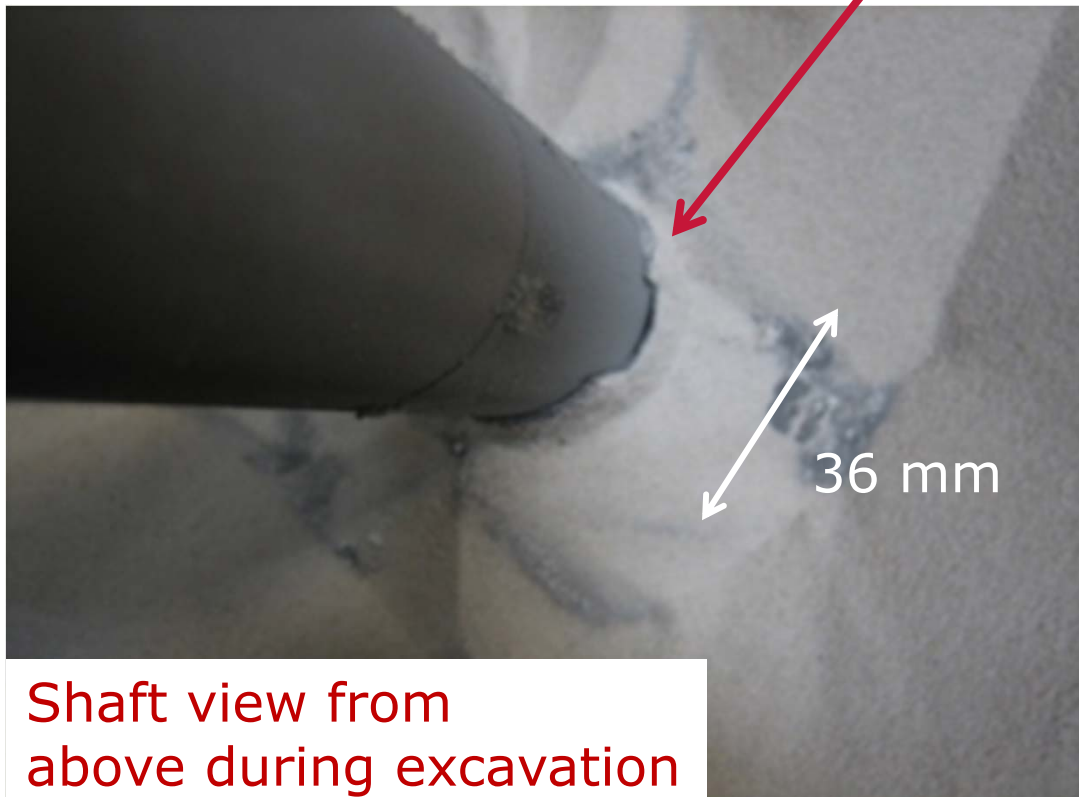
Critical to shaft capacity ageing theories

Compared later to advanced analysis



# Interface shear zone; Yang et al 2010

Grey dense fractured shear zone 'crust'  
0.5-1.5mm thick, growing with h  
Not present if  $q_c < 6$  MPa



# Breakage Zones 1, 2 & 3

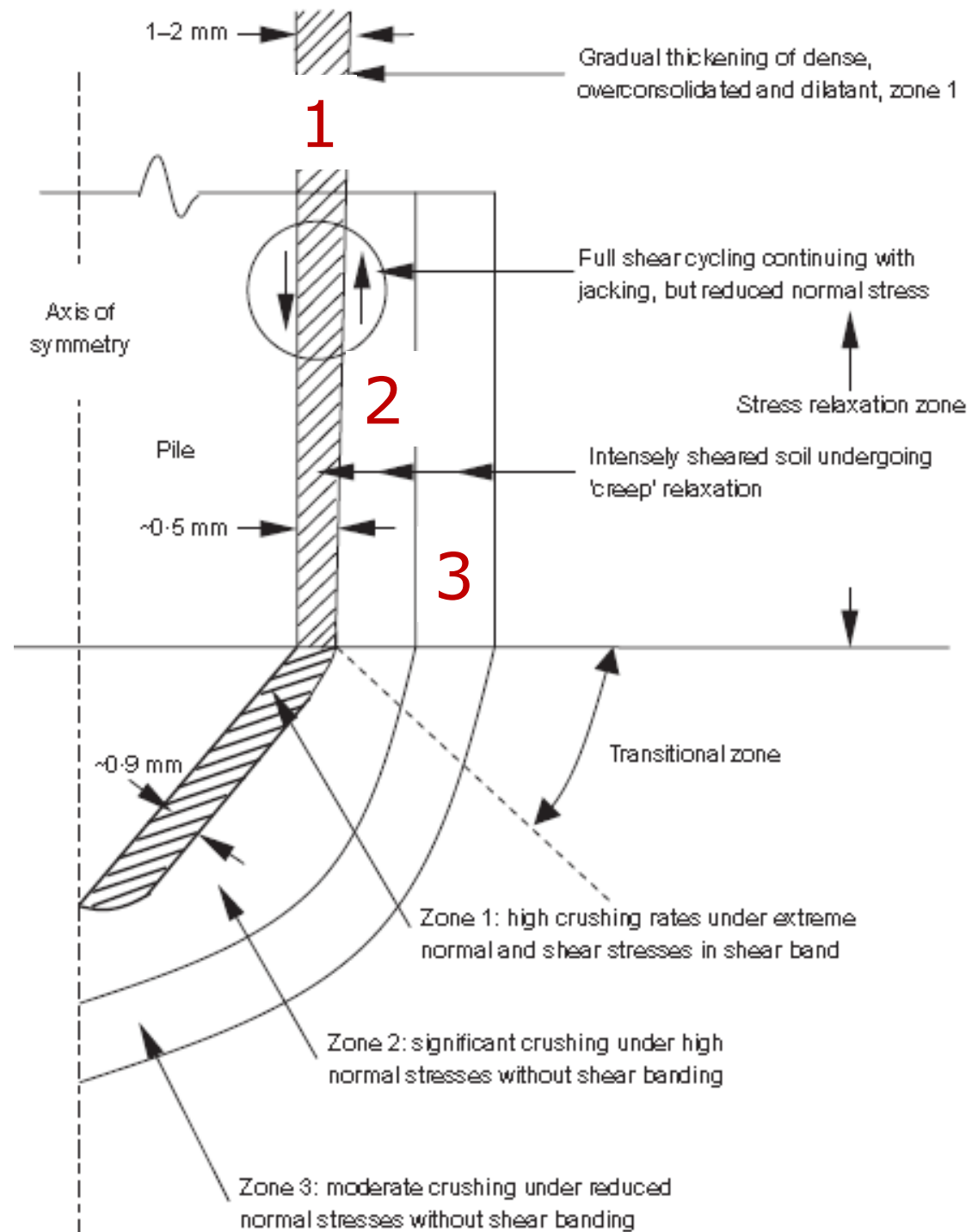
Breakage starts under tip  
 $\sigma'_v > 20$  MPa

Fractured sand displaced & spread over shaft: **Zone 1**

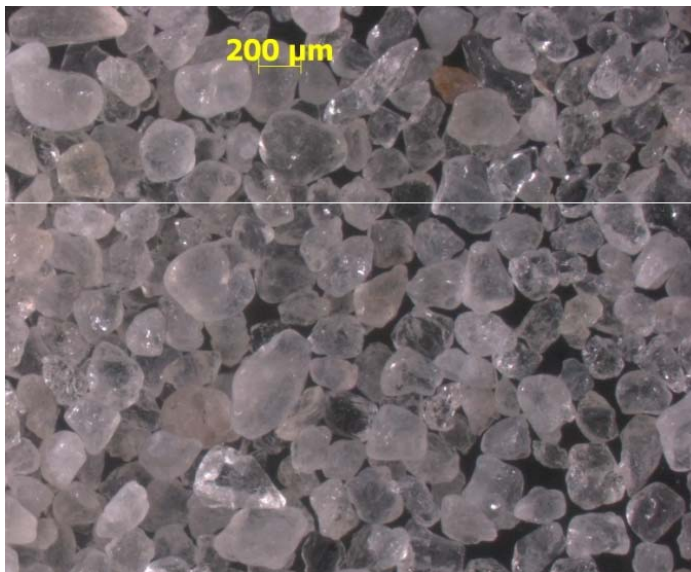
Further abrasion on shaft

Partial fracturing in outer  
**Zones 2 & 3**

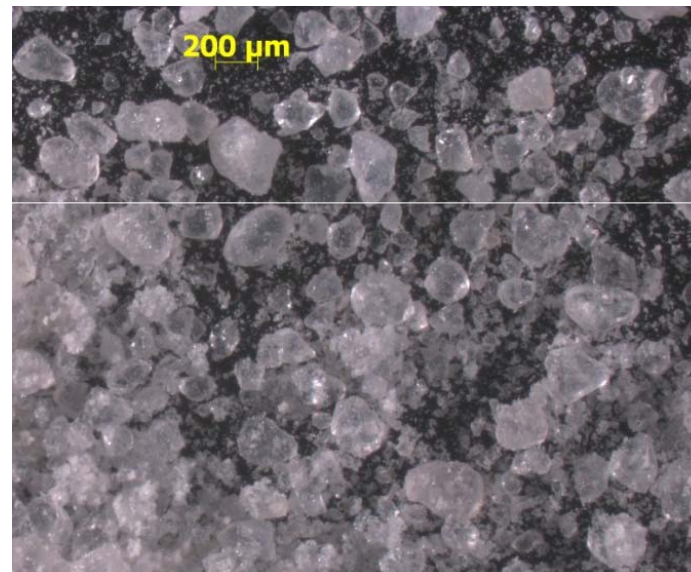
Yang et al 2010



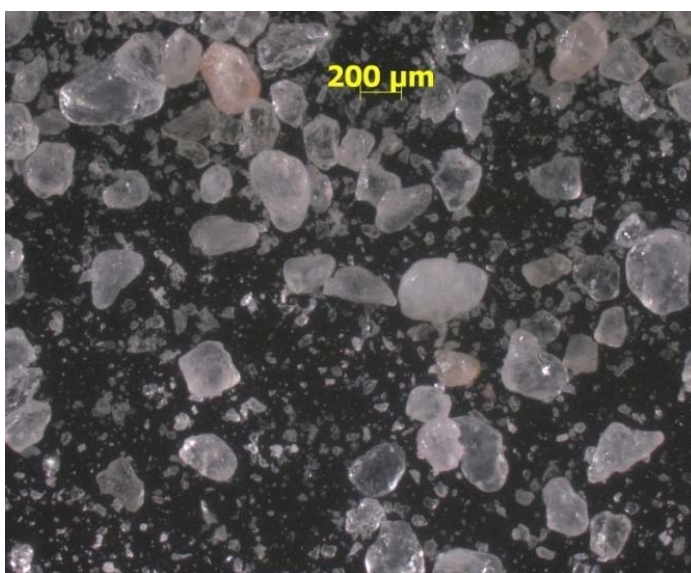
# Micro analysis of progressive grain crushing



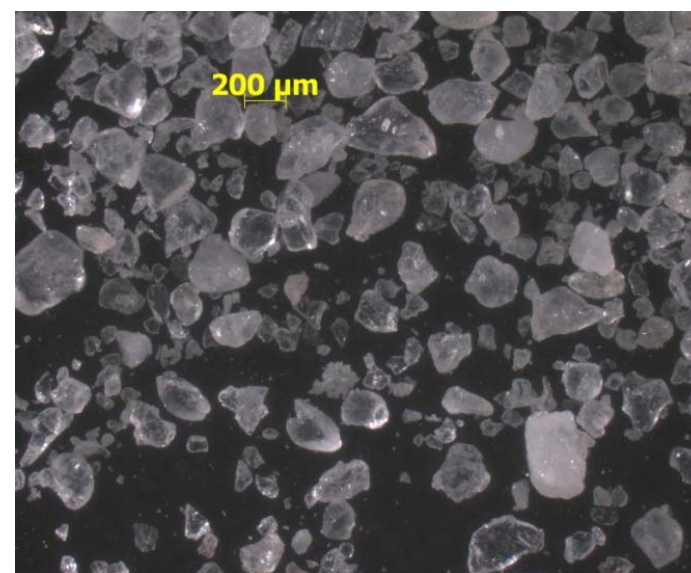
(a) Fresh



(b) Zone 1



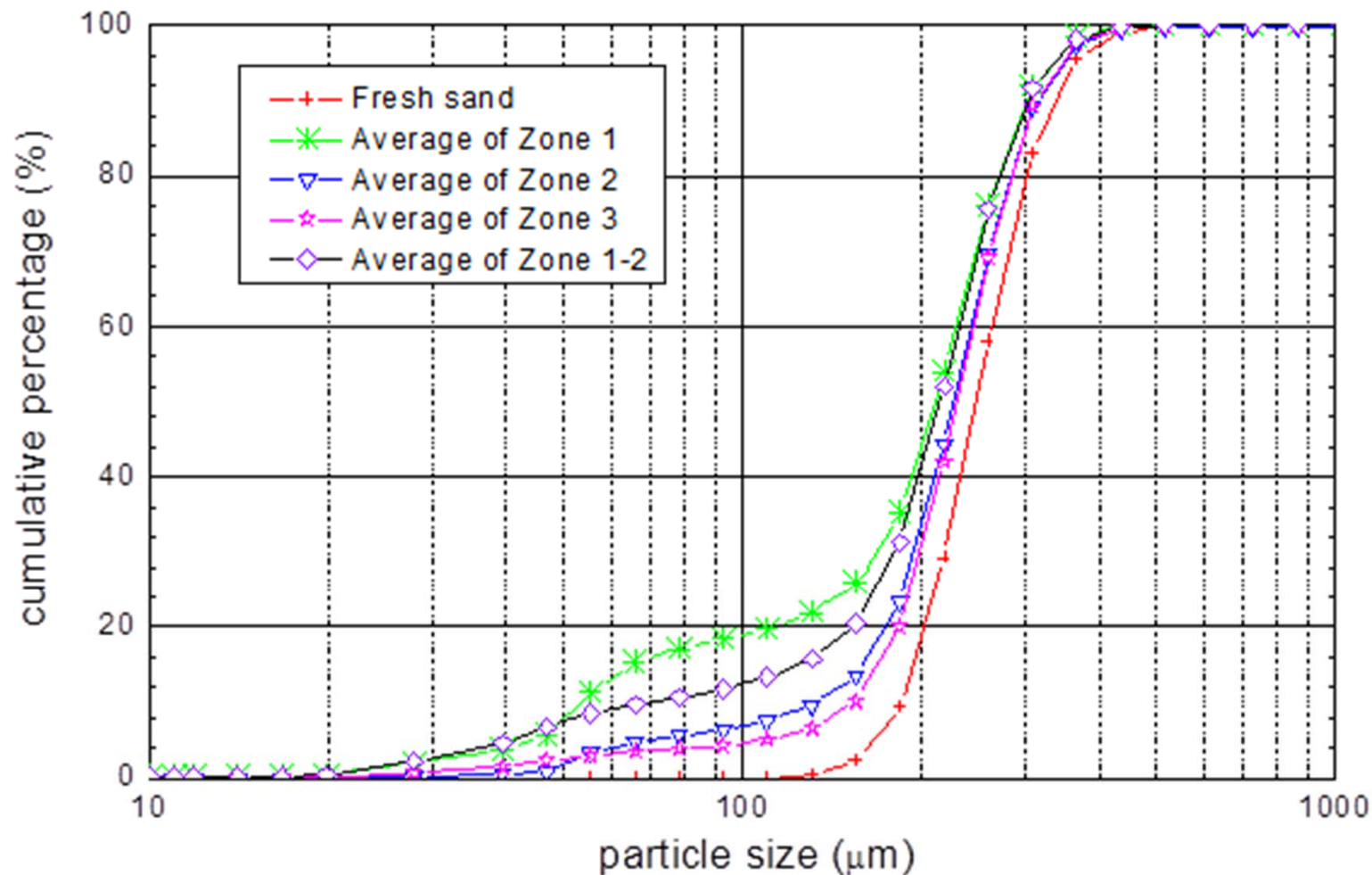
(c) Zone 2



(d) Zone 3

# Qic-Pic laser analyses of small samples:

Progression from **fresh sand** to **Zone 1 'crust'**



Breakage most severe in **Zone 1**, less in **Zones 2 & 3**

## **Theme 5**

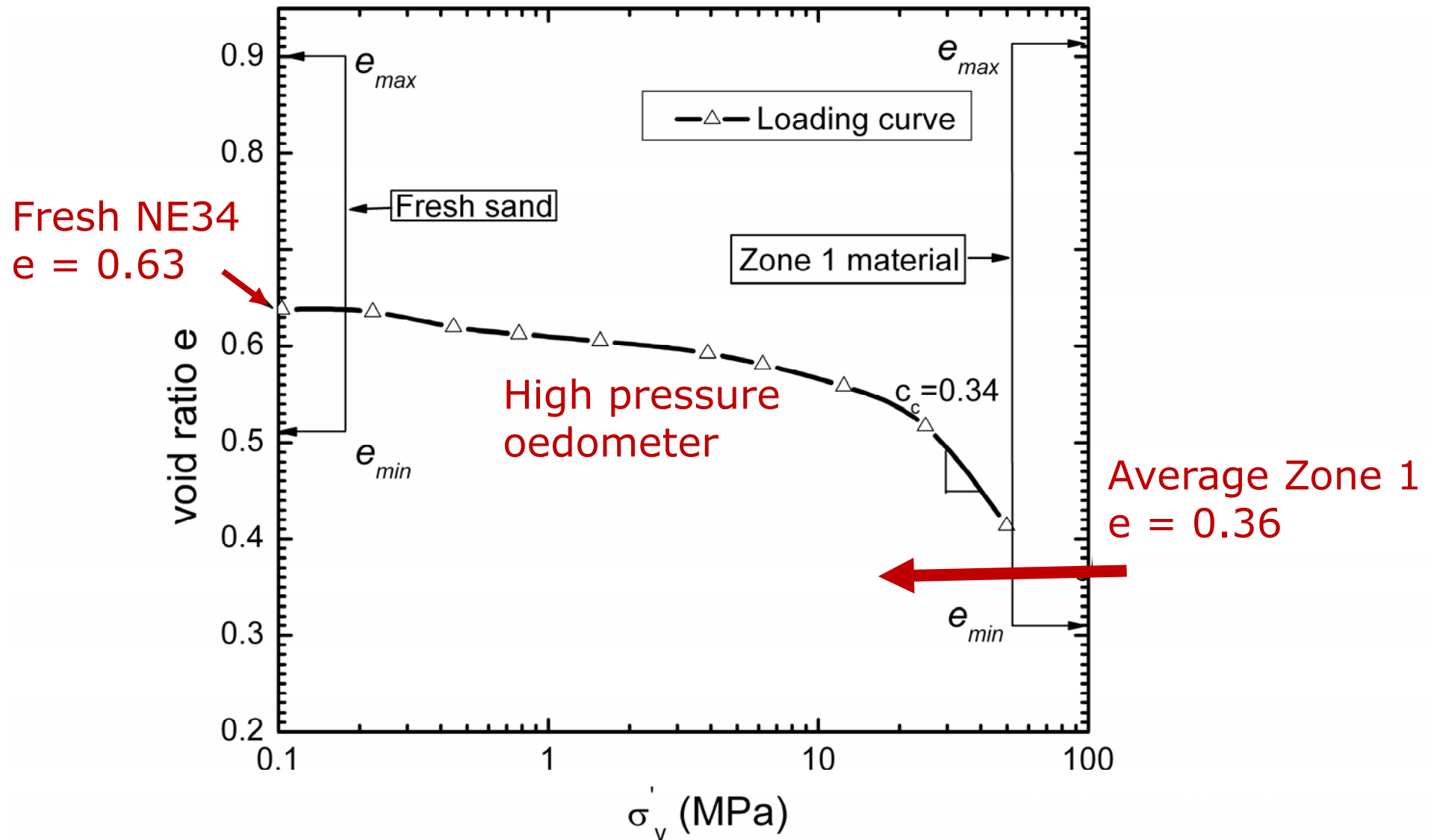
Matching pile conditions in lab tests

Oedometer, interface ring-shear & high-to-low  
pressure stress path experiments



# High pressure oedometer compared to Zone 1

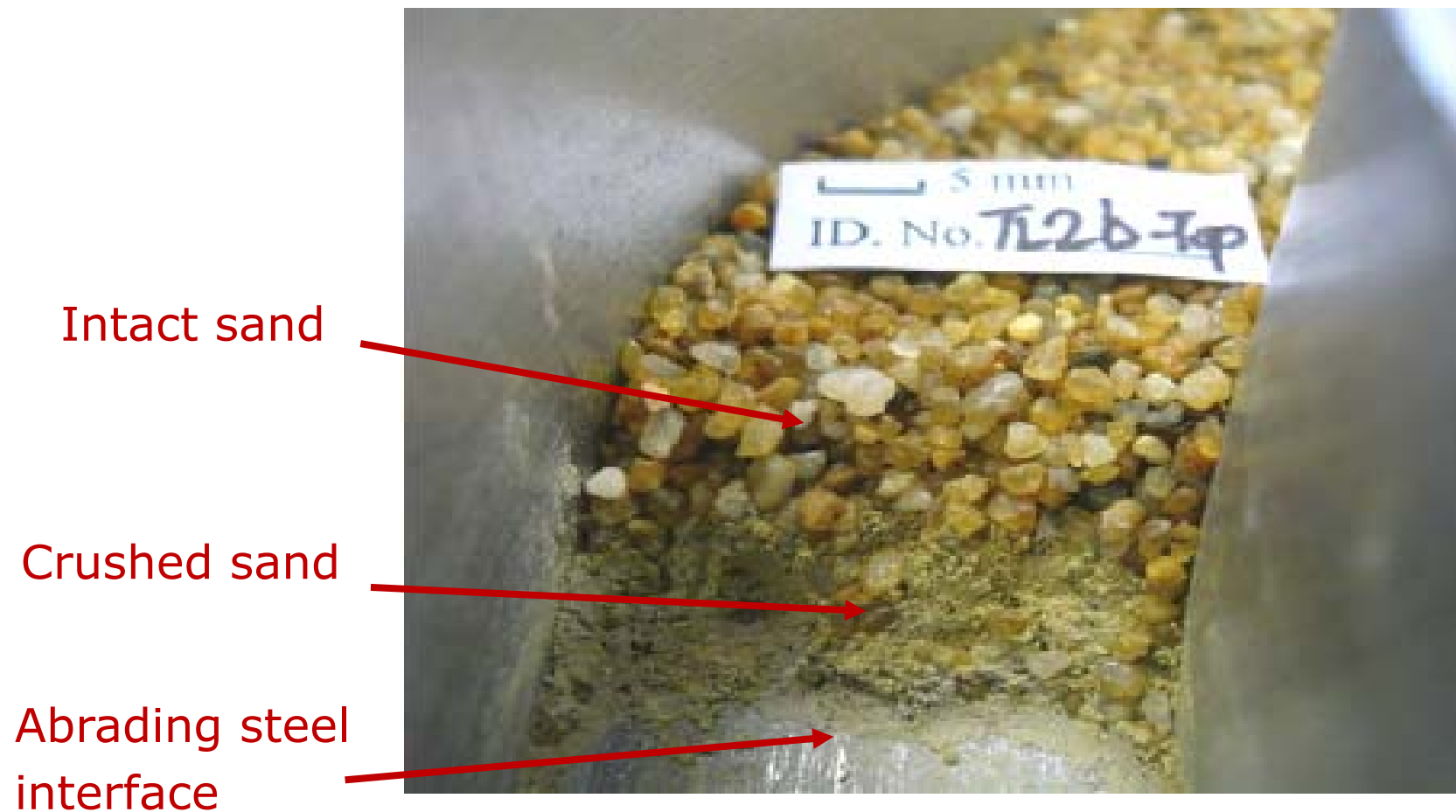
Void ratios, limits & sand states





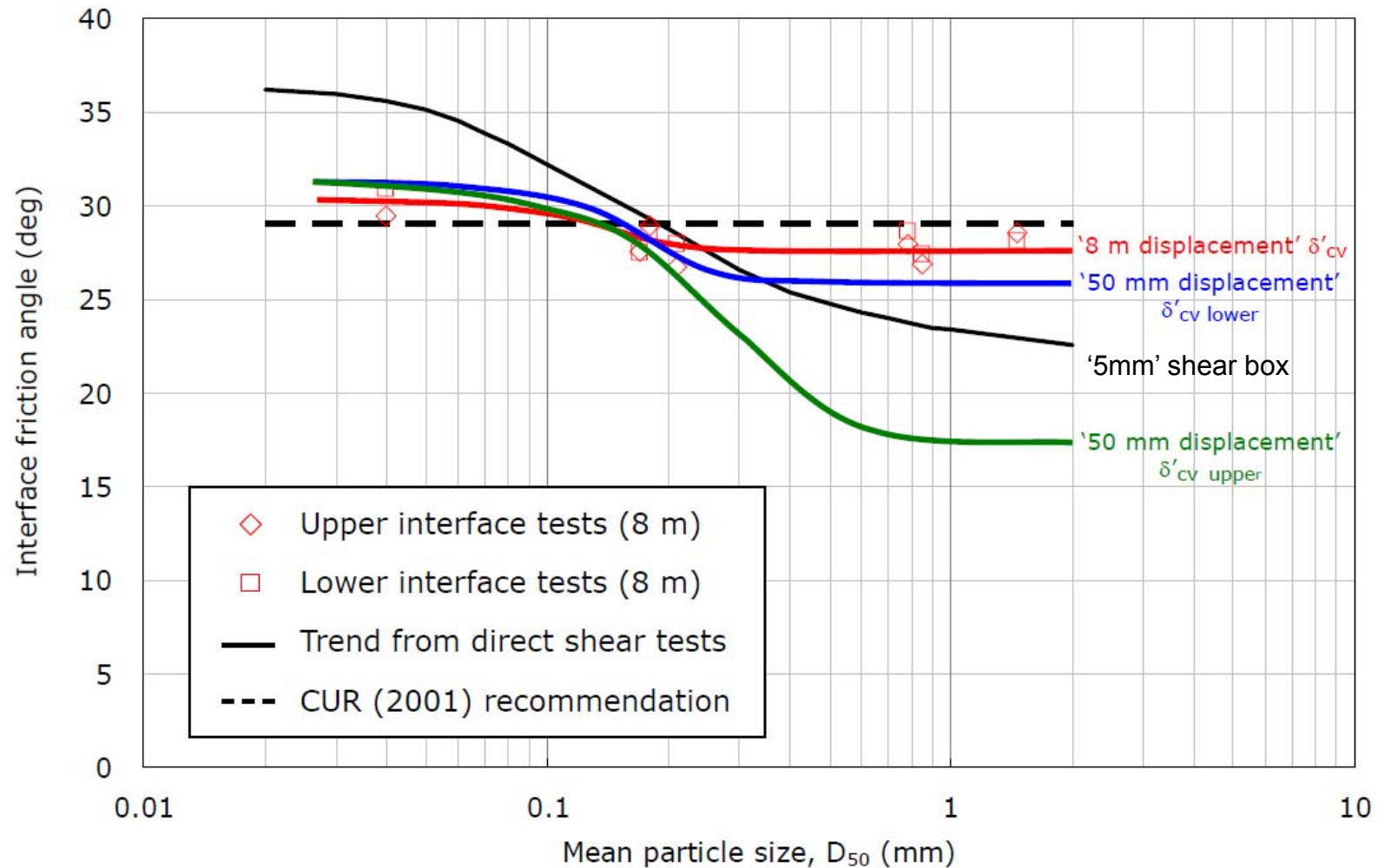
# Replicating shear zones: 'Bishop' ring-shear interface tests

Sands sheared against steel for metres  
 $\sigma'_n$  up to 800 kPa; Ho et al 2011



Wide range of silica sands: coarse example

# Interface shear angles vary with shear displacement



Large displacement  $\delta$  angles: independent of  $I_D$  and vary less with  $d_{50}$  & interface configuration

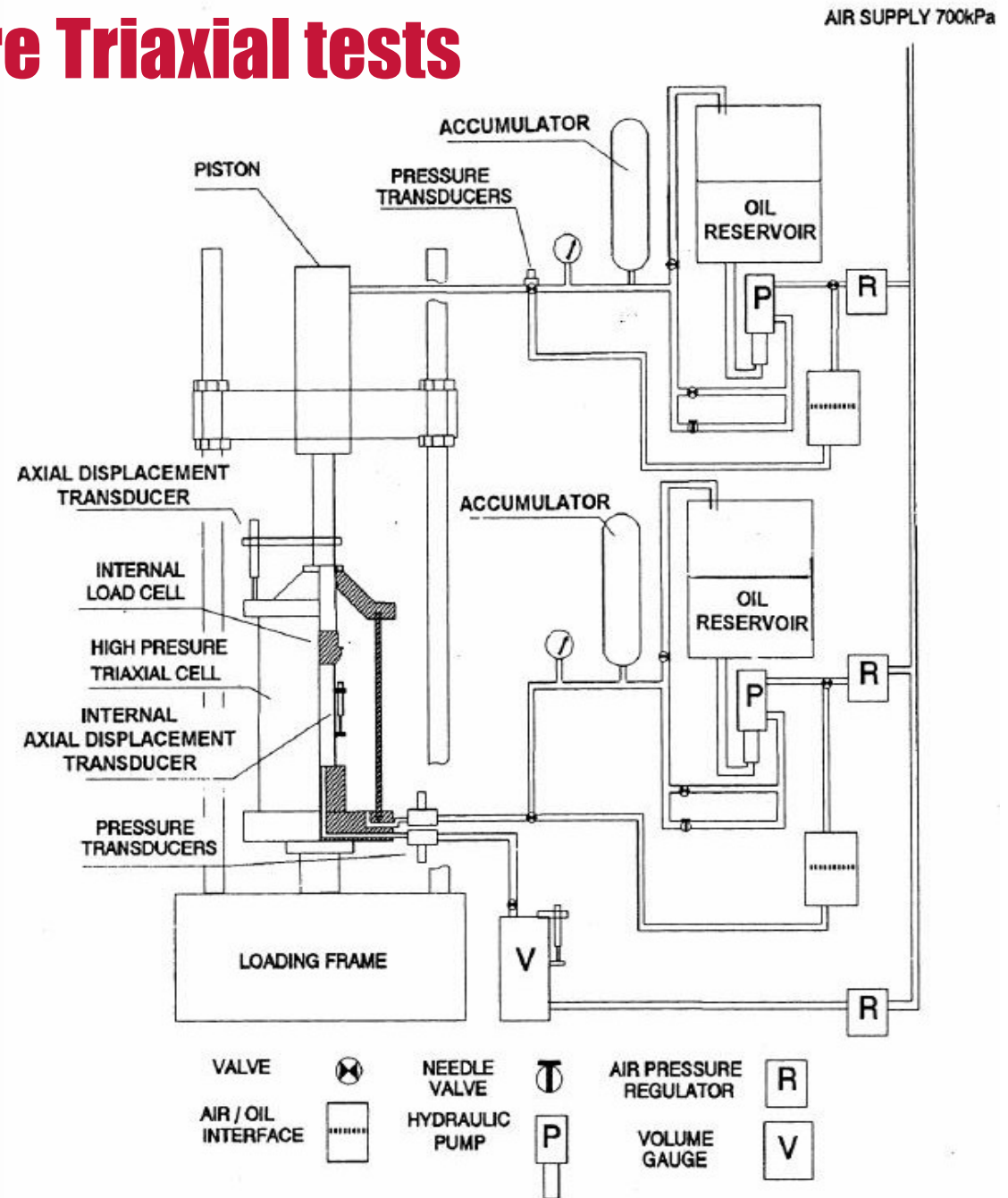
# High-to-Low Pressure Triaxial tests

50mm D, 100mm L  
Dense NE 34 specimens

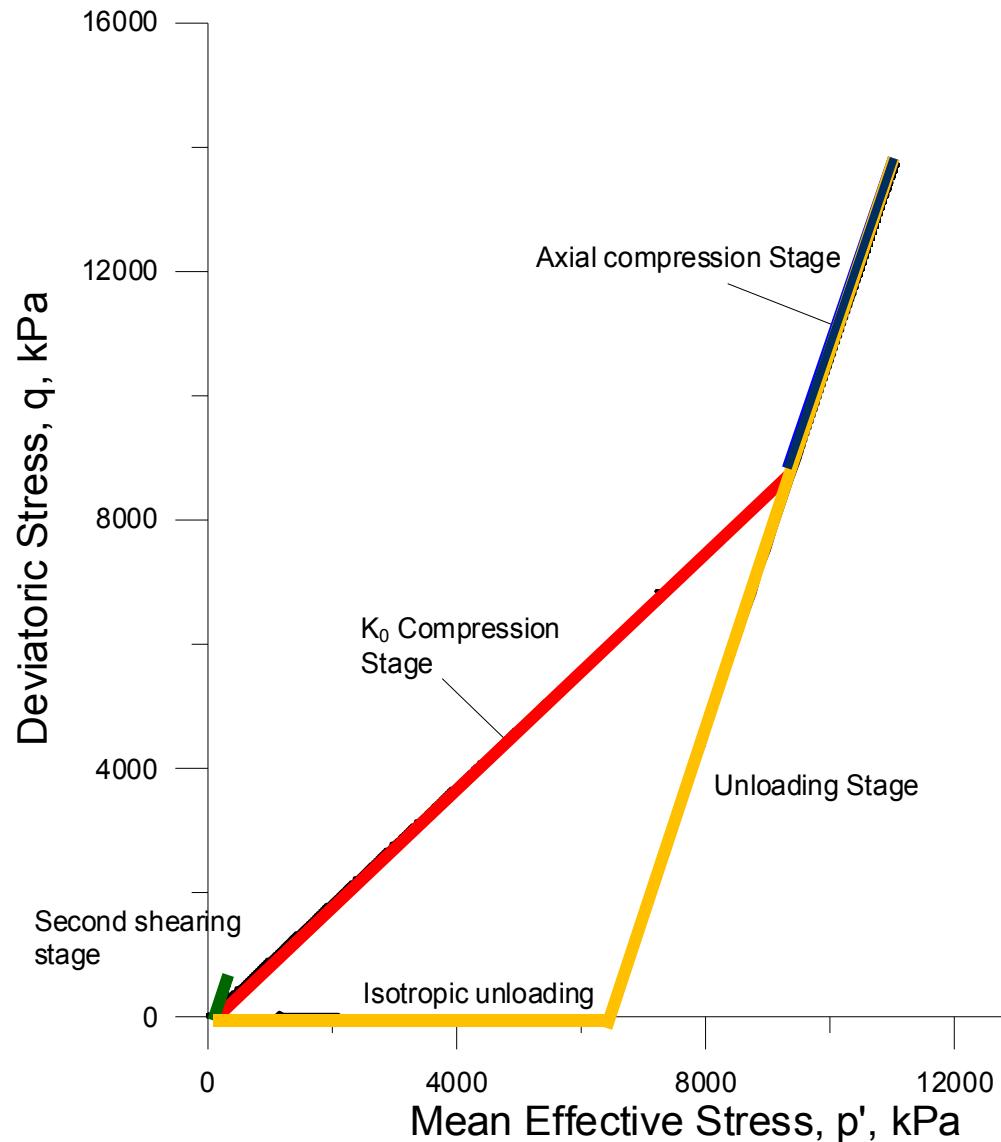
Cuccovillo and Coop  
1998 test system

High-to-Low pressures,  
without dismantling &  
changing soil fabric

Matching model pile  
installation stress paths



# High-to-Low pressure stress-path tests



$K_0$  compression: tip advancing from above

Active shearing: tip arrival with  $\sigma'_v > 20\text{MPa}$

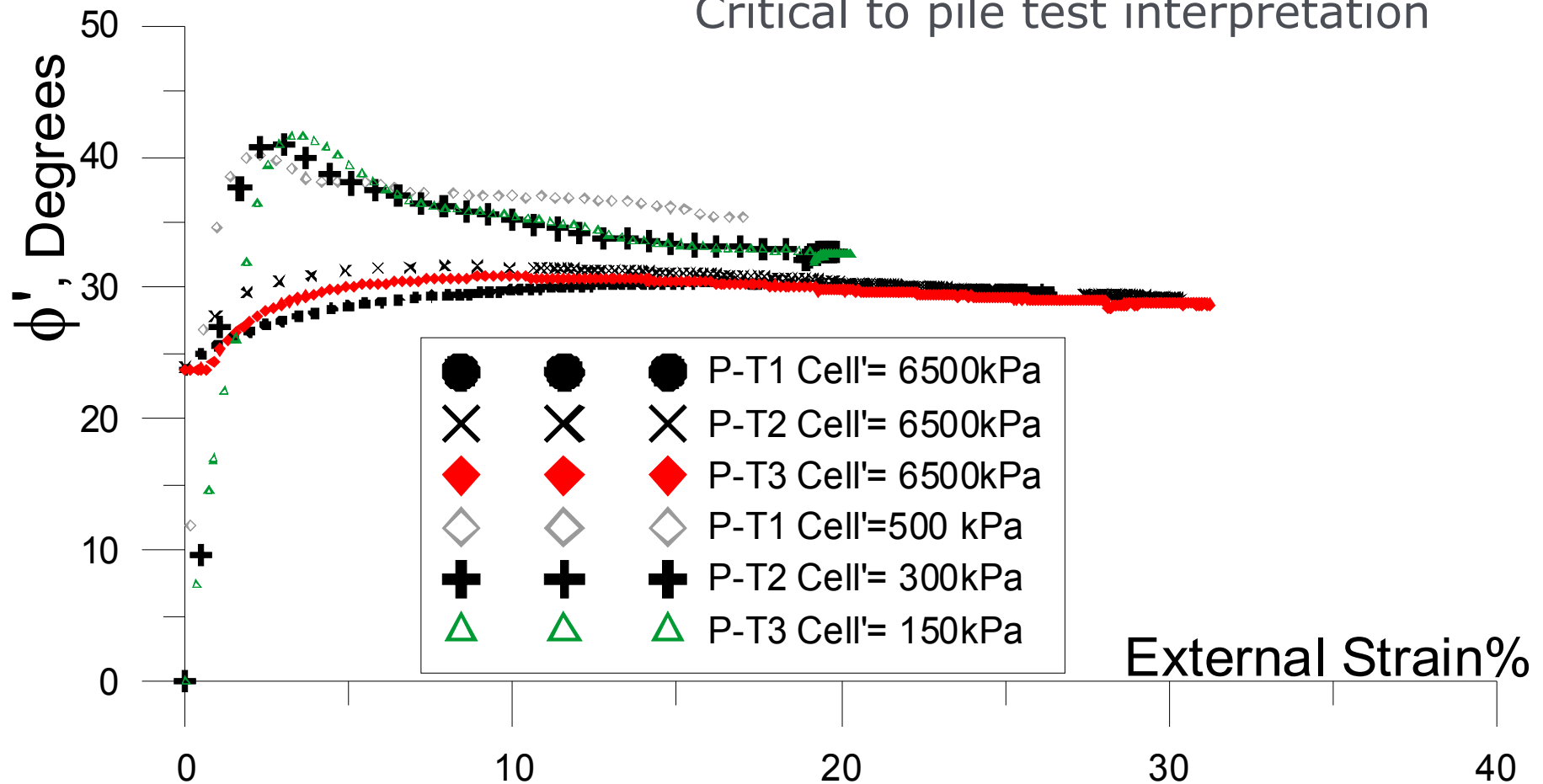
Unloading, tip advancing to greater depth

Re-shearing, in compression or extension at high 'OCR'

# Effects on angle of shearing resistance?

High pressure 1<sup>st</sup> shearing: Ductile response low peak  $\phi'$

Low pressure re-shear: Brittle and much higher peak  $\phi'$   
Critical to pile test interpretation



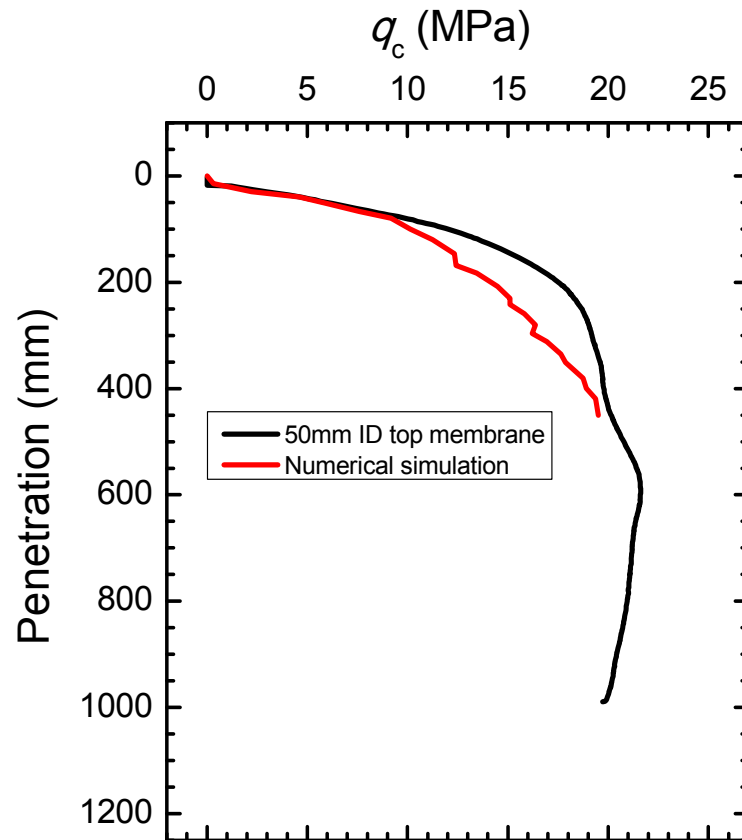
## Theme 6

Simulating crushing and pile installation stresses

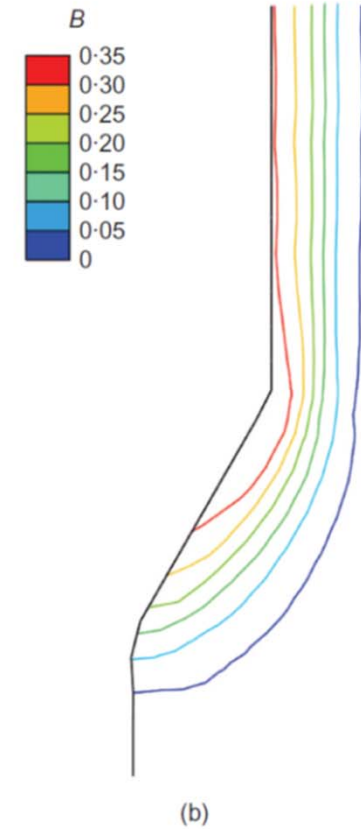
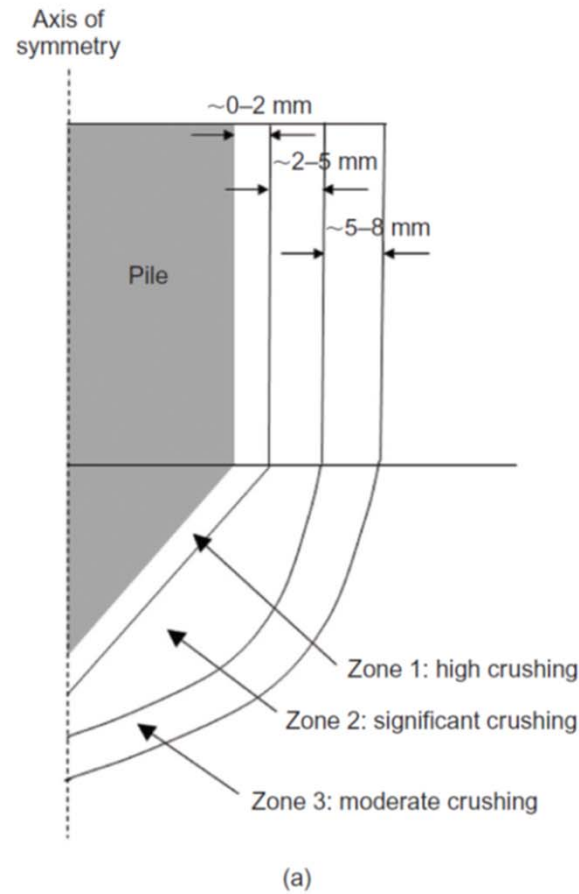
'ALE' Finite Element method; Zhang et al 2013a, b

Monotonic penetration with 'Breakage' mechanics model  
from standard lab tests

# End bearing and breakage: Zhang et al 2013's predictions



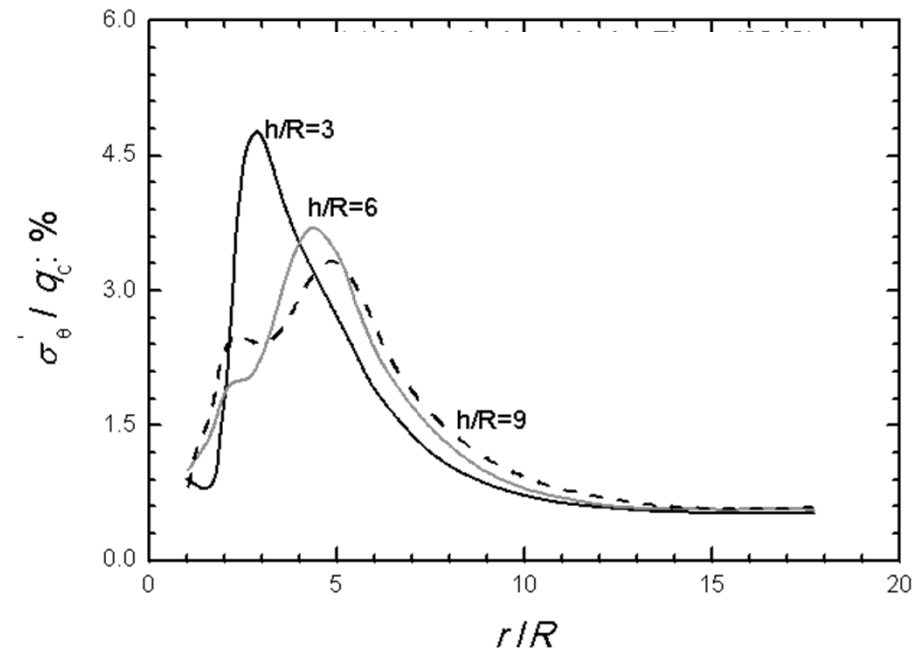
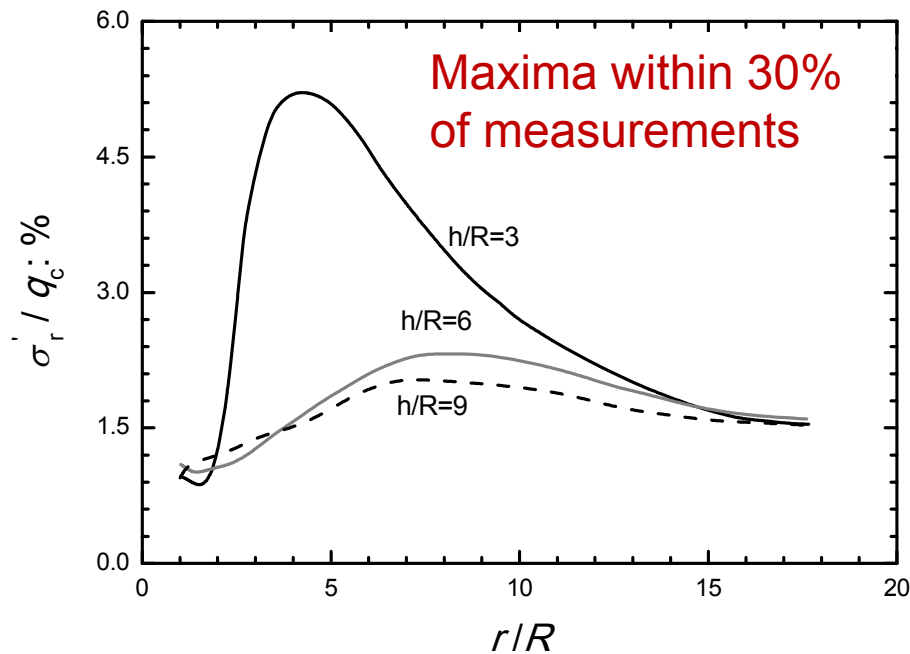
Predicted and measured pile tip stresses  $q_c$



Contours of breakage parameter  $B$ :  
Fresh sand  $B = 0$ , fully fractured  $B = 1$



# $\sigma'_r/q_c$ and $\sigma'_\theta/q_c$ profiles predicted during installation



Encouraging agreement with **cyclic penetration** model pile tests

But predictions steady at  $h/R > 10$ , while shaft  $\sigma'_r/q_c$  measurements keep falling with  $h/R$

Improve by modelling shaft abrasion & cyclic penetration?

# Theme 7

Cyclic axial loading

Model pile lab tests extending Dunkerque  
field experiments

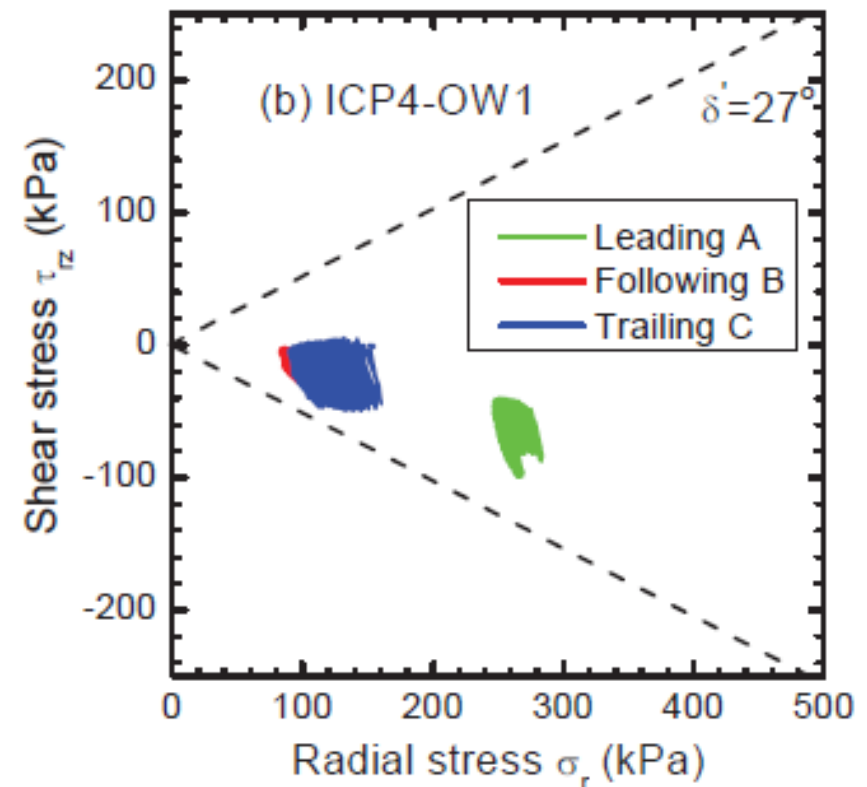
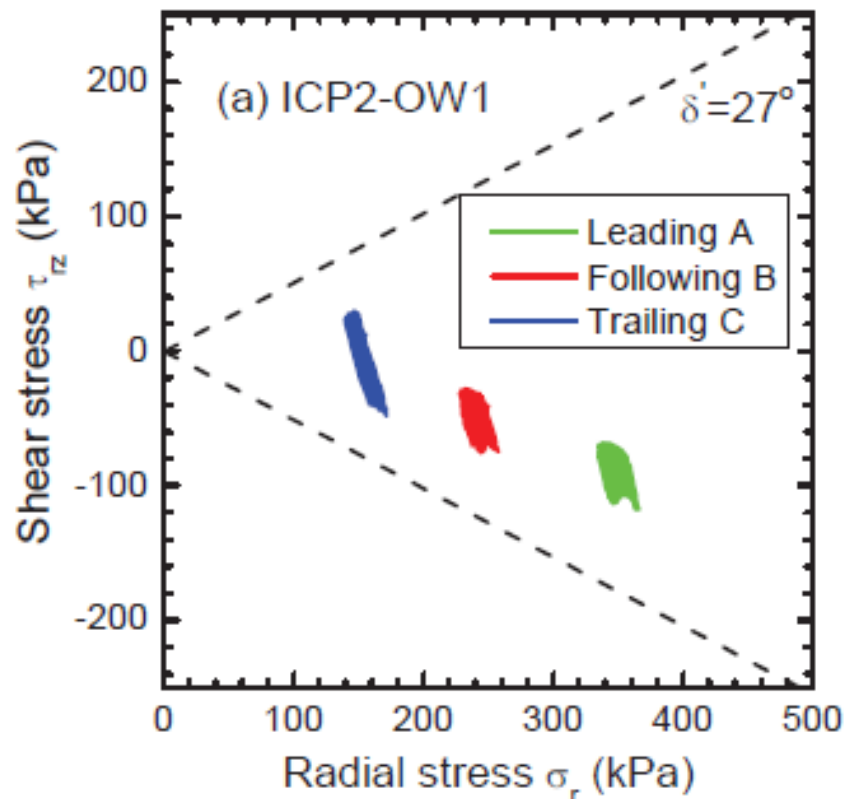
Parallel cyclic lab element testing

Integration into practical design

# Stable Mini-ICP cycling: interface stress paths

Load-controlled to  $N > 1000$

Stresses remain within  $Y_2$  shaft capacity rises



Tsuha et al (2012)

# Unstable stress paths

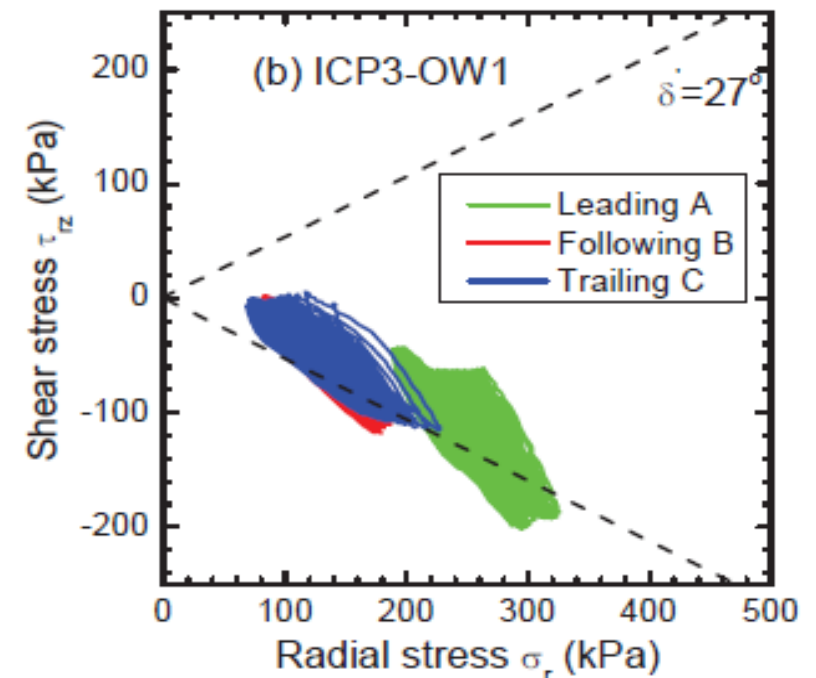
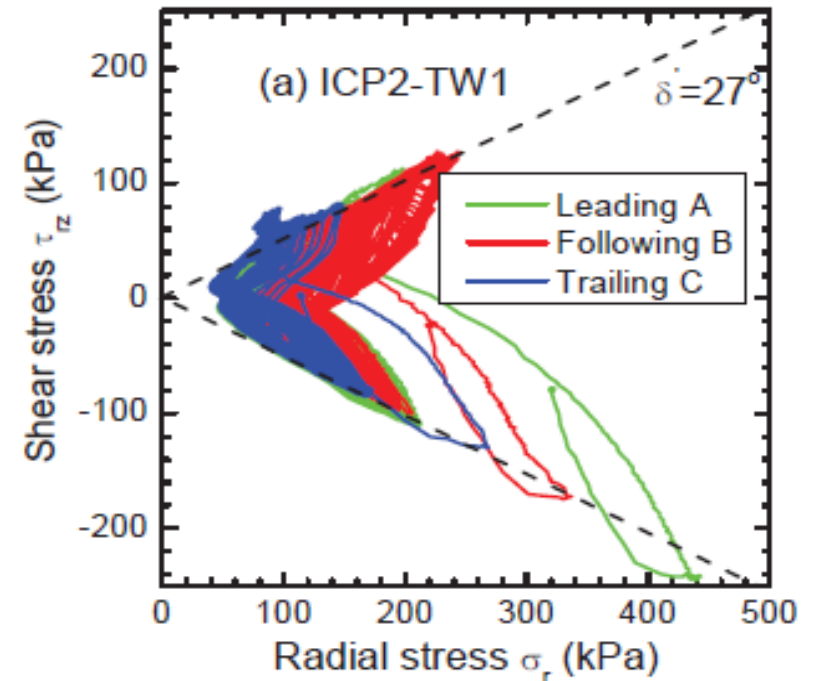
## Mini ICP tests failing with $N < 100$

Displacement-controlled  
Two-Way tests engage  $Y_3$  and  $Y_4$   
Phase transformation at interface

Load-controlled  
One-Way tests engage  $Y_2$   
Drift towards interface failure

Shaft capacity falls markedly

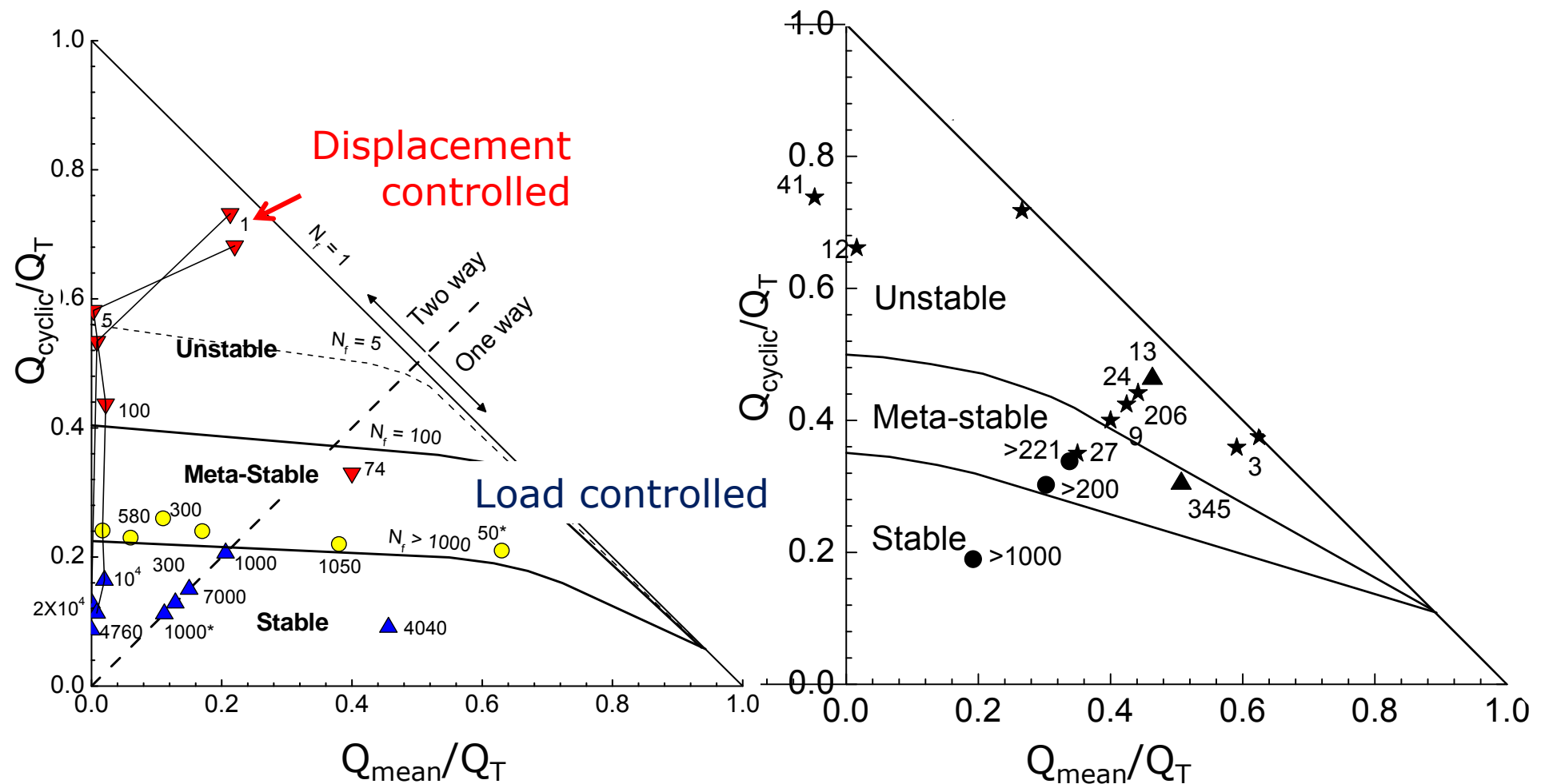
Tsuha et al (2012)



# Cyclic failure interactions: model & field cases

Mini-ICP & NE34

Dunkerque full scale



Comparable trends; field response marginally more robust

# Matching cyclic conditions in lab element tests

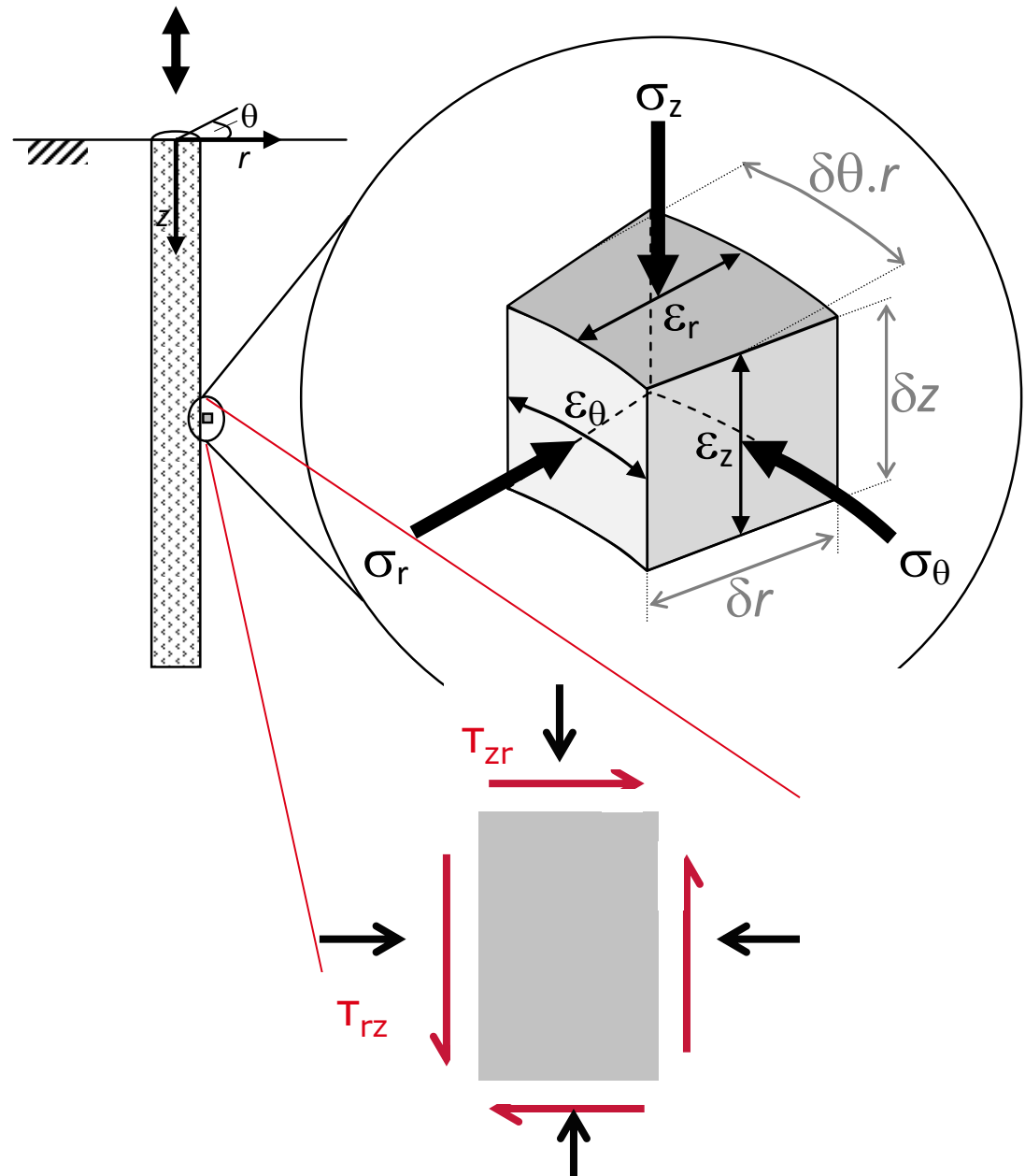
$\gamma_{rz}$  shearing dominates  
 $\epsilon_\theta = 0$ ,  $\epsilon_z$  is small  
 $\epsilon_r$  constrained by soil mass

Interface  $\delta\sigma'_r/\delta r = 2G/R$   
Constant Normal Stiffness?  
 $G \neq \text{constant}$ ,  $R = \text{variable}$

Apply undrained  $CNS = \infty$   
Cyclic Triaxial CTX or  
Simple Shear CSS tests

CSS tests in HCA?

Pre-cycling stress path?



# Undrained cyclic element tests: NE34 & Dunkerque sands

1500 cycle CTX tests from  $OCR = 4$

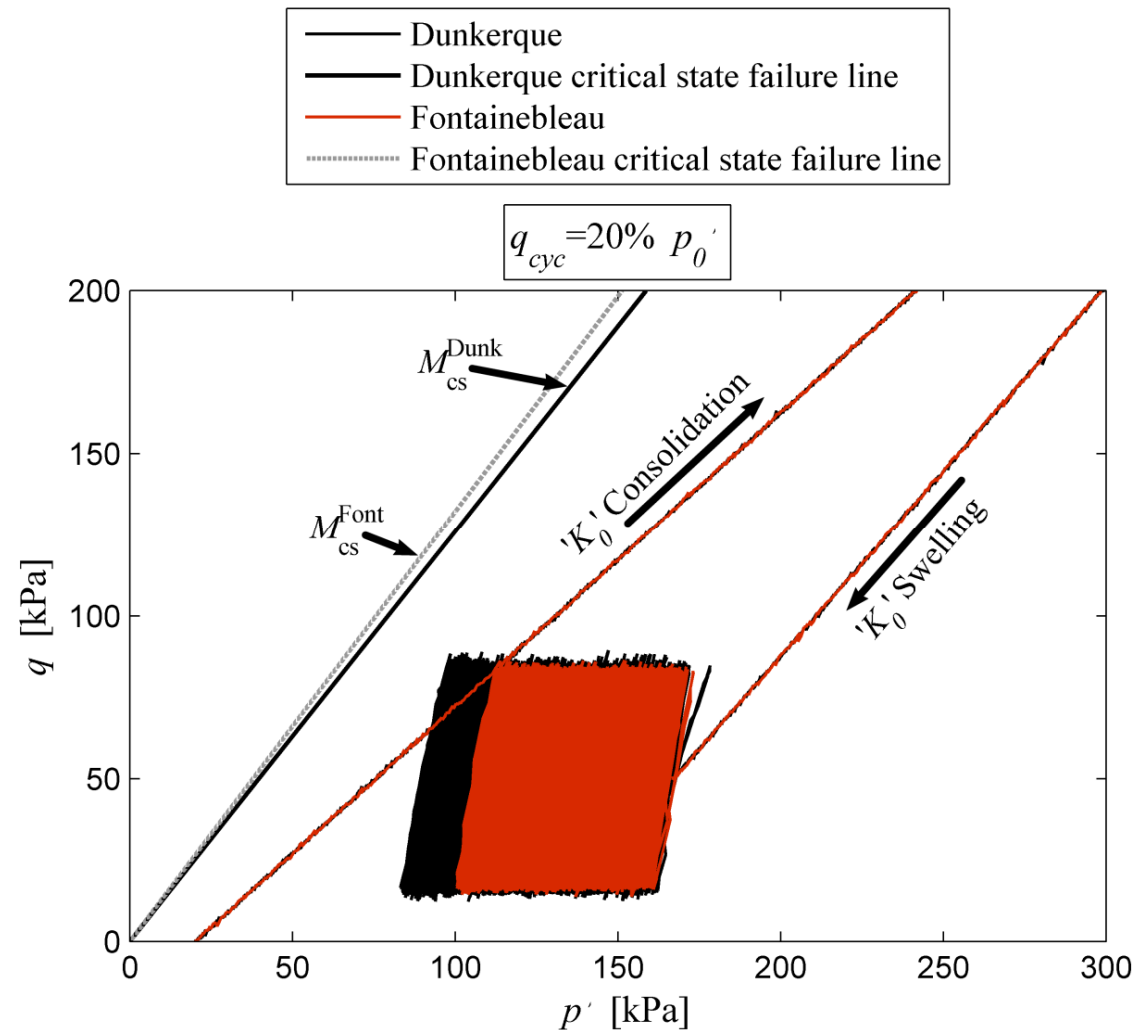
$Y_2$  yielding and  $p'$  drift rates depend on:

$q_{cyclic}/p'$  and  $N$

CTX or HCA CSS mode

OCR & pre-cycling

Creep & ageing pauses

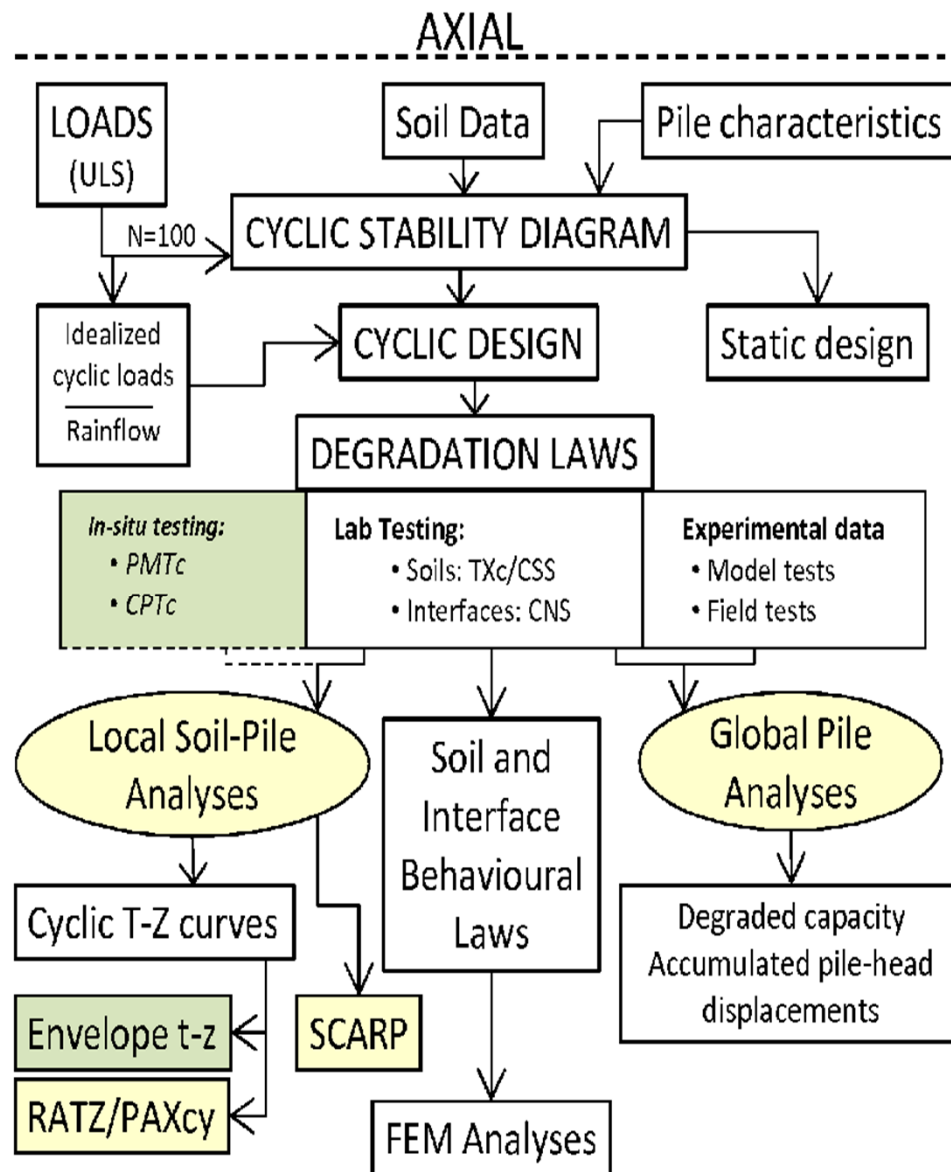


Sim et al 2013



# Practical Application:

# Wednesday TC-209 workshop



Borkum West II; Merritt et al 2012

Jardine et al 2012

Andersen et al 2013

SOLCYP – Puech et al 2013

# Bishop Lecture: Summary and Conclusions

- Challenges posed by field experience & observations
- Advanced Lab testing: permits scrutiny of Elastic, Plastic, Anisotropic, Kinematic Yielding, Time-dependent and Cyclic soil behaviour in precise experiments
- Also critical to investigating pile installation stresses, grain-crushing, interface-shear & cyclic behaviour
- Endorse Bishop's approach: integrate laboratory experiments with field & analytical research - and help apply the results in practice

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