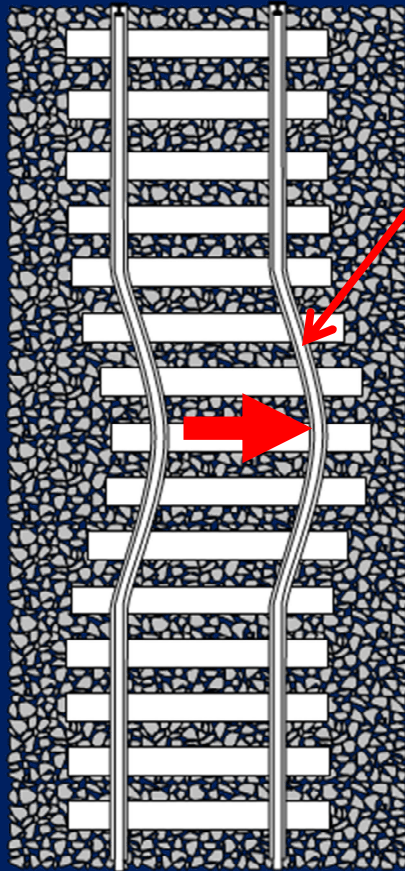


*Lateral Resistance Characteristics of Ballasted Tracks  
with Countermeasures against Rail Buckling*

Yokohama National University (YNU) Kimitoshi Hayano  
Railway Technical Research Institute (RTRI) Takahisa Nakamura  
Railway Technical Research Institute (RTRI) Yoshitsugu Momoya

# Background



Increase of axial force with the increase of rail temperature

Lateral resistance of ballasts

Earthquake affects.



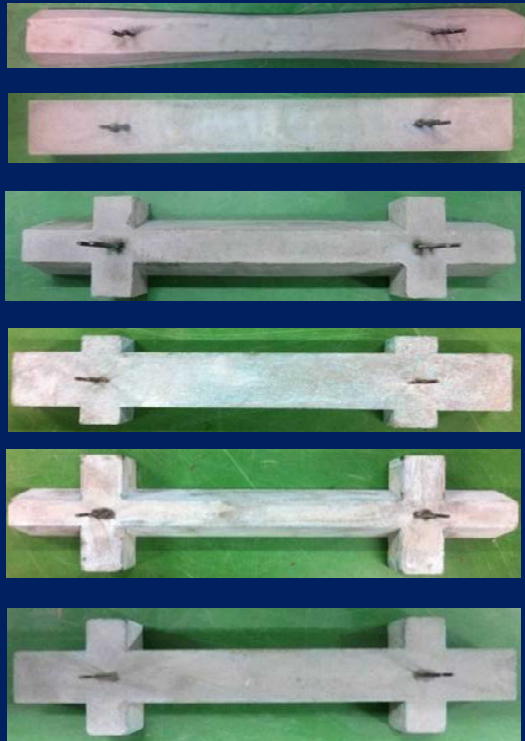
Damage observed after an earthquake  
(Momoya et al. 2013)

Nakamura et al. (2014) conducted a series of shaking table tests on full-scale ballasted tracks. They found that lateral resistance of ballasts was reduced during and after seismic motions.



Shaking table tests on a full-scale ballasted track (Nakamura et al. 2014)

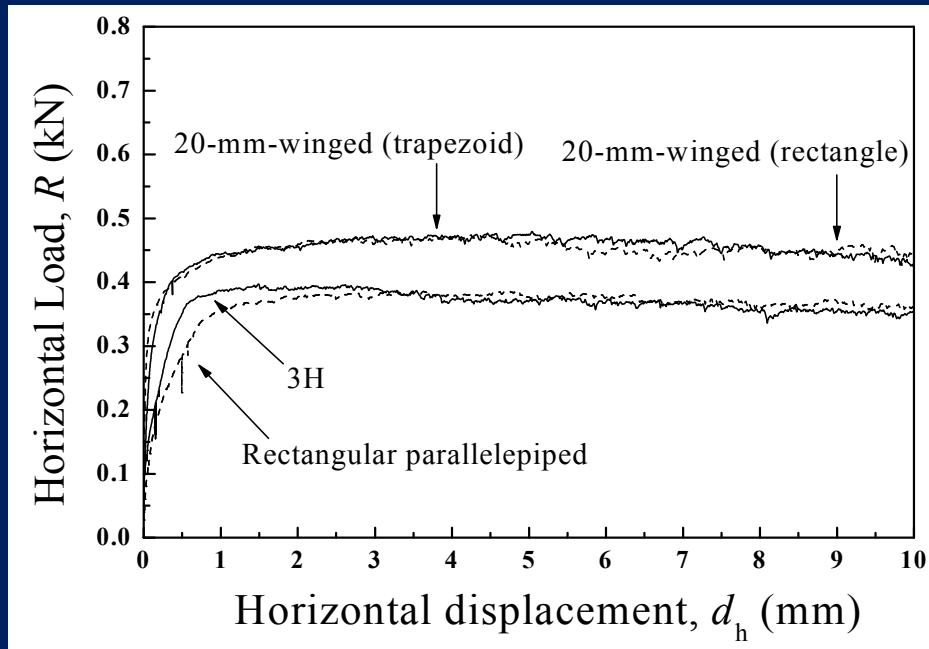
Koike et al. (2014) performed single-sleeper pull-out tests and track panel pull-out tests in the laboratory on 1/5-scale models to evaluate the lateral resistance of ballasts with various shapes of concrete sleepers.



Sleepers prepared for model tests  
(Koike et al. 2014)



Track panel pull-out test on 1/5-scale models  
(Koike et al. 2014)



Horizontal loads and horizontal displacements relationships from track panel pull-out tests (Koike et al. 2014)

They found the effects of winged sleepers on the lateral resistance of ballasts under various conditions.

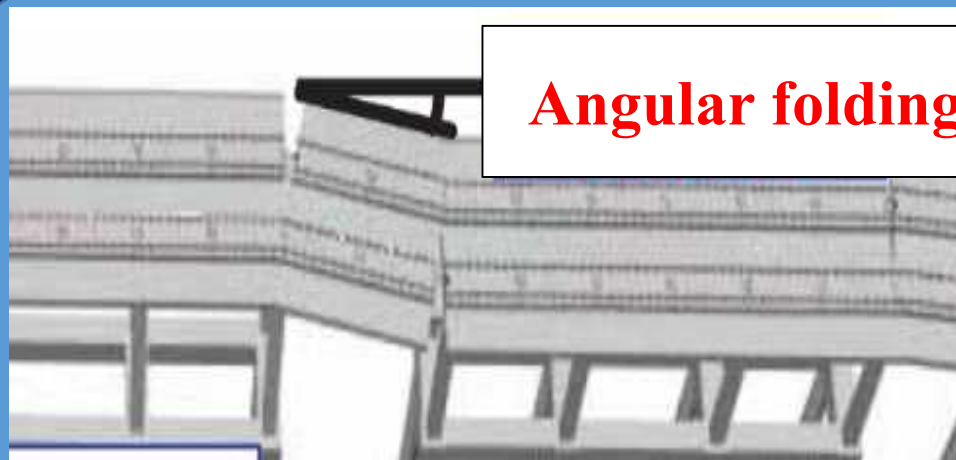
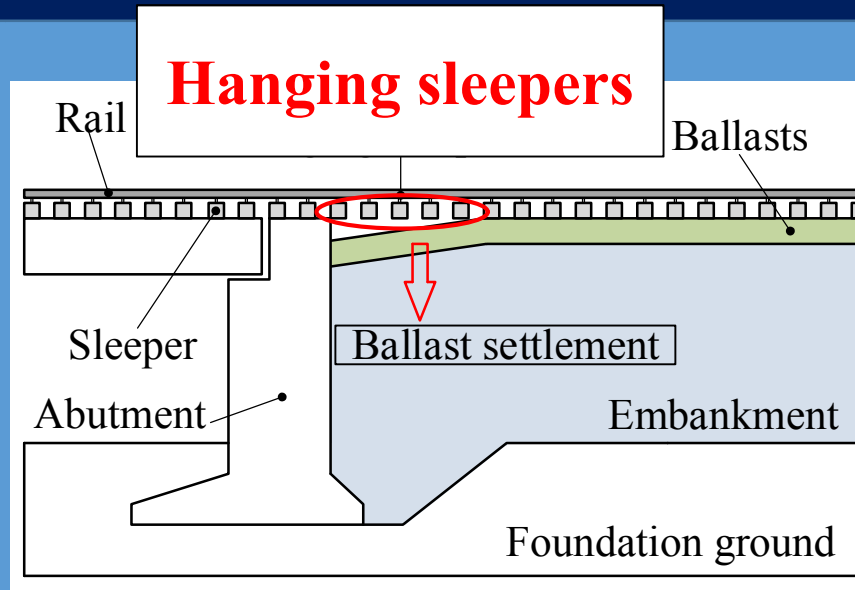


Winged sleepers are introduced in one of high-speed railways to increase the lateral resistance of ballasts.

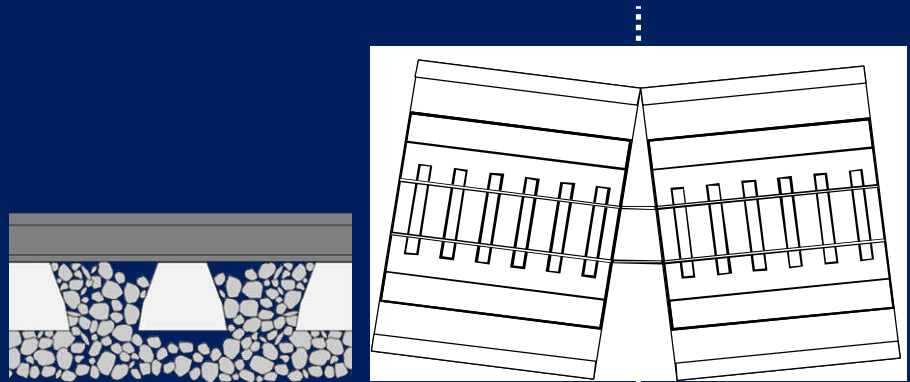


Winged sleepers implemented in a line

However, the ballasts at transition zones or structure boundaries are affected not only by seismic motions but also local settlements.

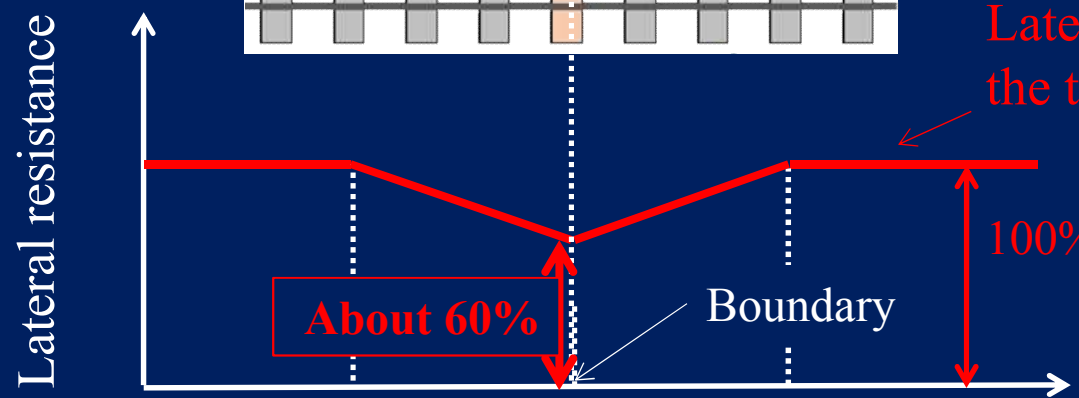
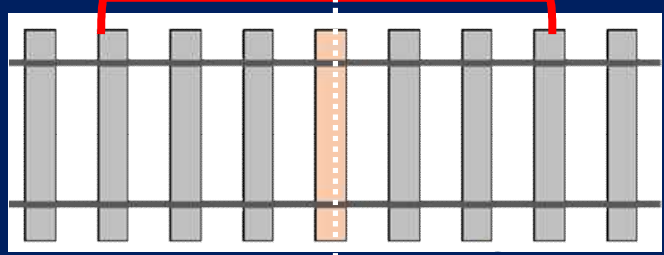


Yamamoto et al. (2016) performed model tests to evaluate the lateral resistance of ballasts subjected to angular folding.



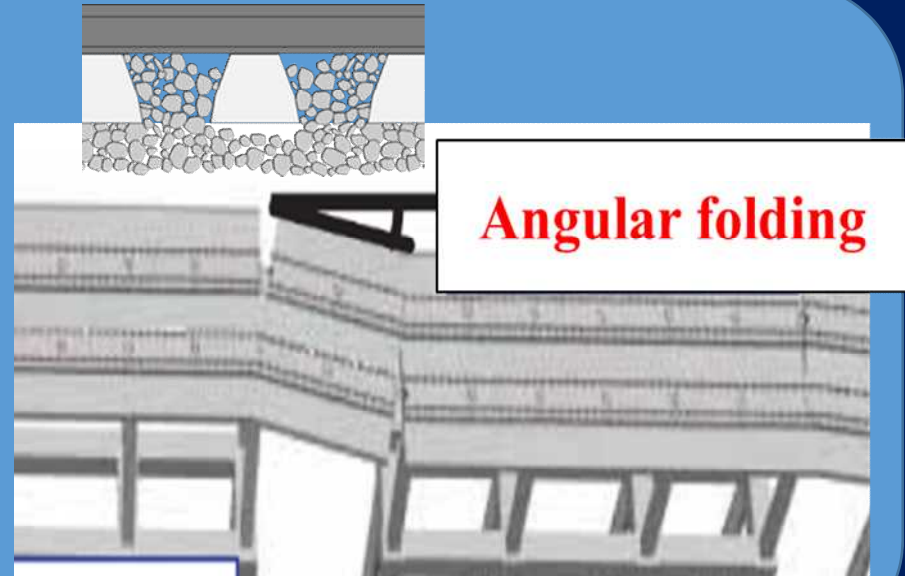
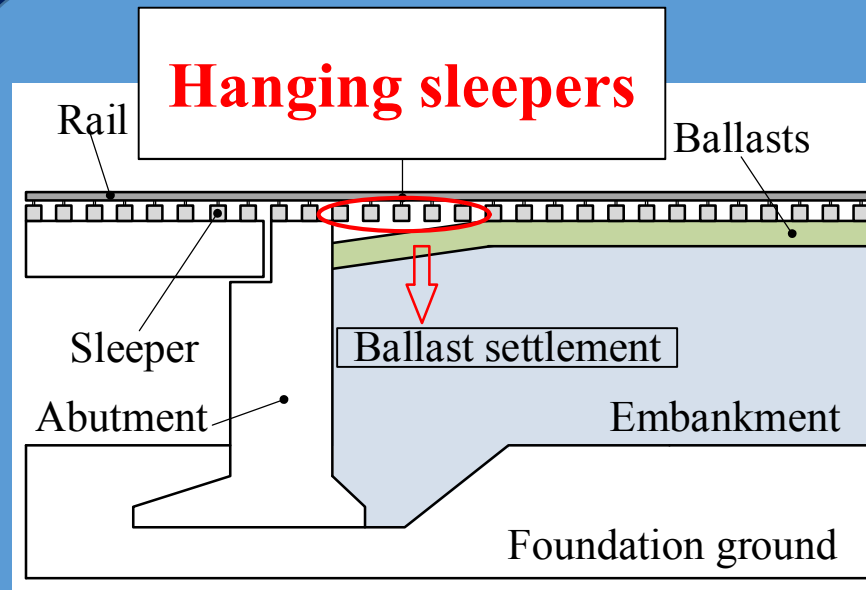
Hanging sleepers due to local settlements

Angular folding (folding angle: 19/1000)



Sleeper's location with respect to the boundary

Schematic image of the effect of angular folding on the lateral resistance of railway ballasted track (modified after Yamamoto et al. 2016)



Lateral resistance of ballasts subjected to local settlements at transition zones or structure boundaries should be increased by appropriate countermeasures.

Installation of buckling prevention plates to sleepers are expected instead of winged sleepers, though more time and efforts may be necessary to install them at sites.



Buckling prevention plate



The increase of the lateral resistance of ballasts can be expected by the installation of buckling prevention plates to sleepers. However the lateral resistance can be affected by several factors such as the size and shape of plates, as well as the sleepers' hanging situation. They have not been well investigated so far.



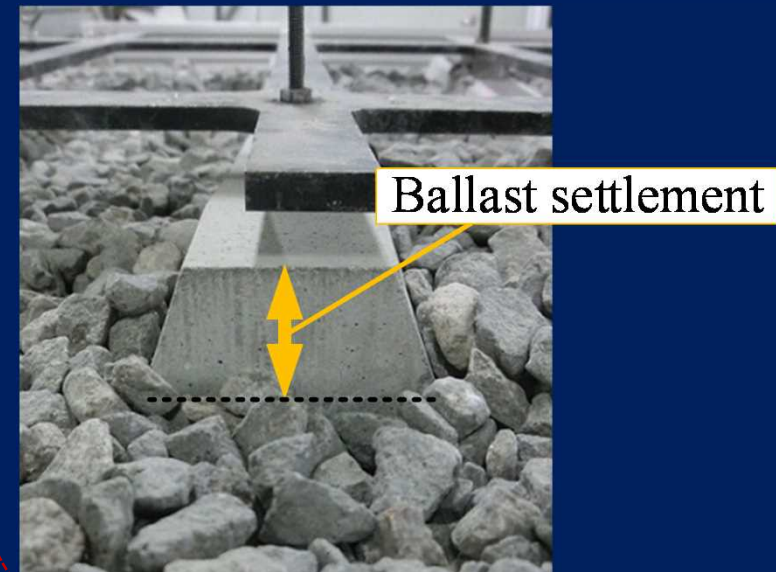
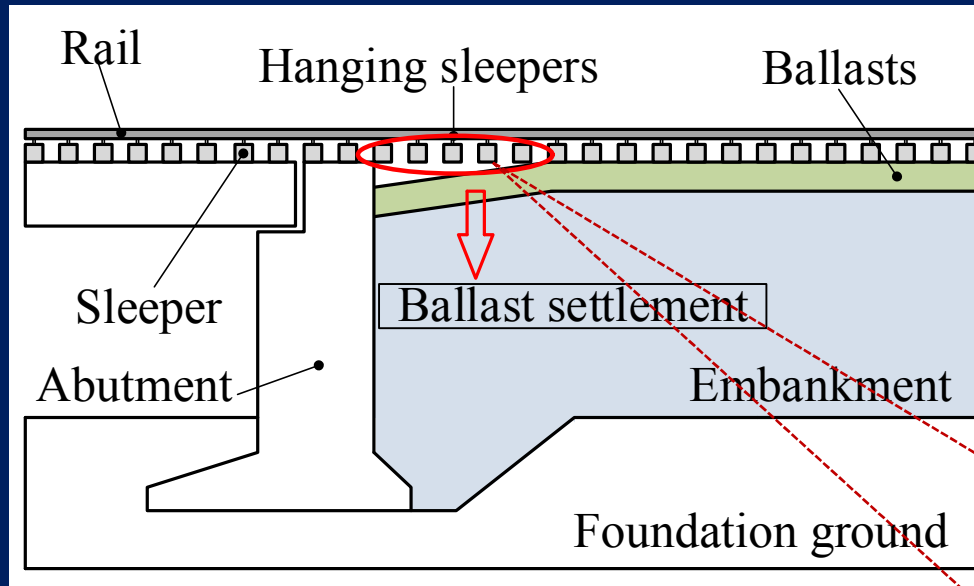
## Objectives

From scaled model tests, lateral resistance characteristics of ballasts in which sleepers are equipped with buckling prevention plates are investigated.

The followings are investigated in detail.

- 1) Effects of sleepers' hanging situation on the lateral resistance.
- 2) Effects of size and shape of plates on the lateral resistance.

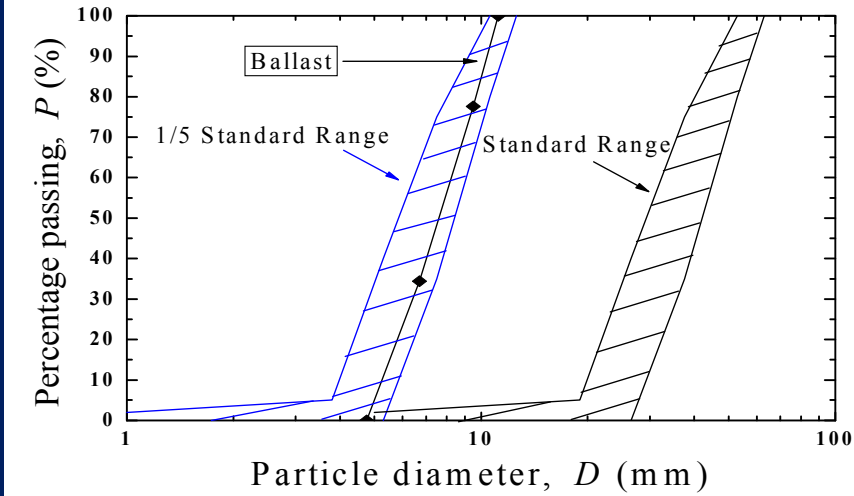
# Effects of sleepers' hanging situation on the lateral resistance



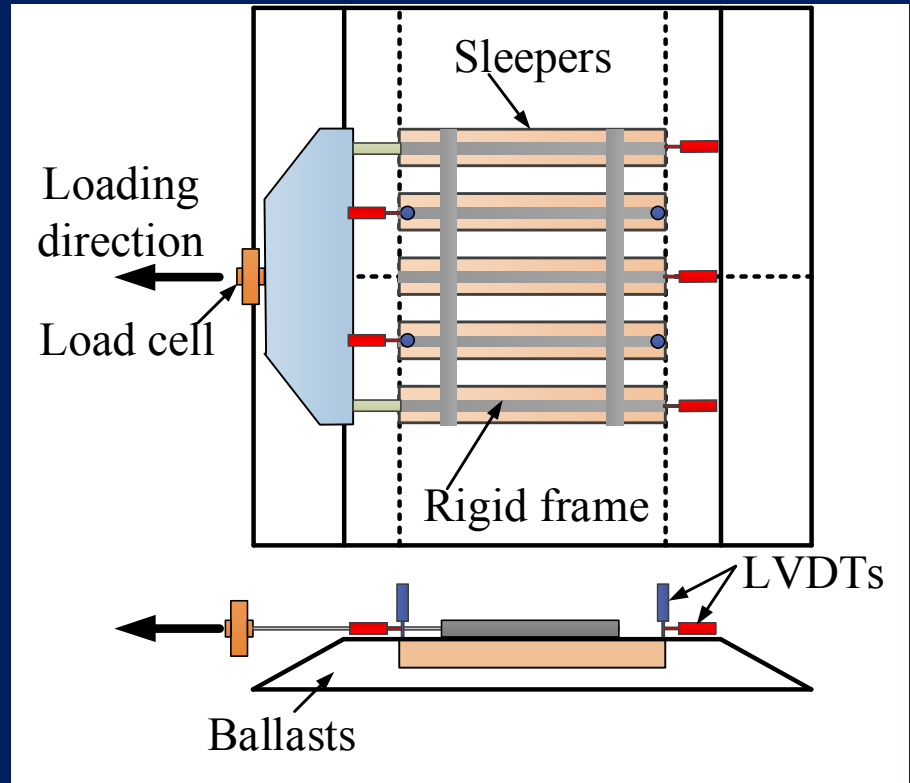


1/5th scale model for track panel pull-out test

Dry density of 1.60 g/cm<sup>3</sup>

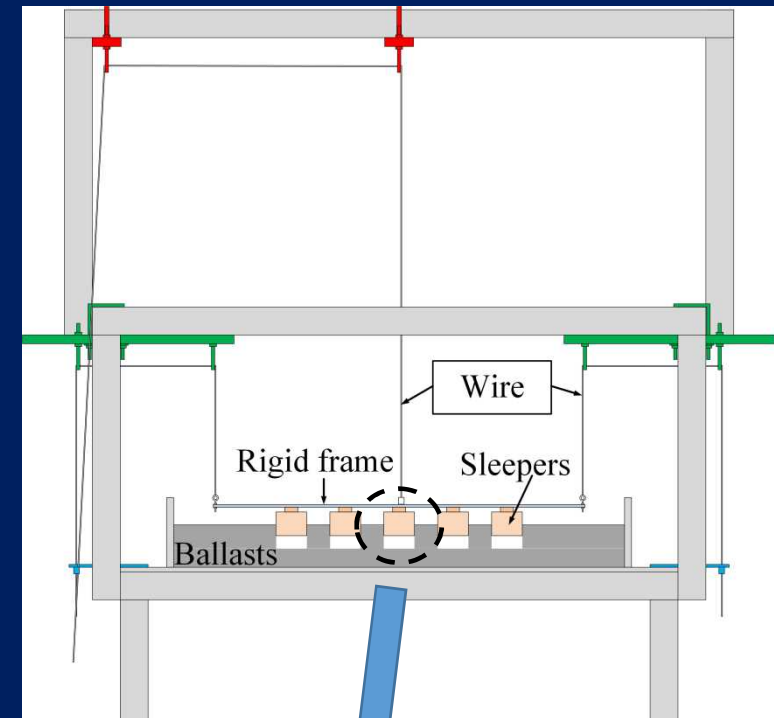
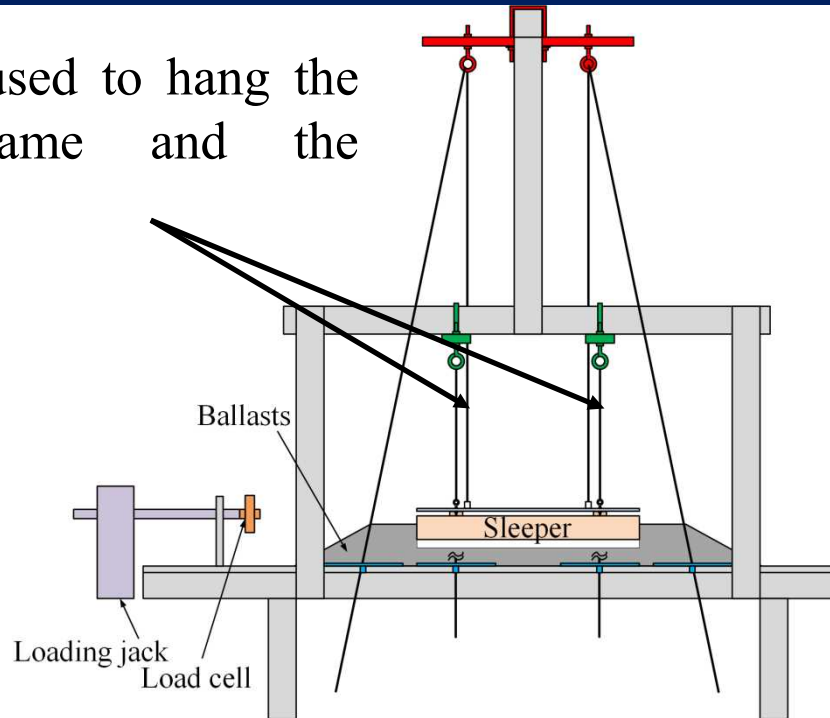


Parallel gradation of PSD



Track panel pull-out test with five sleepers

Wire is used to hang the rigid frame and the sleepers.

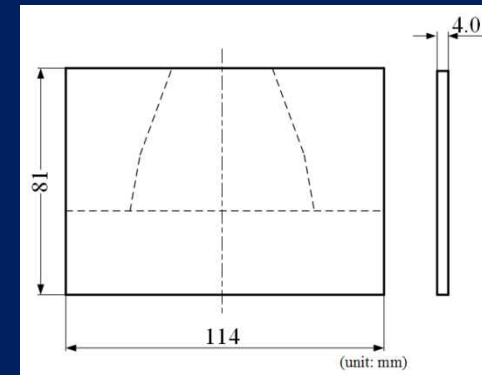
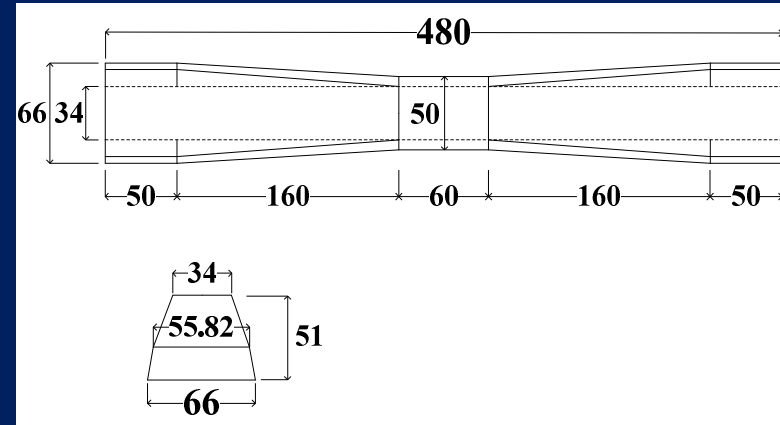


Pull-out direction for evaluating lateral resistance





3H sleeper (in 1/5th scale)  
Ballast settlement  $S = 0, 10, 20, 30\text{mm}$

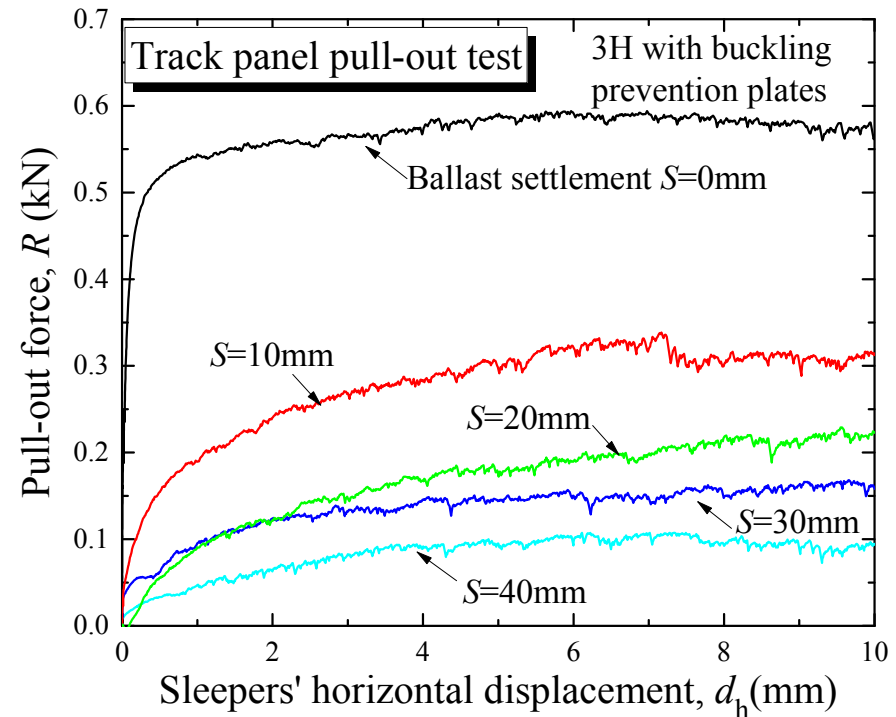
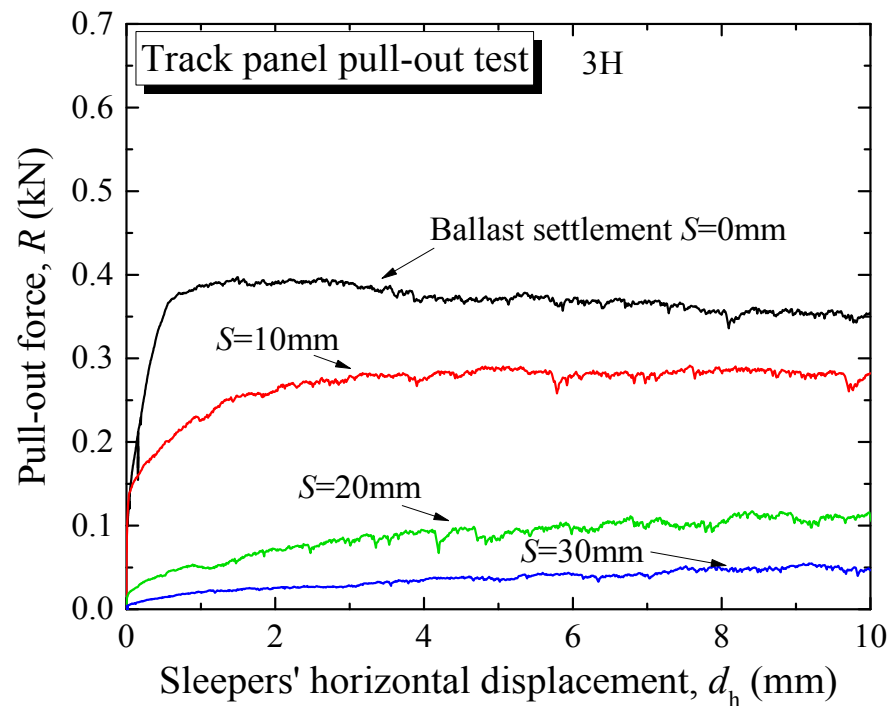


3H sleeper with buckling prevention plates (in 1/5th scale)  
Ballast settlement  $S = 0, 10, 20, 30, 40\text{mm}$

Test cases for pull-out tests

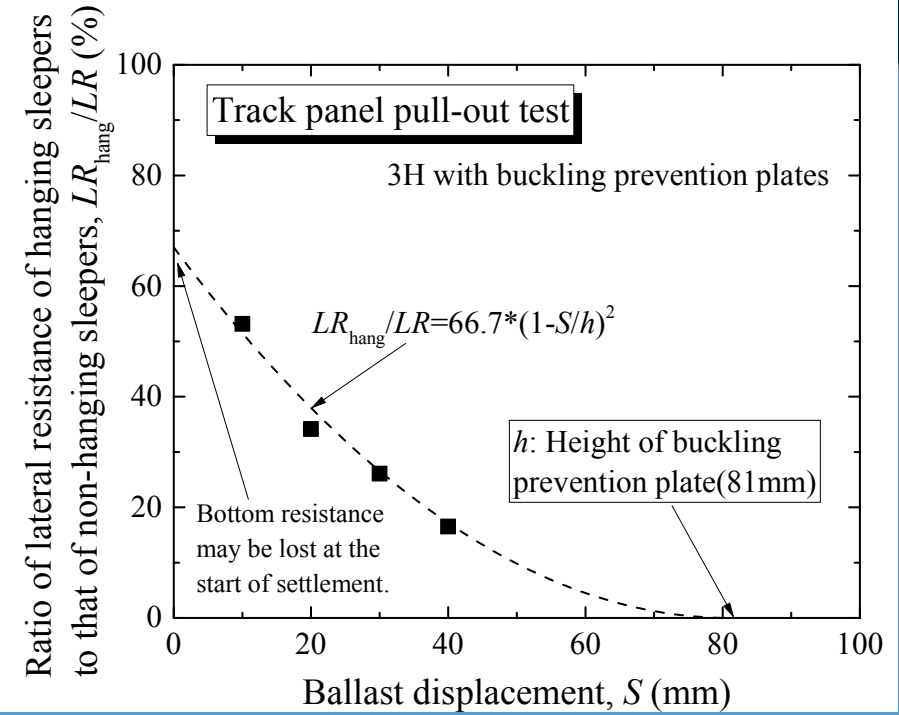
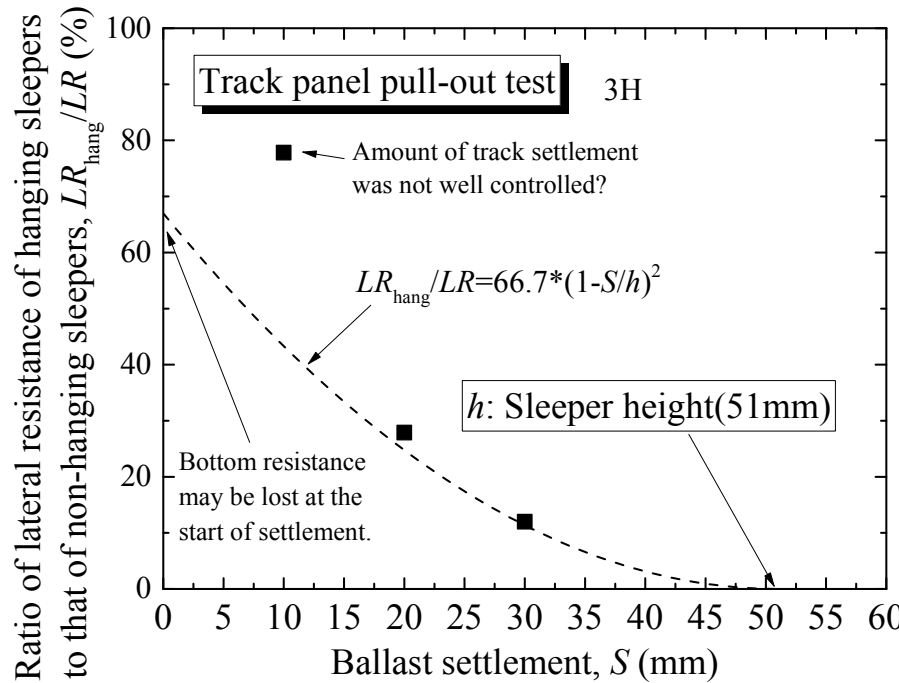
Pull-out direction for  
evaluating lateral resistance





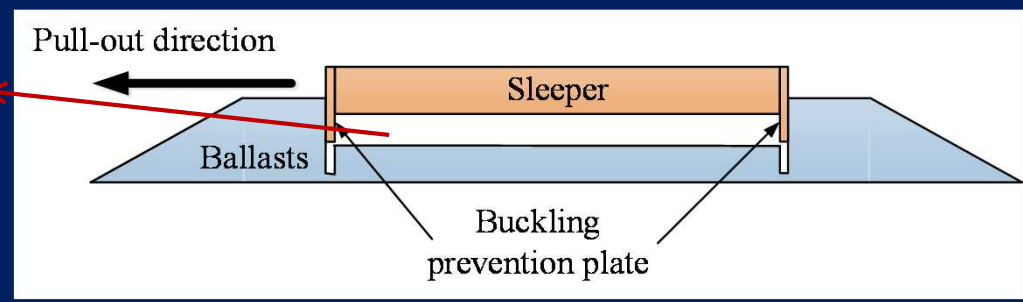
Horizontal loads and horizontal displacements relationships from single sleeper pull-out tests

With increase of ballast settlement the lateral resistance of sleepers reduces irrespective of installation of buckling prevention plates. Sleepers with buckling prevention plates show lateral resistance higher than that of sleepers without buckling prevention plates.



Ratio of lateral resistance of hanging sleepers to that of non-hanging ones plotted against ballast settlements

Bottom resistance disappear at the start of settlement.



The ratio of lateral resistance of hanging sleepers to that of non-hanging sleepers is reduced with increase of ballast settlement. The ratio can be estimated by the proposed equation.



# Effects of size and shape of plates on the lateral resistance



Height increases.



3H sleeper  
without plates



(a) ss



(b) sl



(c) lz



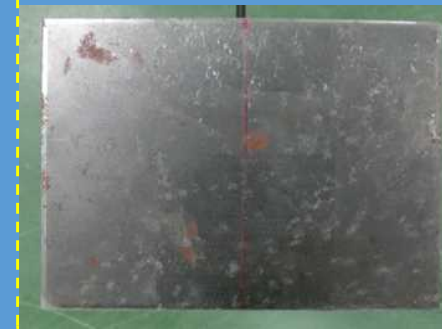
(d) ls



(e) ll

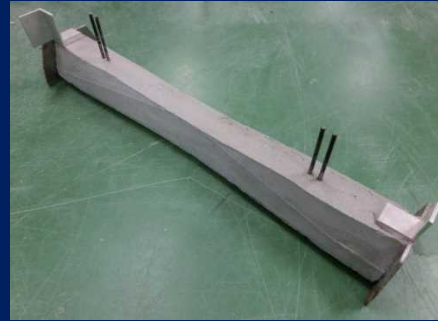


(f) lz(rec)



(g) ll(rec)

Width increases.



3H sleeper without plates



(a) ss



(b) sl



(c) lz



(d) ls



(e) ll



(f) lz(rec)



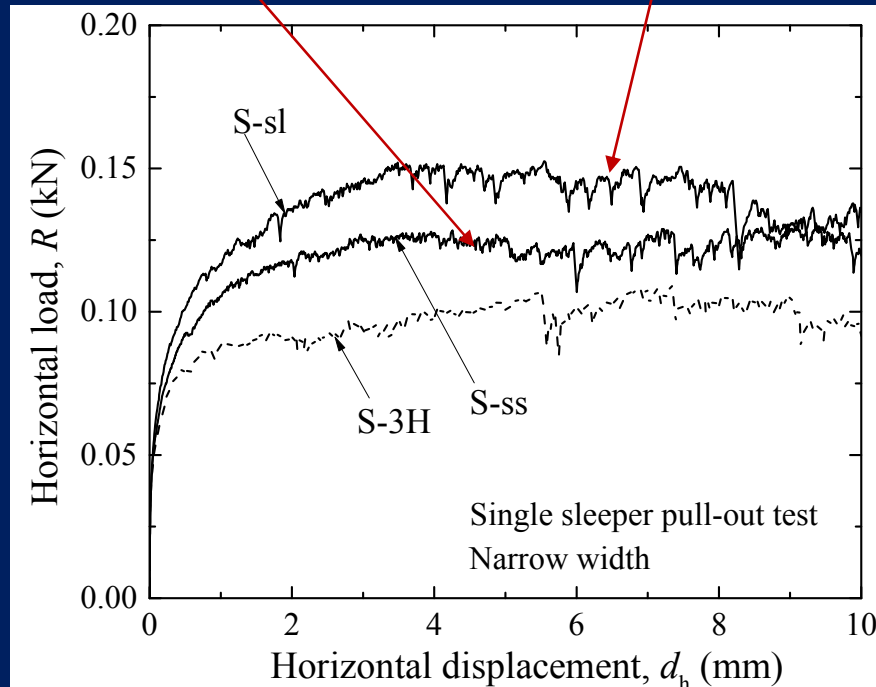
(g) ll(rec)



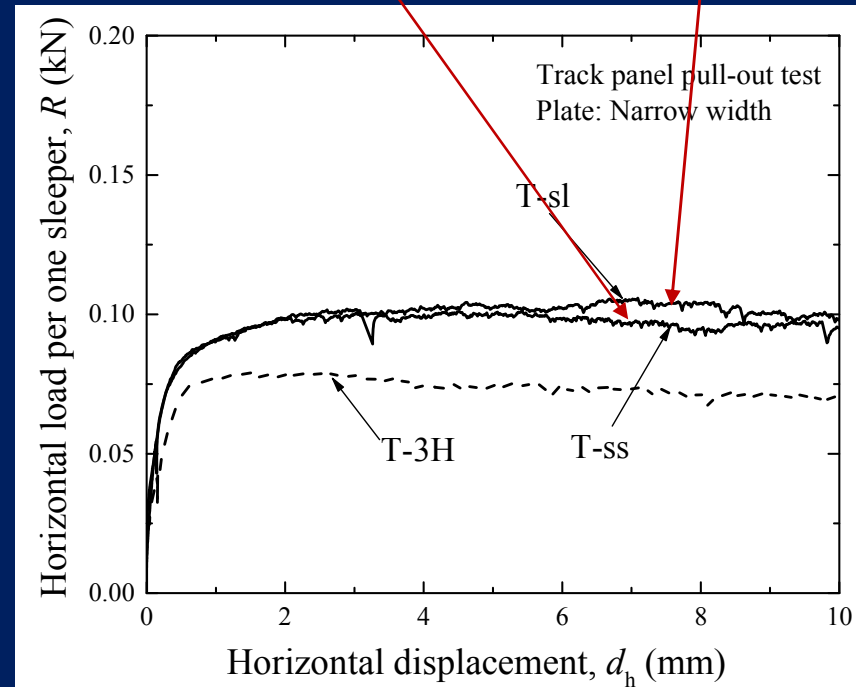
Single-sleeper pullout tests



Track panel pullout tests

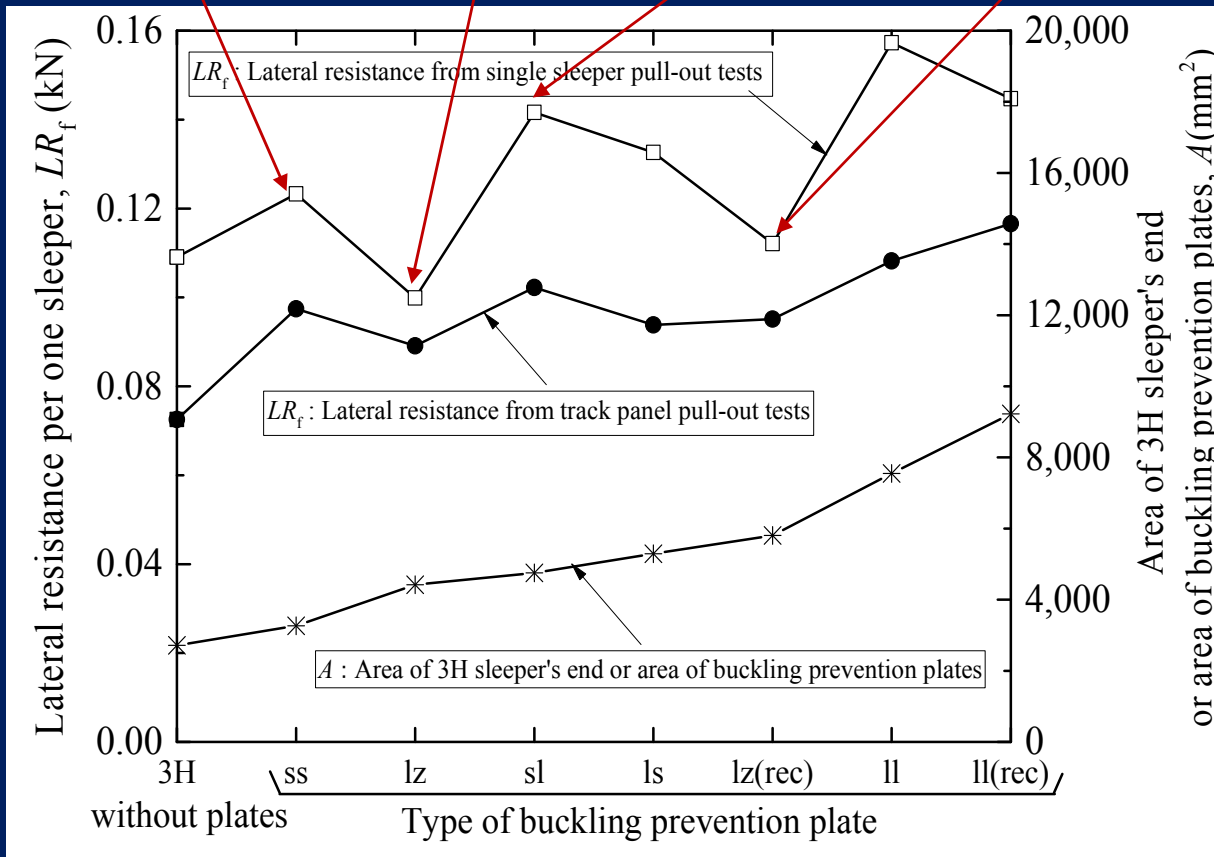


Horizontal loads and horizontal displacements relationships from single sleeper pull-out tests



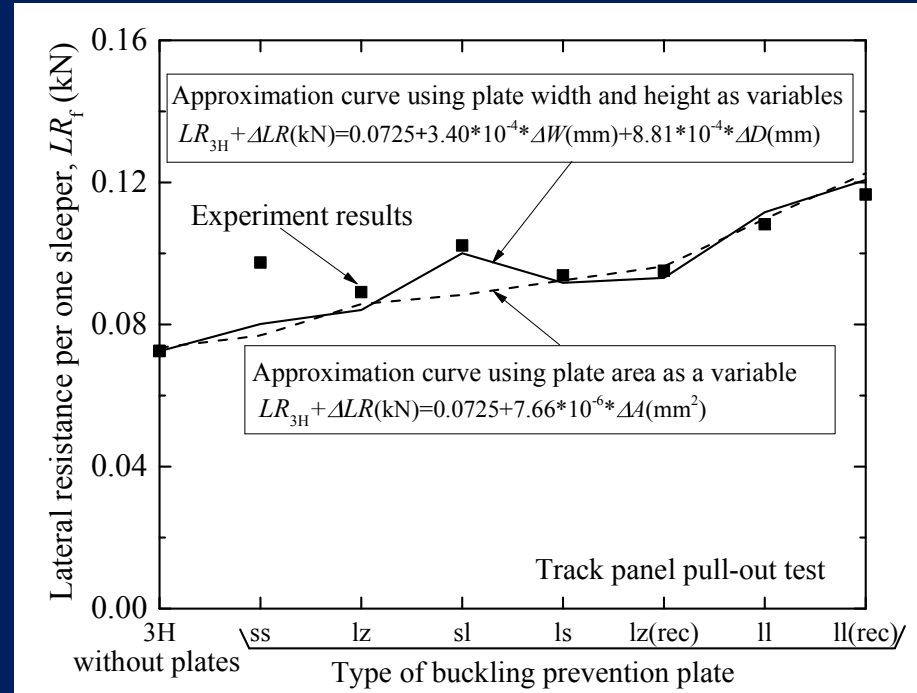
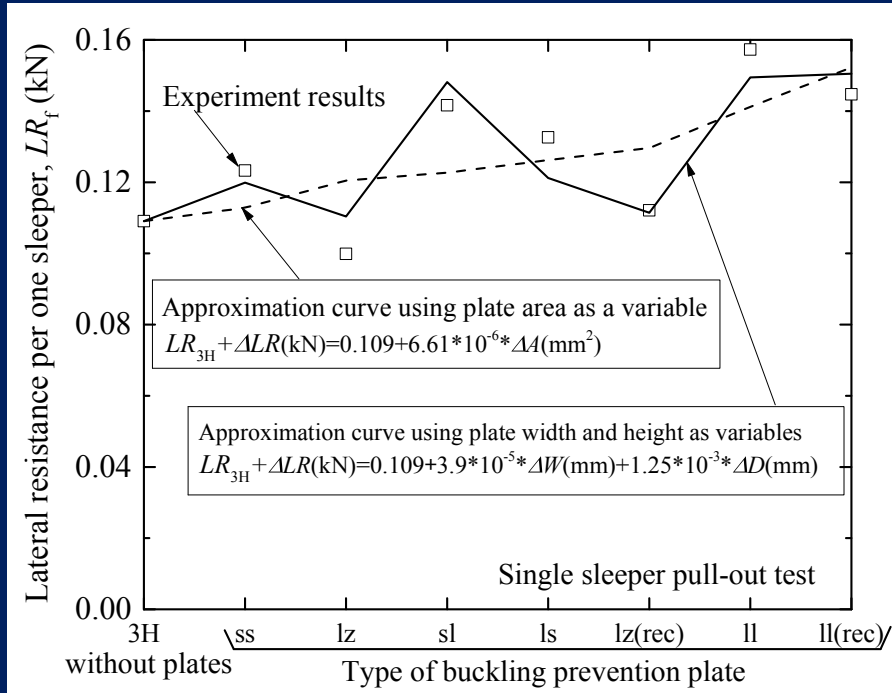
Horizontal loads and horizontal displacements relationships from track panel pull-out tests

Lateral resistance of ballasts increase with increase of plate height. However the degree of depends on the pull-out test type.



Lateral resistance of ballasts do not always increase with increase of plate area. This tendency is remarkable in the single sleeper pull-out test compared to the track panel pull-out test.

Lateral resistance obtained from different type of buckling prevention plates and the respective plate's area

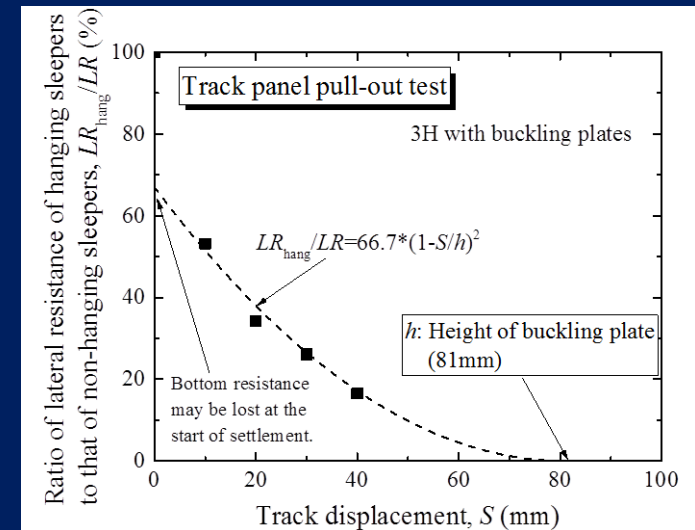
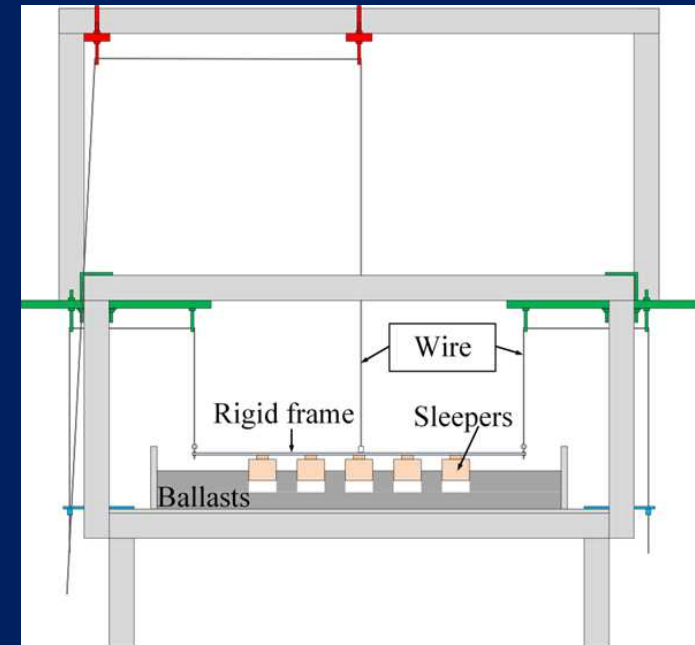


Lateral resistance obtained from different type of buckling prevention plates together with approximation curves

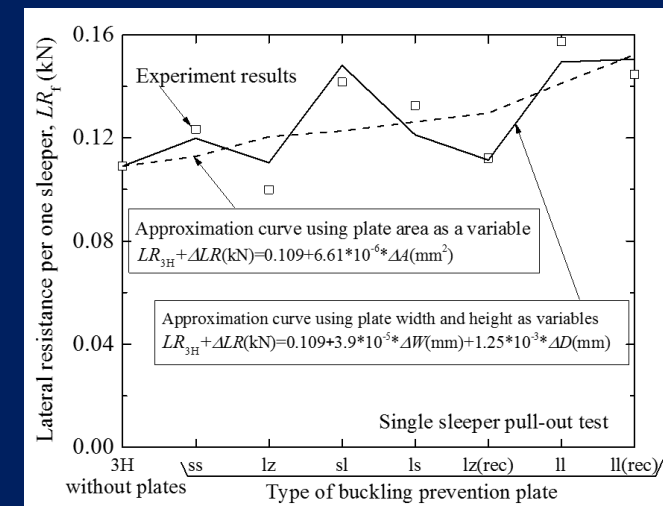
Approximation curve using plate width and height as variables gives better agreement with experimental results rather than that using plate area. The effect of plate height is more significant than that of the plate width.

# Conclusions

1. A scaled model test method for evaluating lateral resistances of ballasted tracks where hanging sleepers are appeared due to ballast settlement is developed.
2. The test results showed that with increase of ballast settlement the lateral resistance is reduced. Sleepers with buckling prevention plates show lateral resistance higher than that of sleepers without buckling prevention plates.
3. The ratio of lateral resistance of hanging sleepers to that of non-hanging sleepers is estimated by the simple equation which considers the sleeper height or the buckling prevention plate height.



4. Lateral resistance of ballasts increase with increase of plate height. However the degree depends on the pull-out test type.
5. Lateral resistance of ballasts do not always increase with increase of plate area. This tendency is remarkable in the single sleeper pull-out test compared to the track panel pull-out test.
6. Approximation curve using plate width and height as variables gives better agreement with lateral resistance obtained from experiments rather than that using plate area. The effect of plate height is more significant than that of the plate width.



### Acknowledgements:

We highly acknowledge Mr. Koike, Ms. Yamamoto and Mr. Ichikawa (formerly YNU students) for conducting a series of experiments.



**Thank you very much  
for your kind attention.**