# Advanced laboratory tests on granular materials for transportation facilities in cold regions

The first China – Japan Mini Workshop on High Speed Railway Geotechnics 14 December 2015, Beijing, CHINA

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International Society for Soil Mechanics and Geotechnical Engineering



Faculty of Engineering, Hokkaido University

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# **TC202 Terms of Reference**

The goal of TC202 is to apply broad engineering to bridge the gap between Pavement/Railway Engineering and Geotechnical Engineering. The main task is to promote co-operation and exchange of information and knowledge about the geotechnical aspects in design, construction, maintenance, monitoring and upgrading of roads, railways and airfields. The task also covers the related environmental aspects. For these purposes, several main topics were identified and materialized in the main Task Forces of the Committee as follows.

- TF 1. Promote of use of nontraditional materials in embankments and structural layers.
- TF 2. Reinforcement of geomaterials and its implications in pavement and rail track design.
- TF 3. Earthworks design, technology and management
- TF 4. Rail track substructures, including transition zones.
- TF 5. Subsurface sensing for transportation infrastructure condition diagnostics among others.
- TF 6. 3rd International Conference on Transportation Geotechnics (ICTG), Guimarães, Portugal, September 4-7, 2016. Chairman António Gomes Correia (University of Minho).
- **TF 7.** Transportation Geotechnics; Elsevier journal of TC202. Editors-in-Chief: António Gomes Correia; Erol Tutumluer, and Yunmin Chen.

http://www.journals.elsevier.com/transportation-geotechnics/

# TF 6. 3<sup>rd</sup> ICTG



International Conference Portuguese Geotechnical Society On Transportation University of Minho



Guimarães, PORTUGAL 4–7 September 2016 *Vila Flor* Cultural Centre ISSMGE International Society for Soil Mechanics and Geotechnical Engineering



#### 3<sup>rd</sup> International Conference on Transportation Geotechnics

#### **CALL FOR SPONSORS AND EXHIBITORS REGISTRATION**

Deadline for paper submission (accepted abstracts), November 6, 2015



#### Contact

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Conference Website: http://civil.uminho.pt/3rd-ICTG2016

#### Important Dates

March, 2015:	6
May 15, 2015:	Ð
June12, 2015:	N
Submission of papers:	A
November 6, 2015:	D
February 26, 2016:	N
March 25, 2016:	Su

Call for abstracts
 Deadline abstract submission
 Notify authors of accepted abstracts
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 Deadline paper submission
 Notify authors of accepted papers
 Submission of final camera-ready papers

dated on 2615/09/0

#### Conference Themes / Chairpersons

Theme 01:	Optimized geomaterial (including hydraulically bound materials and asphalt mixtures) use, reuse and recycling – T. Edil, N. Consoli, A. Dawson
Theme 02:	Unsaturated soil mechanics in transportation geotechnics - D. Toll, E. Alonso
Theme 03:	Foundations and earth structures - A. Gomes Correia, H. Brandl
Theme 04:	Slope stability, stabilisation, and asset management - S. Glendinning
Theme 05:	Mechanistic-empirical design (road, railways and airfields) – C. Schwartz, D. Brill, S. Costa d'Agular
Theme 06:	Rail track substructures, including transition zones - W. Powrie, M. Shahim
Theme 07:	Subsurface sensing for transportation infrastructure - S. Nazarian, A. Loizos
Theme 08:	Macro and Nanotechnology applied to transportation geotechnics – M. Alves, J.M. Fleureau
Theme 09:	Sustainability in transportation geotechnics - I. Al-Qadi, M. Winter
Theme 10:	Case histories - J. Koseki, J. Oliveira, J. Liu

#### Workshops Themes

Workshop 01:Geosynthetics in transportation geotechnicsWorkshop 02:Harbour geotechnicsWorkshop 03:Non destructive technologiesWorkshop 04:Ground improvement and soil stabilization

#### **Honour Lectures**

ISSMGE – TC202: Proctor Lecture: Prof. Buddhima Indraratna (Australia) IGS: Mercer Lecture: Prof. Jorge Zornberg (USA)



# TF 7. Issue of International Journal NEW JOURNAL TRANSPORTATION GEOTECHNICS



### Transportation GEOTECHNICS

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Transportation Geotechnics is a new journal publishing high quality theoretical and applied papers on all aspects of geotechnics for roads, highways, railways, airfields and waterways.

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### 1<sup>st</sup> issue 03/2014





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Professor Yunmin Chen, Zhejiang University, Zhejiang, China

# **Technical Committee 202**

### TC202 Members:

### 51 members covering a good majority of ISSMGE member societies

2 members

2

1

In Asia: China Chinese Taipei Hong Kong India Indonesia

Iran	1 member
Japan	4
Kazakhstan	1
South Korea	3
Total	17 members

### TC202 Web Sites:

http://www.issmge.org/en/technicalcommittees/applications/147-transportationgeotechnics

http://www.eng.hokudai.ac.jp/labo/geomech/l SSMGE%20TC202/

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🚥 1 Jun 2014 Update applied to reflect the new TC regime launched last year.

ENU	WELCOME TO TC202						
Home	Host member society: USA Short name: Transportation (TC202)						
Members	Technical Committee 202 (formerly TC-3) of the ISSMGE was established						
What's New	In 2001 in accordance with the proposal approved by the ISSMGE Board serving the 2001-2005 term. The Committee completed three 4-year terms under the leadership of Professor Antonio Gomes Correia as Chairman (2001-2013). Professor Frol Tutumluer is the current Chairman of TC/302 senders the 2013-2017 term.						
Symposia							
Publications	Tachnical Committee 202 works clearly with its members to organize						
Announcements	symposia, workshops, and international exchanges dealing with all aspects of Transportation Geotechnics in both traditional and emerging						
Upcoming Events	areas. Thanks to the dedicated efforts of Prof. Gomes Correia, TC202 has created a new named lecture series in commemoration to late R.R.						
Proctor Lecture	Proctor to be delivered by the world's most distinguished achievements in Transportation Geotechnics. The inaugural Proctor Lecture will be delivered at the 3rd International Conference of Transportation Geotechnics in Guimaraes, Portugal, on September 4-7, 2016.						
Archive							
Links	You are encouraged to contact us, bring new proposals, and/or participate in the ongoing activities of TC202.						
Contact	Prof. Erol Tutumluer, Chair						
	Prof. Tatsuya Ishikawa, Secretary						

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# Background of research (1)

For saving maintenance costs of transportation facilities at road and railway, it is important to elucidate mechanical behavior of granular roadbed subjected to traffic loads.

Conventional studies



Following loading tests have been performed in Japan; Model test : fixed-point loading test Eleme







# Effect of moving wheel loads



Moving wheel loads give a large residual settlement as compared with fixed-point cyclic loads.

# Moving wheel load

### Moving wheel loading test





### **Measured Ballast Pressure**

- Normal component remains compression side through loading, while shear component changes the sign according to the position of a loading wheel.
- Principal stress axes rotate inside railroad ballast during train passage.



## Change in water content

### Literature Review

- In past studies, model and element tests have been performed mainly under air-dried condition.
- Deformation modulus of coarse granular materials decreases with the increase in water content. (Coronado et al. 2005, Ekblad & Isacsson 2006)

### **KEY POINTS**

Does rainfall affect settlement of railroad ballast ?

Itou et al. (2014) performed cyclic loading tests of full-scale model ballasted track before and after spraying water on the surface of railroad ballast (10 l/m<sup>2</sup>).



# Results of full-scale model tests

### **Influence of water content**



- Plastic deformation and elastic deformation of ballasted track during cyclic loading suddenly increase due to water spray.
- Wetting causes the sample to decrease the shear stiffness, thereby increasing residual settlement.

# Background of Research (2)

In snowy cold regions, frost-heave phenomenon and temporary degradation in the bearing capacity during thawing season are thought to accelerate the deterioration of transportation facilities and losing of the functions.



However, in Japan, such phenomena have not been sufficiently elucidated as well as modeling for theoretical design method of transportation facilities like railway track and pavement structures.

## **Research topics**

To develop a rational design method of asphalt pavement and ballasted track in cold regions, we need to understand the mechanical behavior of subgrade and base course during freeze-thawing in detail. 13

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# Objectives of Research

### **KEY POINTS**

- Are results obtained from conventional laboratory tests credible ?
- How about the synergistic effects of multiple factors ?

# Research objective

To evaluate effects of water content, principal stress axis rotation, loading rate, and freeze-thaw history on mechanical behavior of granular materials in terms of Transportation Geomechnics.

### Research content

- Medium-size triaxial compression tests of coarse granular materials under various degrees of saturation and different loading rates
- Multi-ring shear tests of granular materials under various degrees of saturation subjected to principal stress axis rotation
- CBR tests and Multi-ring shear tests of freeze-thawed coarse granular materials under various degrees of saturation

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# Medium-size triaxial test apparatus



### Remarkable Features

- The apparatus can perform water retentivity tests, triaxial compression tests and resilient modulus test (MR test) for unsaturated soils to evaluate the deformation-strength characteristics of coarse granular materials.
- The apparatus adopts pressure membrane [ method for reducing total testing time.



# Multi-ring shear test apparatus



# Freeze-thaw CBR test apparatus

#### Specimen size

Width: 60mm indside D=120mm outside D=240mm Height: 60 - 100mm

#### Test condition

It can conduct a CBR test as it is immediately after the freeze-thaw.

#### <u>Remarkable Features</u>

- It can subject a CBR test specimen (\$\phi=15cm, H=12.5cm\$) to a one-dimensional desired freeze-thaw history at a constant freezing rate.
- It allows open-system freezing or closed-system freezing.



# Test Samples

C-40 Crusher-run 1/5 ballast			<b>C-9.5</b> g	ravel	Toyoura sand	
2 23 98 24 25 26 27 28 3 4 5 6 7 8 9 20						
Sample	$\rho_{\rm s} \left( g/cm^3 \right)$	$\rho_{dmax}$ (g/cm <sup>3</sup> )	$\rho_{dmin} \left(g/cm^3\right)$	D <sub>50</sub>	U <sub>c</sub>	F <sub>cinitial</sub> (%)
C-40 crusher-run	2.74			9.10	37.1	1.7
1/5 ballast	2.70	1.650	1.353	8.11	1.52	0.0
C-9.5 gravel	2.72	1.730	1.480	2.80	10.8	0.0
Toyoura sand	2.65	1.648	1.354	0.18	1.30	0.0

- Four types of test samples are employed to examine the mechanical behavior of railroad ballast and roadbed at ballasted tracks.
- To ensure experimental accuracy in terms of specimen size, 1/5 ballast and C-9.5 gravel have one-fifth and one-fourth similar grading of 1/1 ballast and base course material (C-40) used in Japanese railway and road, respectively.

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# **Testing Method**

- Specimens were prepared by compacting with vibrator until reaching the  $D_c$  of 95 %, and they were kept throughout the test at a specified degree of saturation by controlling matric suction.
- Triaxail compression tests for air-dried ( $S_r=8.2\%$ ), simulated ( $S_r=36.7\%$ ), optimum ( $S_r=57.2\%$ ) and saturated ( $S_r=100\%$ ) specimens were conducted under fully drained condition, pursuant to JGS and AASHTO standards.



# Results of monotonic (static) loading tests

### **Influence of water content**



- Under same strain rate, air-dried sample has maximum peak strength, saturated sample has minimum one, and unsaturated samples have a peak strength between those two values, regardless of effective confining pressure.
- Wetting causes the sample to decrease the shear strength and stiffness.

# Results of monotonic (static) loading tests

### **Influence of loading rate**



- At same water content, test results under fast loading rate has higher cohesion than test results under slow loading rate, while internal friction angle is almost constant, irrespective of loading rate and degree of saturation.
- The influence of loading rate on the strength parameter for crusher-run appears more clearly in unsaturated conditions.

# Results of resilient modulus tests





- Stress-strain relationships varies depending on the water content, with a tendency for the stiffness to increase with the decrease in water content.
- For plots with the same q, resilient modulus decreases as the water content increases, regardless of  $\sigma'_{c}$ .

# Stiffness estimation of unsaturated $C-40^{\frac{1st China - Japan Mini WS on HSRG}{40}}$



- Resilient modulus for unbound granular base course materials is greatly dependent on the stress conditions and the water content.
- Universal model given by above equation can be applied to the quantitative evaluation of resilient modulus for unsaturated base course materials.

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# Testing Method

 Specimens were prepared by tamping a sample with prescribed water content, and a series of multi-ring shear tests was conducted by using fixed-point loading (FL) method and moving-wheel loading (ML) method.



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# Results of monotonic (static) loading tests

### **Influence of water content**



<u>Stress – strain relations under different  $S_{\underline{r}}$ </u>

 $\frac{\text{Strain at same loading conditions}}{\text{under different } S_{\underline{r}}}$ 

- Under same loading conditions, oven-dried sample has minimum shear and axial strains, thus indicating that it has maximum shear strength and stiffness.
- Wetting causes the sample to decrease the shear strength and stiffness, thereby increasing shear and axial strains.

# Results of cyclic loading tests (1)

### **Influence of water content & loading method**



- Cyclic plastic deformation of wet gravel is more likely to increase with loading cycles than that of oven-dried gravel.
- The influence of water content on the cyclic plastic deformation for gravel appears more clearly in employing moving wheel loads.
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# Results of cyclic loading tests (2)



- Wetting causes the sample to decrease the shear strength and stiffness, thereby increasing cumulative permanent strain during cyclic loading.
- When rotational angle of principal stress axis increases with the increase in shear stress amplitude, cumulative permanent axial strain also increases. 32

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# Results of cyclic loading tests (1)



- As seen in the comparison for gravel, cyclic plastic deformation of sand in ML tests is larger than that in FL tests.
- Cyclic plastic deformation of wet sand is more likely to increase with loading cycles than that of air-dried sand.

# Results of cyclic loading tests (2)



- Wetting of sand increases cumulative permanent strain during cyclic loading, regardless of loading method.
- Effects of water content on cyclic plastic deformation under moving-wheel loads differ depending on soil types.

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# Testing Method

- Specimens in freeze-thaw CBR tests were prepared almost in the same manner as specimens in triaxial tests, except that the wet ( $S_r$ =67.6%) specimen was prepared by gravity drainage of saturated specimen.
- Freeze-thaw tests under the following experimental conditions, that is various freeze-thaw histories and water contents, were conducted in closed-system without water supply and drainage.
- After the freeze-thaw process, CBR tests for air-dried, wet and saturated specimens were carried out.
- Based on results of frost heaving tests, frost-susceptibility of natural crusher-run is low, regardless of freeze-thaw history and water content.

Ex	perimental	conditions	of	freeze-thaw	CBR <sup>·</sup>	tests
-			,			

Name	Initial S <sub>r</sub>	Initial w	Initial $ heta$	Freeze-thaw history
air-dried	8.2 %	1.2 %	2.3 %	0 (no freeze-thaw), 1, 2 cycle
wet	67.6 %	9.8 %	19.3 %	0 (no freeze-thaw), 1, 2 cycle
saturated	100 %	14.3 %	28.2 %	0 (no freeze-thaw), 1, 2 cycle

Note : under optimum water content (w = 8.2 %),  $S_r = 57.0$  % and  $\theta = 16.2$  %. 37

## Results of freeze-thaw CBR tests



- CBR and M<sub>r</sub> decrease with the increase in water content irrespective of the freeze-thaw history, and they drops with an increase in the freeze-thaw process cycles regardless of the water content.
- Considering the range of water content obtained from field measurement, it is expected that the freeze-thaw action seriously influences the bearing-capacity of unbound granular base course materials.
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# Results of cyclic loading tests

### **Influence of freeze-thaw & loading method**



- Freeze-thaw makes cumulative permanent strain during cyclic loading increase further in addition to the effect of water content.
- The influence of freeze-thaw on the cyclic plastic deformation should be considered even in a non-frost-susceptible material.

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# Proposal of Experimental Formula (1

Assumptions

- Effects of freeze-thaw actions can be expressed by a compensation function  $f(N_{\rm f}, \theta)$ , which uses number of freeze-thaw process cycles and volumetric water content as explanatory variables.
- $M_{\rm r}$  for C-40 subjected to repeated freeze-thaw actions can be estimated using the "modified universal model", which is the universal model multiplied by the compensation function.

**Modefied Universal model**: 
$$M_r = f(N_f, \theta) \cdot k_1 p_a \left(\frac{\sigma_{ii}'}{p_a}\right)^{k_2} \left(\frac{\tau_{oat}}{p_a} + 1\right)^{k_3}$$

### Applicability of proposed formula

- Resilient modulus  $M_r$  estimated by proposed model seems to reproduce overall tendency for the  $M_r$  to decrease with the increase in the water content and the number of freeze-thaw process cycles.
- "Modified universal model" is sufficiently applicable to the quantitative evaluation of the  $M_r$  for unsaturated subbase course layer subjected to repeated freeze-thaw actions.



1<sup>st</sup> China - Japan Mini WS on HSRG

# Proposal of Experimental Formula (2)

Assumptions

Under the same experimental conditions, cumulative axial strain in ML test can be estimated from cumulative axial strain of FL test by using average  $R_s$  as follows.

$$\varepsilon_{a}^{ML}(N_{c}) = R_{save} \cdot \varepsilon_{a}^{FL}(N_{c}) = \exp\left(a\frac{(\tau_{a\theta})_{\max}}{(\sigma_{a})_{\max}}\right) \cdot \varepsilon_{a}^{FL}(N_{c})$$

### Note : *a* is a constant depending on water content.

### Applicability of proposed formula

 Proposed simple experimental formula is effective in estimating the cumulative strain characteristics of coarse granular materials in the principal stress axis rotation field.



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# Track condition of ballasted track

## Design criteria of ballasted track

- Train vibration should be smaller than threshold limit value.
  - Traveling safety
  - Riding comfort
- Train vibration increases along with the increase in track irregularity.
- Train vibration can be estimated by

 $\alpha = a \cdot V \cdot \sigma$  a: train vibration V: train speed  $\sigma$ : track irregularity

 Precise prediction of track irregularity growth is important for rational design & maintenance works of ballasted track.





# Design procedures of ballasted track

### **KEY POINTS** : How do we calculate track irregularity using settlement?

### **Assumption**

- Track irregularity is defined by the difference between rail settlement at rail joint and that at middle part.
- Standard deviation of track irregularity can be estimated by

Rail joint 
$$\delta_2$$
 widdle part m  
 $\delta_1$   $\delta$   $3\sigma$   $3\sigma$ 

### Rail settlement and track irregularity



### $\delta = m + 3\sigma = 6\sigma$

# Application of advanced soil testing



Calculation of rail settlement in Japanese design standard

#### <u>1st China - Japan Mini WS on HSRG</u> FE analysis based on cumulative damage model

### **Cumulative damage model**

Deformation modulus of FE elements representing railroad ballast has been reduced in consideration of the cumulative strain characteristics of ballast, which is derived from advanced soil testing like multi-ring shear test.

### FE analysis of ballasted track

FE analysis based on cumulative damage model was conducted to simulate small scale model tests of ballasted track.





If element tests are conducted under the experimental conditions similar to actual phenomena, even simple linear elastic FE analysis can roughly estimate cyclic plastic deformation of railroad ballast under repeated moving-wheel loads. 47

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# **Concluding Remarks**

- Change in water content as well as the effect of freeze-thawing seriously influences the bearing capacity of coarse granular materials.
- The synergistic effects of principal stress axis rotation and water content strongly influence cyclic plastic deformation of coarse granular materials and roadbed materials.
- The loading rate has a strong influence on the deformation-strength characteristics of coarse granular materials in unsaturated condition.
- When developing a rational design standard of ballasted track in cold regions for precisely predicting the mechanical behavior and evaluating the long-term performance, it is important to give a special consideration to the influence of water content, freeze-thaw history, loading rate, and principal stress axis rotation on the deformationstrength characteristics.

# Thank You for Your Kind Attention

