

# Risk management of GEMs

intensively-released for bioremediation

2004.7.1

Masanori FUJITA

Department of Environmental Engineering  
Osaka University

# Environmental Biotechnology

--a general view--

## (1) Biotreatment

municipal/industrial waste and wastewater

## (2) Bioremediation

soil, sediment, groundwater, coastal zone  
cf. phytoremediation/ ryzosphere

## (3) Biomonitoring/Bioassay

genomics

proteomics

metabonomics

(4) Biorecycle/Biorecovery

compost

biogas

biodiesel

ethanol

lactate/biopolymer

(5) Biocontrol

biocorrosion

biofouling

sterilization

pasteurization

(6) Biofertilizer/Biopesticide

Possible field of intentional release of effective  
microorganisms (natural occurring)  
and /or  
genetically engineered microorganisms  
(GEMs)

- (1) Biological treatment of wastewater
- (2) Bioremediation
- (3) Compost/Biogas
- (4) Biofertilizer/Biopesticide

# General view of GM food

## Socio-economics consideration

Benefit to whom?

(1) Consumer: No benefit?

Lack of economical and nutritional benefit

Lack of scientific evidence/uncertainty

(Appearance of new hybrids? safety of GM food?)

Food culture

Unacceptable of GM Foods

(2) Farmer(Producer):Great risk?

Opaque of benefit?(Due to the way of Japanese agriculture?)

Appearance of new hybrids? Adverse effect to biodiversity?

Following the consumer's choice

Unacceptable of GM plants-cultivation in Japan

Biosafety or Biorisk on intentional release of microorganisms

(Both natural occurring and GEMs)

affected by discussion on GM food

# Conservation of biological diversity and forest resource

1992 : Earth Summit at Rio de Janeiro  
(Rio Declaration on Environment and Development,  
Agenda 21, Biodiversity treaty, etc)

2000 : Cartagena Protocol on Biosafety

2002 : Earth Summit at Johannesburg  
(World Summit for Sustainable Development)

2004.2.19

: Law for conservation of biodiversity by regulating  
use of Living Modified Organisms

# Examples of Living Modified Organisms (LMOs)

red bean, soybean, strawberry, sugar beet, melon, rice, corn, lettuce, tomato, cauliflower, cucumber, wheat, papaya, potato, broccoli, rape

alfalfa, petunia, tobacco, bent grass, carnation, cotton, torenia, chrysanthemum, zoysia

Bt-corn, Bt-cotton, Bt-potato (Bt : *Bacillus thuringiensis*)

golden rice : carotene producing rice (Vitamin enriched rice)

50 % of soybean is LMO, and 95 % of consumption in Japan is covered by imported soybean.

# In this lecture, I focus on risk on environmental release of GEMs

Utilization of GEMs and/or effective microorganisms  
to solve the environmental problem



Risk of environmental release of GEMs and/or effective  
microorganisms (natural occurring)



Question?

Why do we manage the risk due to utilization of GEMs?



# Requirement for rapid, safe and cost-effective *in situ* Bioprocess

Application of GEMs and/or natural occurring (Bioaugmentation) to

Biotreatment, Bioremediation, Compost, Biofertilizer, Biopesticide, and so on.



Development and utilization of GEMs to enhance *in situ* Bioprocess



*Focus on Bioremediation in this lecture*

# Structure of GEM and biological risk assessment for the environmental release of GEMs

Recombinant(GEM)	--	risk
Host	--	risk
Vector	--	risk
Exogenous gene	--	risk

*Cf. Self cloning*

# Concept of risk management after the environmental release of GEMs (bioaugmentation)

Monitoring of released GEMs and horizontal gene transfer (by conjugation)

(Survival of GEMs, Appearance and growth of transconjugants)

Scientific evidence on expected risk

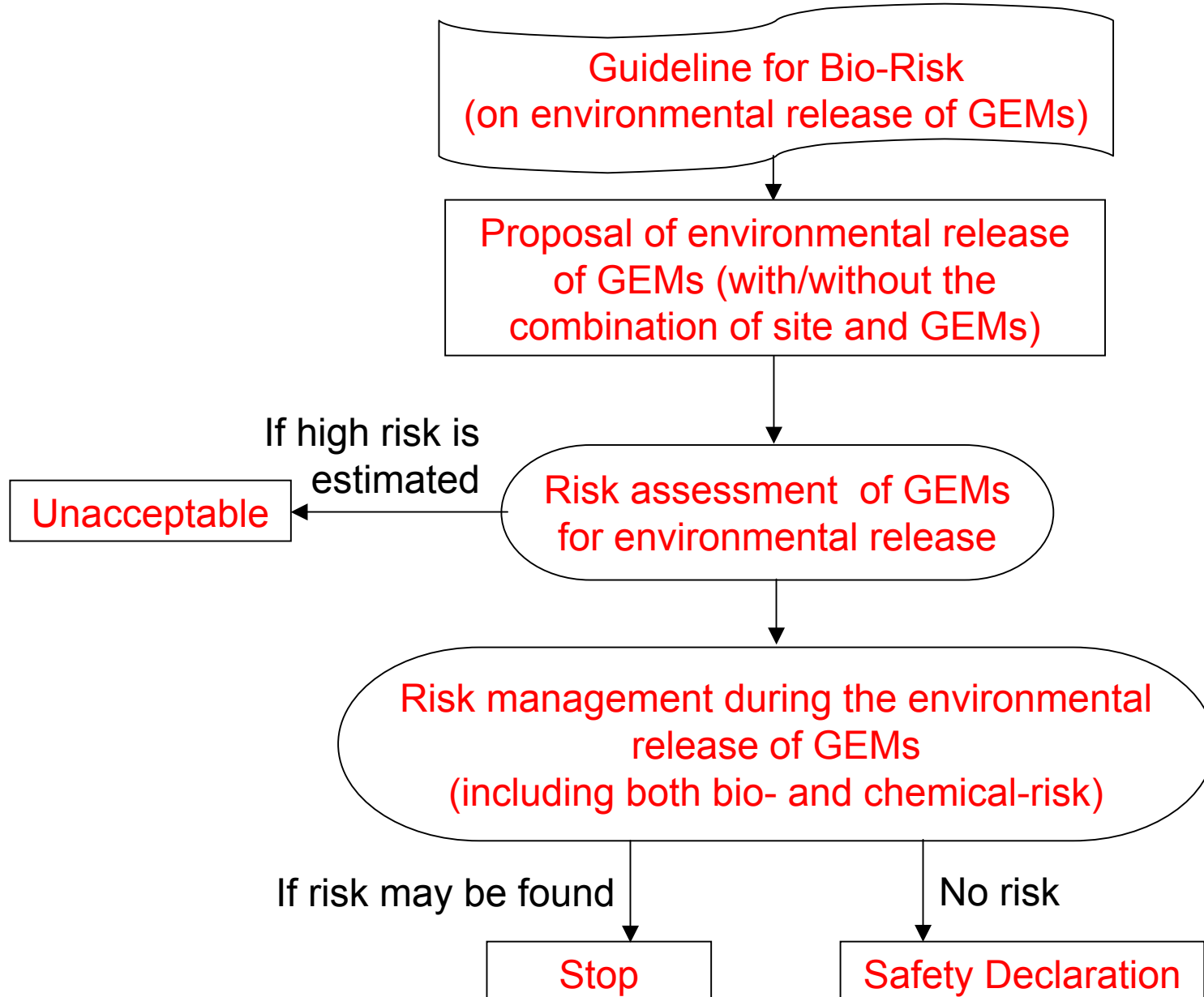


Risk assessment based on scientific evidence



Risk management during *in situ* Bioremediation  
(stopping immediately, end of bioremediation, end of risk management, etc)

# Procedure for risk assessment and management for environmental release of GEMs



# Expected risk

Harmful effect to higher organisms including human being as a result of horizontal gene transfer to/from indigenous pathogens

Adverse effect to Biological diversity and/or ecological functions such as biogeochemical cycles

# Harmful effect to higher organisms by environmental release of GEMs (=Pathogenicity)

## Risk assessment before application

- (A) Pathogenicity of the host (guideline reference)
- (B) Genetic information on vector
- (C) Genetic information on genetically engineered DNA sequences (GEDS) such as recalcitrant compound degrading genes, heavy metal resistant genes, and antibiotics resistant genes
- (D) Produced GEMs

## Risk management

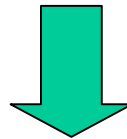
- (A) Survival of GEMs
- (B) Secondary GEMs by acquiring pathogenic genes from indigenous pathogens
- (C) Transfer of GEDS including antibiotic resistant genes to indigenous pathogens
- (D) Risk management based on scientific risk assessment

# Harmful effect to biological diversity and ecological functions

Targeting microbial community responsible for specific functions such as material circulation (C, N, S, etc) and energy flow



Occurrence of secondary GEMs by acquiring GEDS with/without gene rearrangement through conjugative transfer

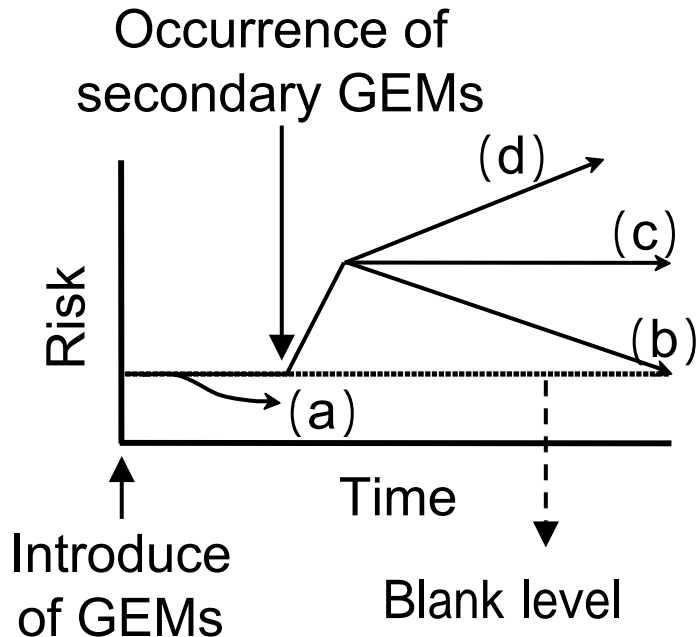


Possibility of the adverse effect to biological diversity and ecological functions

# Main factors lead to risk occurrence, and the pattern of the time course of risks

## Factors

- ▶ Characteristics and survival of the GEMs
- ▶ DNA transfer with/without rearrangement from indigenous microbes to GEMs
- ▶ Transfer of GEDS with/without rearrangement from GEMs to indigenous microbes
- ▶ Survival of secondary GEMs
- ▶ Response of the indigenous microbial community

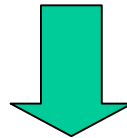


- (a) No risk
- (b) Risk level decreased after occurrence
- (c) Risk generally continue to be flat
- (d) Risk level increased after occurrence



# Objectives of this project study

- ▶ **Comprehension and quantification** of risk inherent to indigenous microbial community (**Background risk**)  
Development of the methods and accumulation of the quantitative data
- ▶ **Modeling and parameter estimation** for risk assessment of environmental release of GEMs
- ▶ **Accumulation of lab- and field test data** for model/parameter evaluation and risk evaluation criteria



**Development of quantitative risk assessment method  
and establishment of risk evaluation criteria  
for environmental release of GEMs**

# Development of monitoring system of pathogens and functional microbes

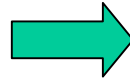
- ▶ Investigation on DNA extraction from soil
- ▶ Investigation on specific detection of target microbes by Real time PCR
- ▶ Development of DNA microarray for detecting pathogens and other bacteria

from the results of Prof. Dr. Takayuki Ezaki, Dept. of Microbiology, Gifu Univ.

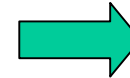
# Development of detection method of soil environmental pathogens



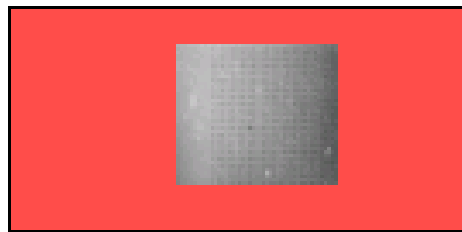
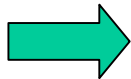
Zirconium beads beating for DNA extraction



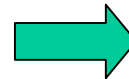
Pouring DNA to Microplate/  
Capillary containing specific PCR primers



Estimation of the number of target microbes by Real time PCR



16S rDNA fixed DNA chip for the detection and enumeration of target microbes in the samples



Phylogenetic and quantitative analysis of microbes by laser scanning of positive spots

## Development of the microarray for monitoring soil environmental pathogens

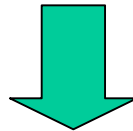
Microarray was developed by fixing 16S rDNA of bacterial pathogens for human being, animals, plants, and fish and shellfish

**Pathogens for human being (all the level 2 and 3);  
352 species**

**Opportunistic pathogens;  
660 species**

# Microarray for phylogenetic analysis of soil bacteria

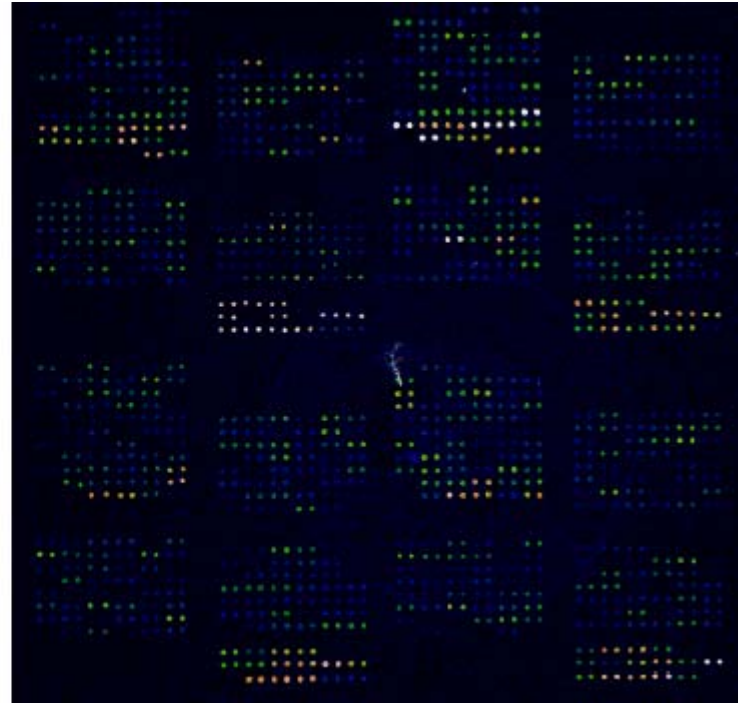
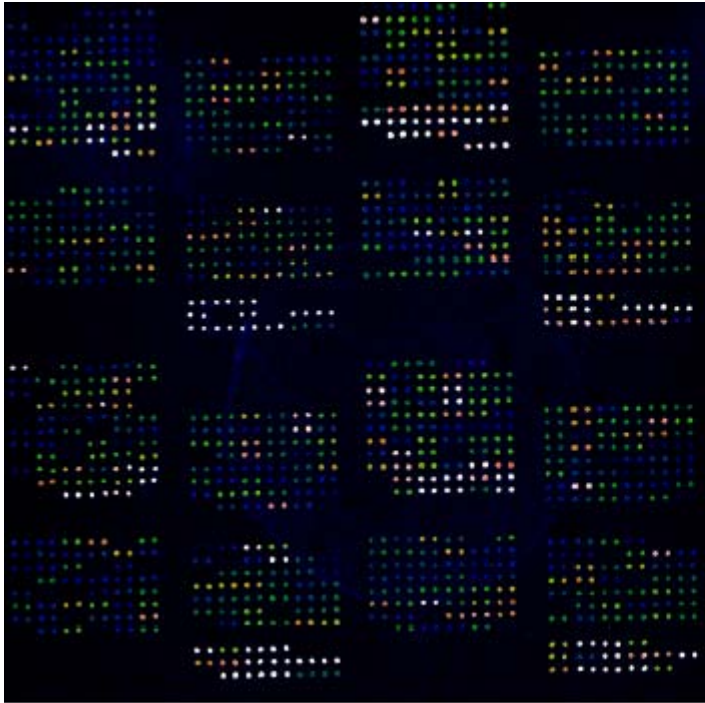
There exist several million species of unknown bacteria, although currently classified into  
**30 lineage, 1000 genera, and 6000 species.**



Microarray was developed by fixing 16S rDNA of type strains listed below among known 1000 genera

- |  |            |                    |            |
|--|------------|--------------------|------------|
| 1. Archaea:  | 50 genera  | 2. Actinobacteria: | 110 genera |
| 3. Firmucutes:   | 150 genera | 4. Cyanobacteria:  | 56 genera  |
| 5. CFB Group:  | 150 genera |                    |            |
| 6. Proteobacteria ( $\alpha$ , $\beta$ , $\gamma$ , $\delta$ , $\epsilon$ ): | 380 genera |                    |            |
| 7. Spiral Bacteria:  | 12 genera  | 8. Fusobacteria:   | 6 genera   |
| 9. Chlamydia:  | 4 genera   | 10. Others:        | 15 genera  |

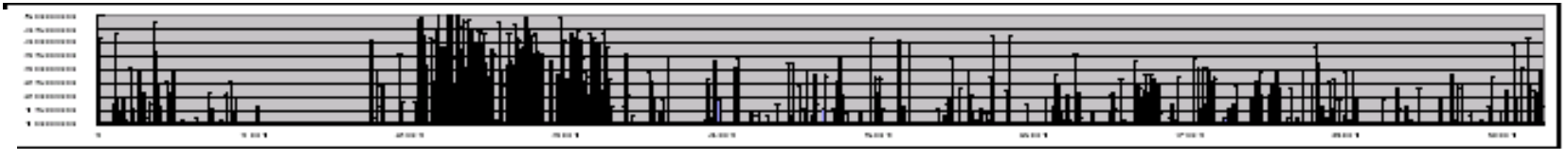
# Field experiment –Phylogenetic monitoring of bacteria during bioremediation of oil polluted soil–



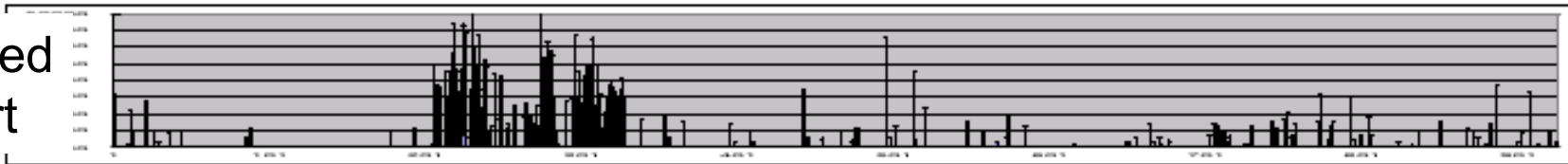
Polluted soil during bioremediation (Left), black dirt used for remediation (Right)

# Field experiment –Phylogenetic monitoring of bacteria during bioremediation of oil polluted soil–

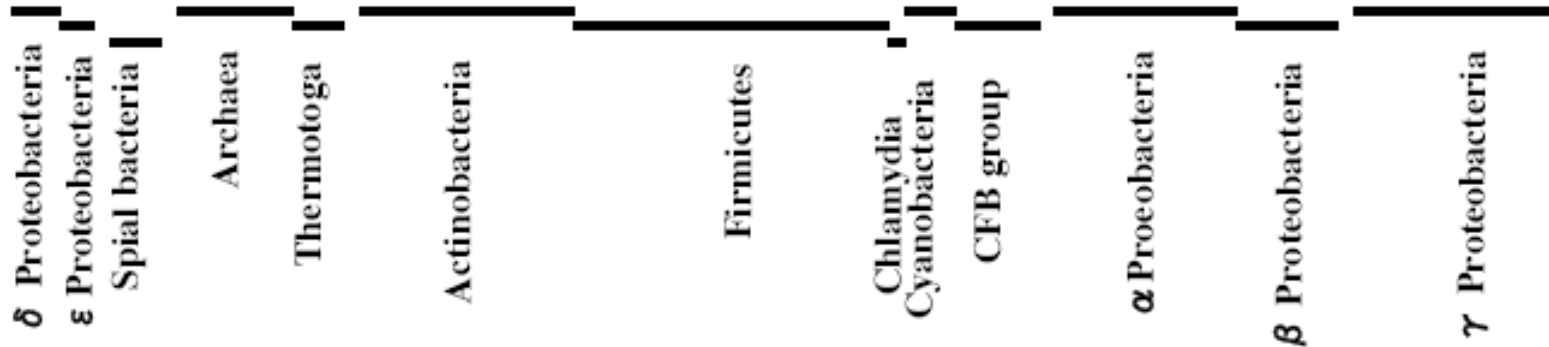
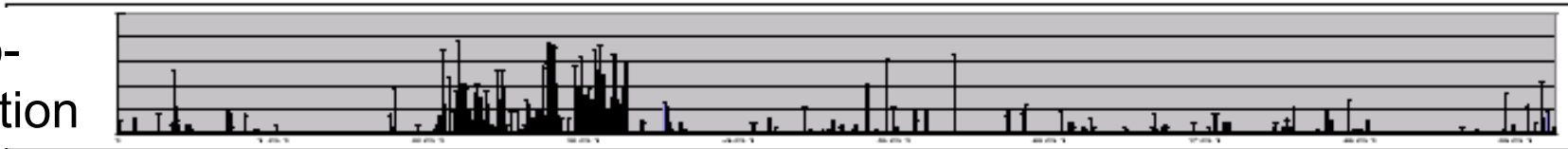
Polluted soil



Introduced black dirt



After bio-remediation



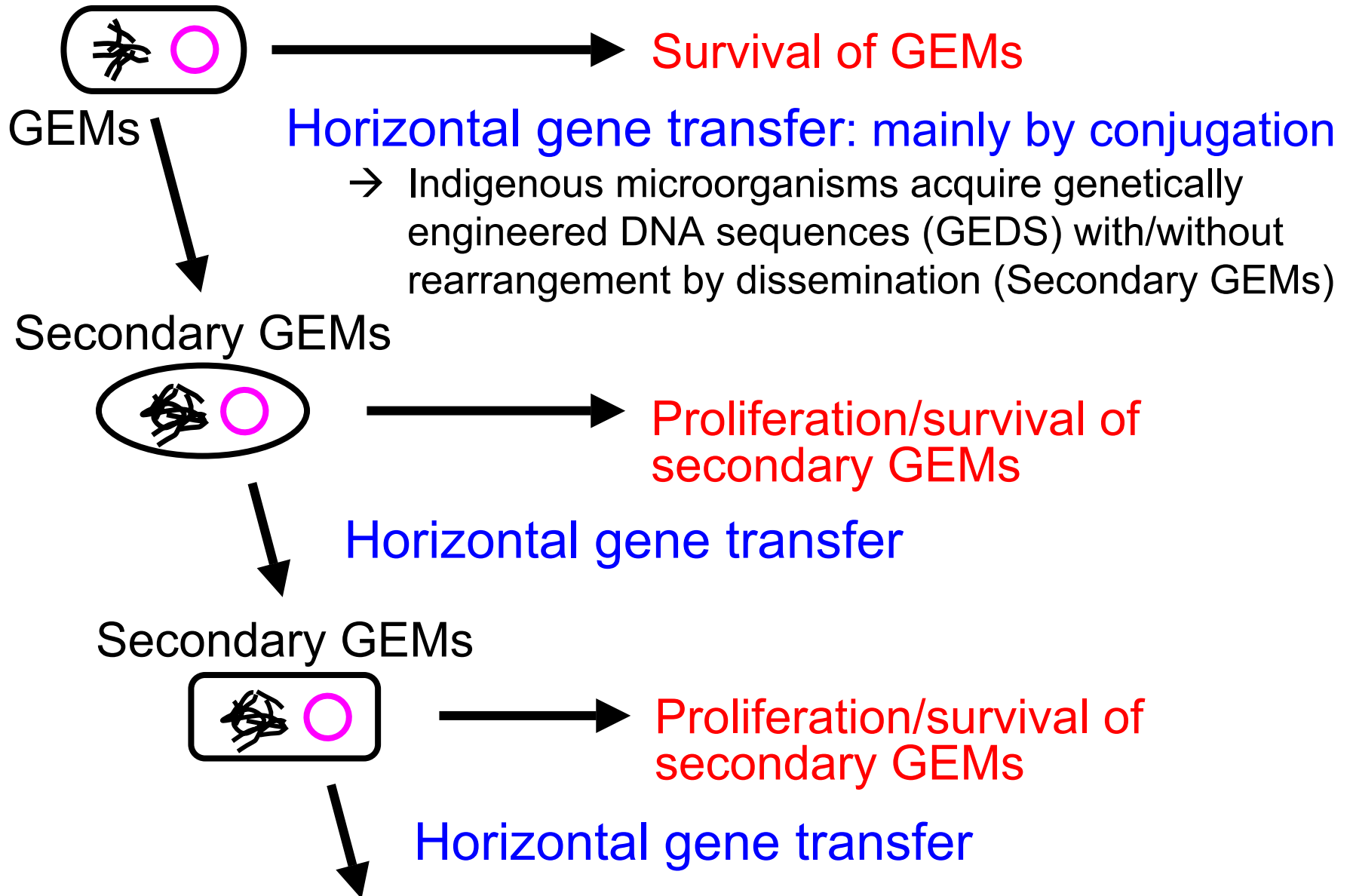
# Risk assessment of horizontal gene transfer in the environment

- ▶ Estimation of existence ratio of mobilizer in the environment
- ▶ Estimation of the potential of environmental bacteria as recipient
- ▶ Estimation of gene transfer frequency in the environment
- ▶ Plasmid rearrangement with horizontal gene transfer



# Risk assessment of horizontal gene transfer in environment

## Fate of the recombinant DNA in the environment



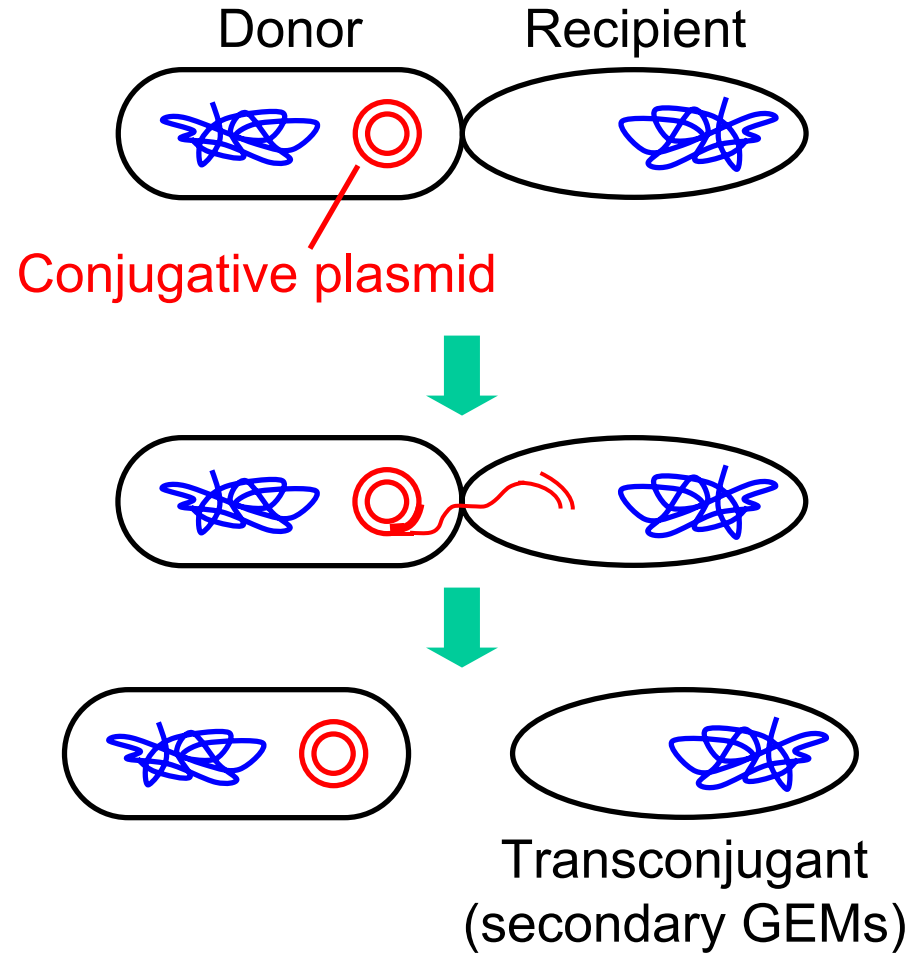
# Conjugative transfer in the environment

Conjugative transfer  
(conjugation and conjugative mediated mobilization)

→ Most important horizontal gene transfer mechanism for plasmids



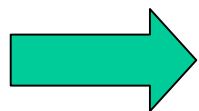
Primary mechanism which determines the fate of the recombinant DNA harbored by GEMs



- Mechanism of conjugation -

## Potential of soil bacteria as recipients

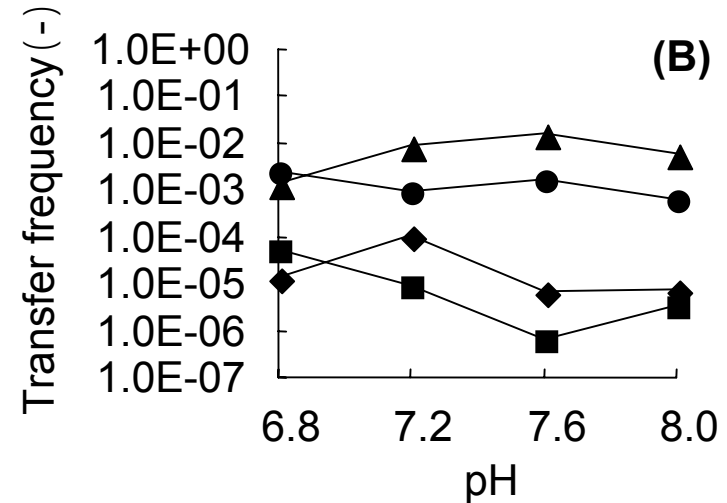
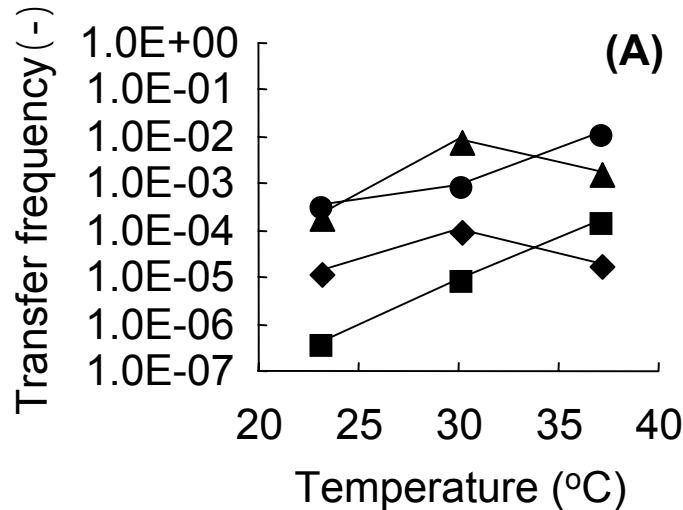
Recipients (R)	Transconjugants (T) (CFU/g-wet soil) <sup>a</sup>	T/R (-) <sup>a</sup>
<i>E. coli</i> HB101	3.5 ± 1.0 x 10 <sup>4</sup>	2.9 ± 1.1 x 10 <sup>-3</sup>
<i>P. fluorescens</i> ATCC 15553	2.6 ± 1.3 x 10 <sup>4</sup>	8.5 ± 8.2 x 10 <sup>-6</sup>
<b><i>A. globiformis</i> NBRC 12137</b>	N.D. <sup>b</sup>	-
<b><i>B. megaterium</i> ATCC 12872</b>	N.D. <sup>b</sup>	-
<i>A. hydrophila</i> GIFU 3173	1.0 ± 0.3 x 10 <sup>3</sup>	4.6 ± 3.4 x 10 <sup>-5</sup>
<b><i>A. tumefaciens</i> LBA 4404</b>	<b>2.4 ± 1.3 x 10<sup>5</sup></b>	<b>2.5 ± 1.6 x 10<sup>-2</sup></b>
<i>S. meliloti</i> NBRC 14782	1.1 ± 0.5 x 10 <sup>3</sup>	1.4 ± 0.6 x 10 <sup>-4</sup>



Conjugative transfer depends on host-range of the plasmid, and receptive competence of recipient itself

# Risk assessment of horizontal gene transfer in environment

## Environmental factors affects gene transfer frequency –Temperature/pH–



Symbol;     ●   : *P. putida* BH,     ▲   : *A. calcoaceticus* AH,     ◆   : *Acinetobacter* sp. YAA,  
                  ■   : *Alcaligenes* sp. YAJ.  
Donor : *E. coli* C600 (RP4)

➔ **Temperature affects transfer frequency**, while pH around neutral is less effect

# Risk assessment of horizontal gene transfer in environment

## Estimation of gene transfer frequency in soil environment <sup>a</sup>

Recipient (R)	24h		72h	
	Transconjugant (T) (CFU/g-wet soil) <sup>b</sup>	T/R (-)	Transconjugant (T) (CFU/g-wet soil) <sup>b</sup>	T/R (-)
<i>E. coli</i> HB101	N.D.	-	N.D.	-
<i>P. fluorescens</i> ATCC15553	$5.6 \times 10^1$	$1.7 \times 10^{-5}$	$2.2 \times 10^{-1}$	$2.4 \times 10^{-7}$
<i>A. hydrophila</i> GIFU3137	N.D.	-	$2.9 \times 10^2$	$5.4 \times 10^{-1}$
<i>A. tumefaciens</i> LBA4404	N.D.	-	$1.0 \times 10^2$	$1.2 \times 10^{-4}$
<i>S. meliloti</i> NBRC14782	N.D.	-	N.D.	-

<sup>a</sup> Average of triplicate experiment

<sup>b</sup> Not detected

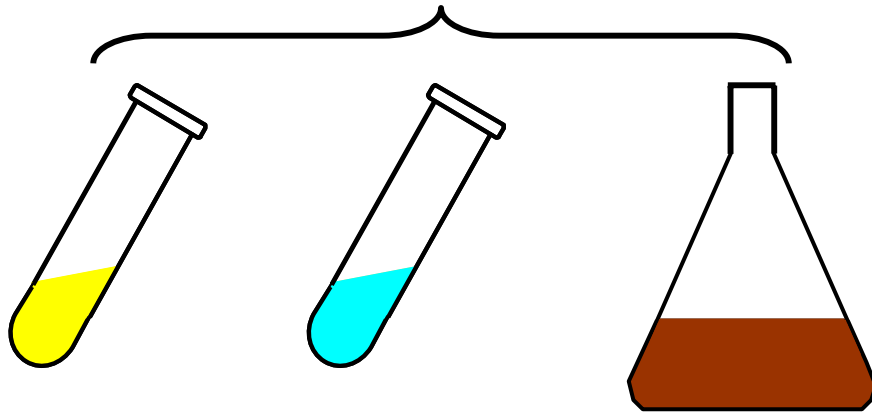


Less possibility of conjugative transfer in soil environment

# Risk assessment of horizontal gene transfer in environment

## Estimation of recipient potential : **Methods**

donor and recipient  
( $1.0 \times 10^7$  CFU/ml or g-wet soil)



Sterilized

- LB medium
- Environmental water
- Soil



**co-incubation**

for 24 h (all samples) and 72 h  
(soil sample) at 28 °C without  
shaking



Bacterial strains used

**Donor**

*Escherichia coli* C600(RP4)

**Recipient**

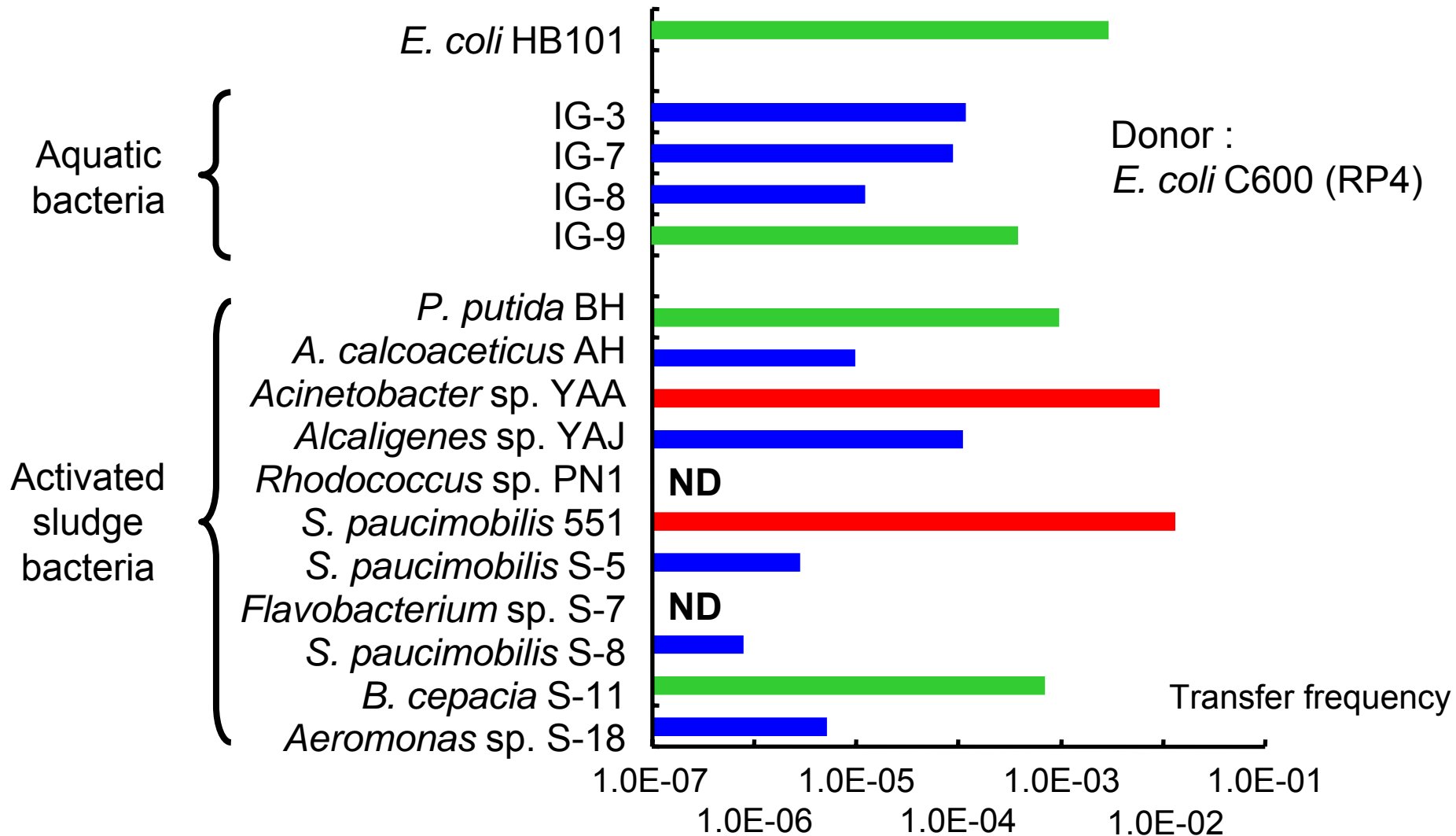
- reference  
*E. coli* HB101
- aquatic bacteria  
4 isolates (from river water)
- activated sludge bacteria  
11 isolates
- soil bacteria  
6 strains belonging to  
typical dominant species

**Transfer frequency**

= transconjugants / recipients

# Risk assessment of horizontal gene transfer in environment

## Aquatic and activated sludge bacteria as possible recipients



► Most of aquatic and activated sludge bacteria are possible recipients

## Conjugative transfer in aquatic samples

Recipient: *P. putida* BH

Co-incubation medium	TOC (mg/l)	Transconjugant (CFU/ml)	Transfer frequency (-)
LB medium	6,636	$4.6 \times 10^4 \pm 5.6 \times 10^3$	$9.3 \times 10^{-4} \pm 8.1 \times 10^{-4}$
Influent	68.3	$6.7 \times 10^2 \pm 4.5 \times 10^1$	$9.0 \times 10^{-5} \pm 4.2 \times 10^{-5}$
Effluent	21.6	$1.9 \times 10^3 \pm 7.8 \times 10^1$	$5.5 \times 10^{-5} \pm 1.1 \times 10^{-5}$
Pond water1	15.8	$2.1 \times 10^2 \pm 7.6 \times 10^1$	$5.5 \times 10^{-4} \pm 1.2 \times 10^{-4}$
Pond water2	51.5	$1.2 \times 10^1 \pm 1.3 \times 10^1$	$6.7 \times 10^{-6} \pm 9.6 \times 10^{-6}$
River water	36.5	$3.8 \times 10^2 \pm 4.6 \times 10^2$	$8.9 \times 10^{-3} \pm 1.1 \times 10^{-2}$

All the samples were sterilized before use.

Values are shown as mean  $\pm$  standard deviation (n=2-3).

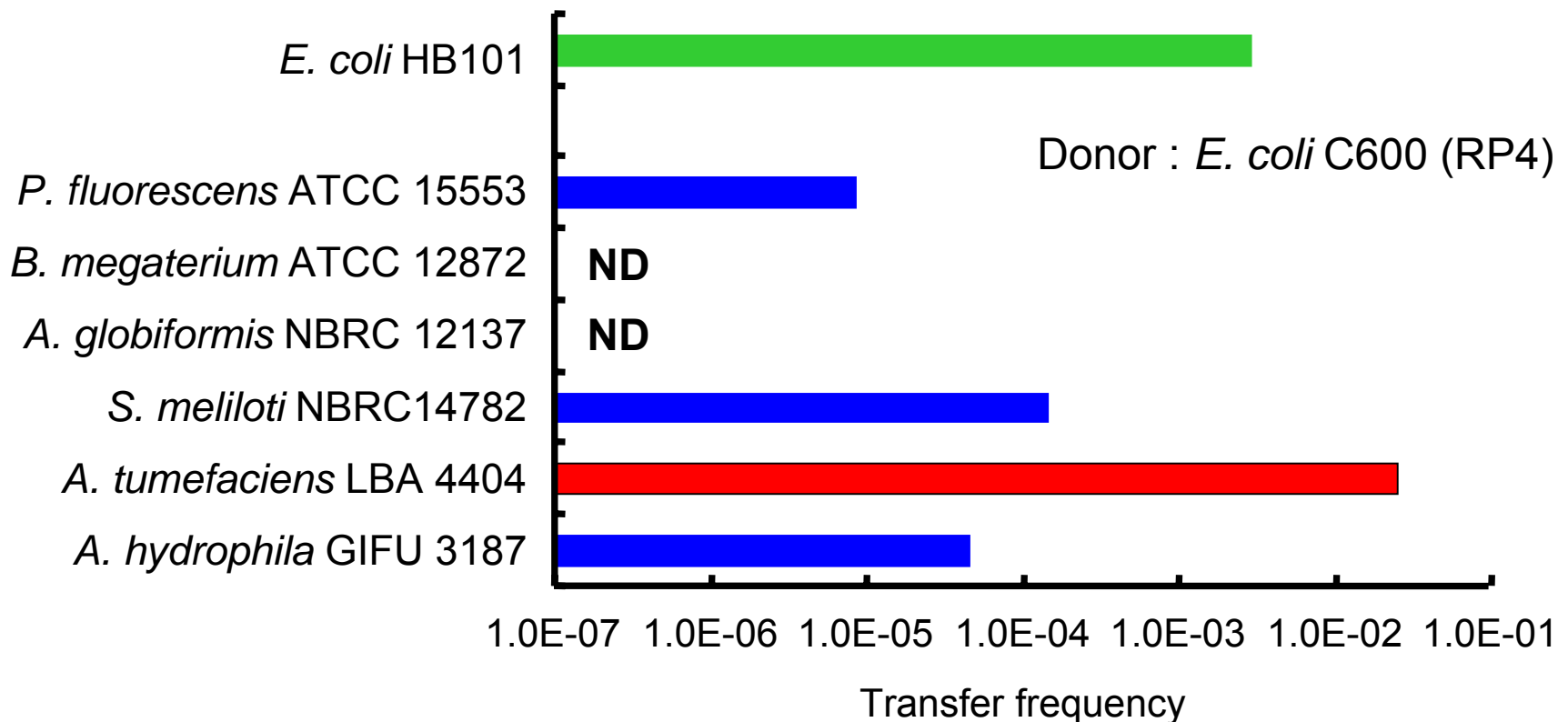
► Lower conjugative transfer frequency in aquatic samples than in LB medium by 1-3 orders of magnitude

→ Probably due to small amount of available nutrients



# Risk assessment of horizontal gene transfer in environment

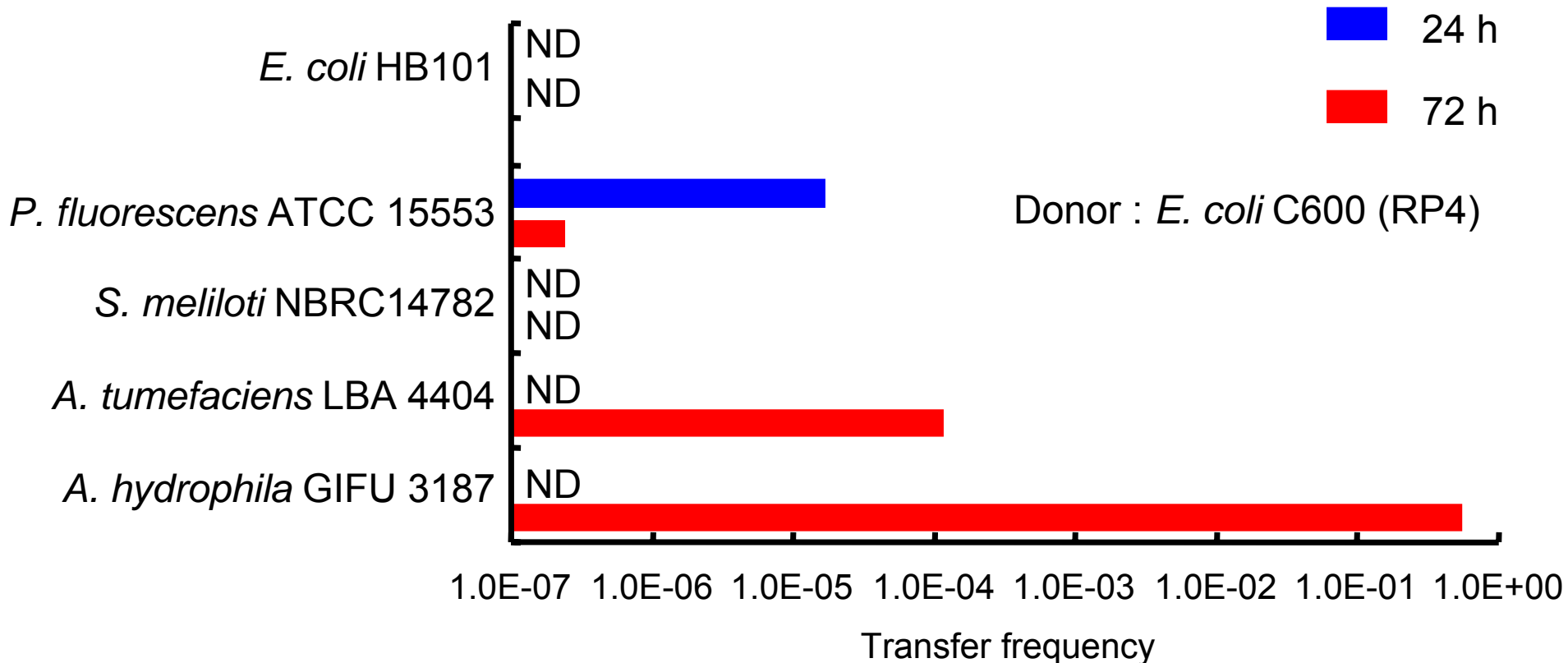
## Soil bacteria as possible recipients



- ▶ Gram negative soil bacteria are possible recipients
- ▶ No gram positive bacteria could acquire plasmid RP4
- ➔ Depending on the host range of plasmid

# Risk assessment of horizontal gene transfer in environment

## Conjugative transfer in sterilized soil



- ▶ Generally smaller transfer frequencies at 24 h in soil than those in LB medium
  - ➔ Probably due to (i) low chance of cell-to-cell contact
  - (ii) small amount of available nutrients

## Estimation of mobilizing potential : **Methods**

### Detection of mobilizer and genetic analysis of mobilizing plasmids

#### (i) Colony PCR and phylogenetic analysis

- Target gene : ***trbB*-like genes** in *tra* operon
- Primers : Designed by Disqué-Kochem *et al.* (2001)

#### (ii) Determination of Incompatibility (Inc) group

- Target gene : *trfA* gene (**IncP**); *oriV* gene (**IncW**), *kikA* gene (**IncN**)  
*rep* gene (**IncA/C**)
- Primers : Designed by Gotz *et al.* (1996) and Llano *et al.* (1996)

### Measurement of mobilizing potential of isolated mobilizers

#### Tri-parental mating

- Donor : *E. coli* JM109(pKT230)
- Recipient : *P. putida* BH
- Mobilizer : *E. coli* C600(RP4)  
Newly isolated mobilizers (5 strains)

#### • Co-incubation condition:

**Filter mating** on a nitrocellulose filter (0.22  $\mu\text{m}$ ) at 28 °C for 24 h

- Transfer frequency: Transconjugants per recipient

## Relative abundance of possible mobilizers

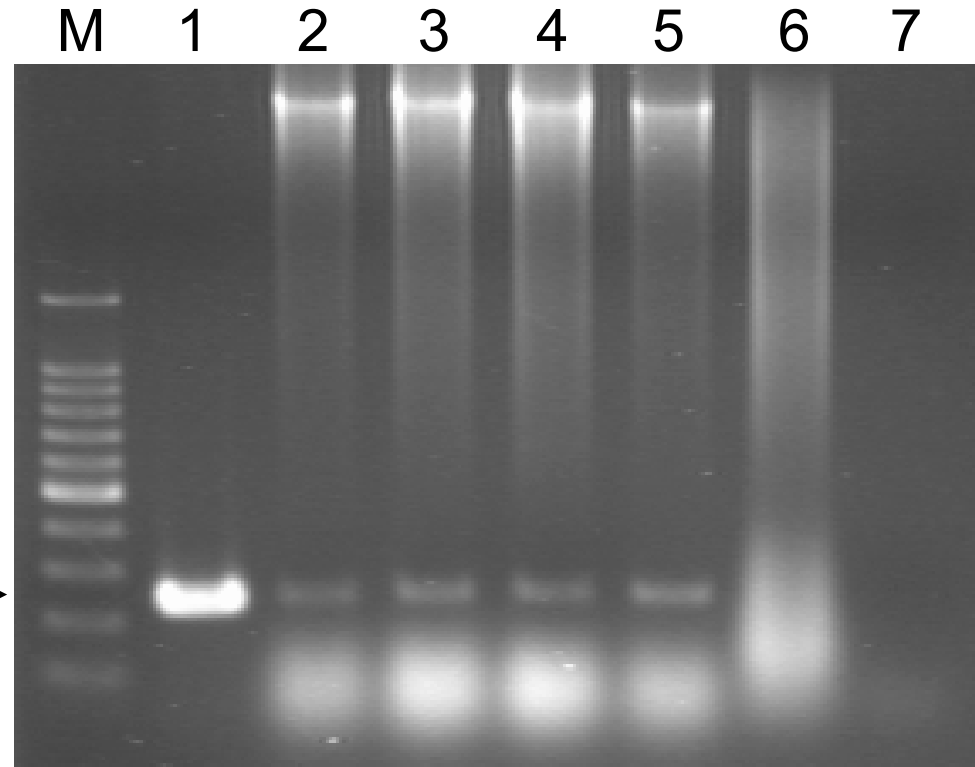
Environment	Colony PCR (%)	Taxonomical classification
Aquatic	5/263 (1.9)	<i>Chryseomonas luteola</i> (1) <i>Sphingomonas paucimobilis</i> (2) <i>Brevundimonas vesicularis</i> (2)
Activated sludge	3/68 (4.4)	<i>Moraxella</i> sp. (1) <i>Ochrobactrum anthropi</i> (1) Unknown (1)
Soil	2/240 (0.83)	<i>Fravobacterium</i> sp. (1) <i>Acinetobacter</i> sp. (1)
Total	10 / 571 (1.75)	-

- **Mobilizers are ubiquitous** in the environment especially in activated sludge

## Confirmation of Inc group of plasmids in mobilizers

PCR amplification by IncP specific primers

M : 100 bp DNA ladder  
lane 1 : RP4  
lane 2 : MR-18  
(activated sludge isolate)  
lane 3 : IM-2 (aquatic isolate)  
lane 4 : IM-48 (aquatic isolate) → 241 bp  
lane 5 : IU-7 (aquatic isolate)  
lane 6 : KO1-0-13 (soil isolate)  
lane 7 : negative control



- ▶ Most of plasmids harbored by isolated mobilizers belong to IncP (MR-18 also showed a positive signal when used IncA/C primers)  
→ Possibility as broad-host-range mobilizer

## Mobilizing potential of isolated mobilizer

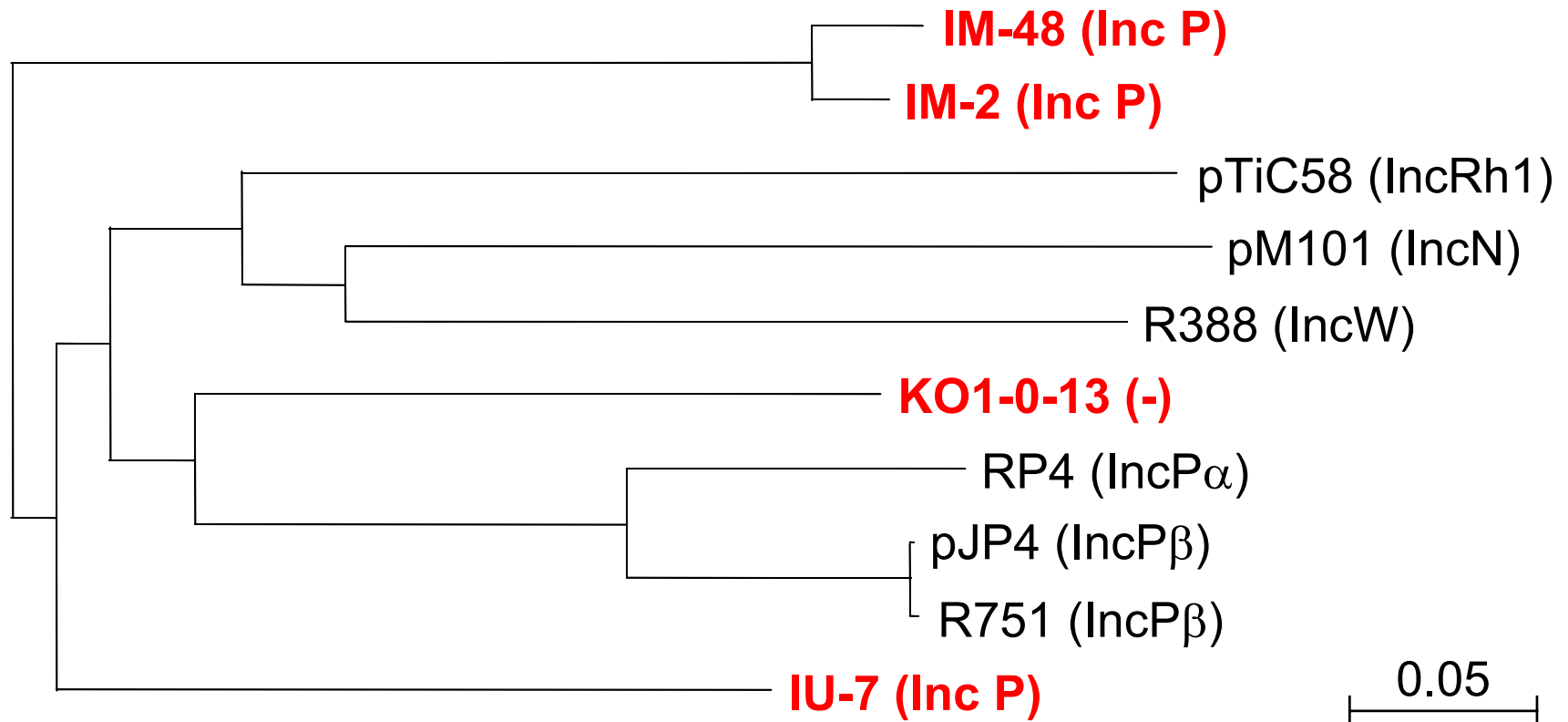
Mobilizer	Origin	Transconjugant (CFU/ml)	Transfer frequency
C600(RP4)	-	$9.8 \times 10^5$	$2.5 \times 10^{-3}$
MR-18	Activated sludge	ND	$< 3.8 \times 10^{-8}$
IM-2	Aquatic	ND	$< 1.9 \times 10^{-8}$
IM-48	Aquatic	ND	$< 2.0 \times 10^{-8}$
IU-7	Aquatic	ND	$< 5.6 \times 10^{-8}$
KO1-0-13	Soil	ND	$< 2.5 \times 10^{-9}$

ND : not detected

- ▶ No detectable mobilization by isolated mobilizers
  - ➔ Possibility of very low frequency of conjugative transfer

# Risk assessment of horizontal gene transfer in environment

## Diversity of *trbB*-like genes



- ▶ Plasmids of most isolated mobilizers are Inc P group (possibility of broad-host-range plasmid)
- ▶ *trbB*-like genes among known broad-host-range plasmids have sequence diversity

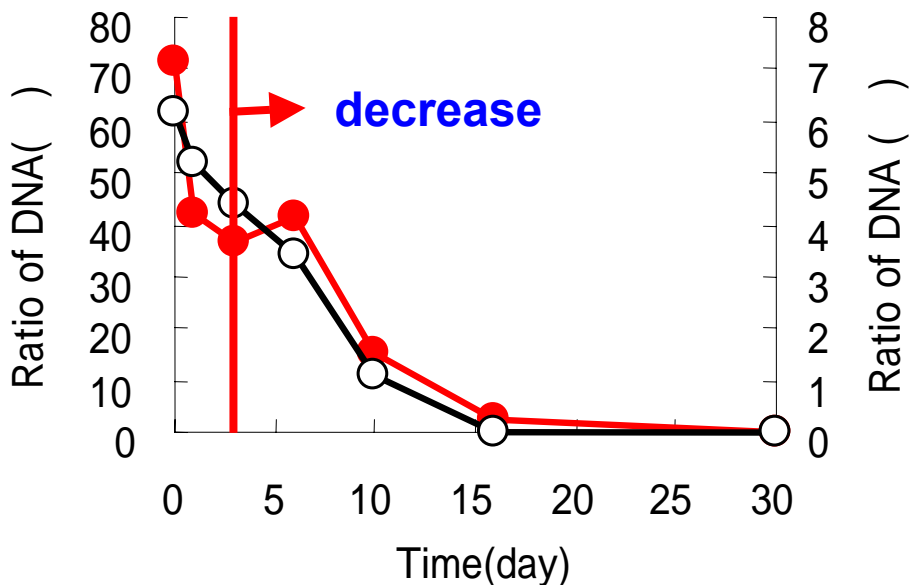
# Comprehension and modeling of the behavior of GEMs and GEDS

- ▶ Survival and persistence of GEMs and GEDS
- ▶ Occurrence frequency and survival of secondary GEMs
- ▶ Modeling of the behavior of GEMs and GEDS

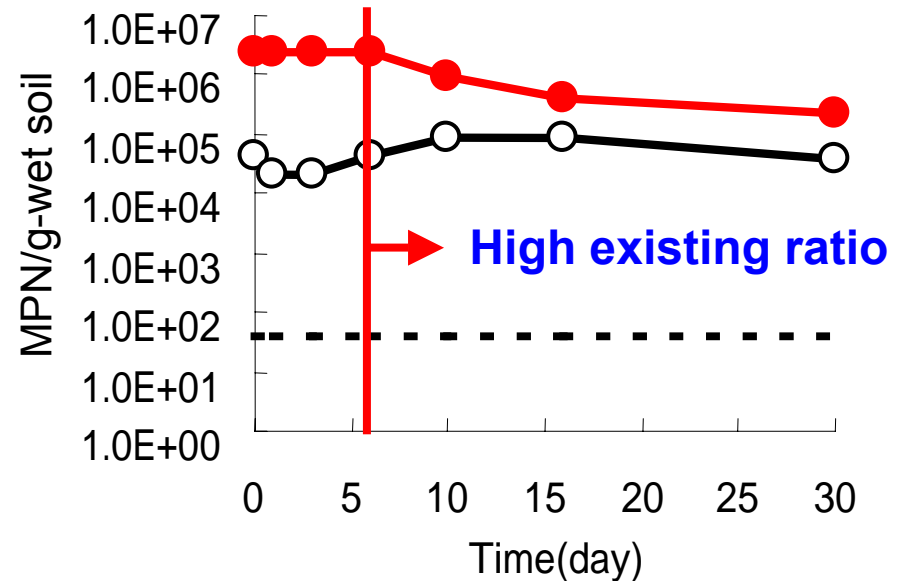


# Comprehension and modeling of the behavior of GEMs and GEDS

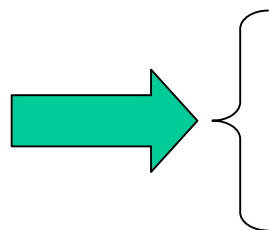
## Behavior of GEMs (*E. coli* C600 (RP4)) and GEDS (RP4) in soil microcosm



Microcosm I ( $10^8$  introduced system)



Microcosm II ( $10^6$  introduced system)



**Introduced GEMs rapidly decreased**  
**Possibility of the growth of transconjugant**

## Conjugative transfer model of plasmid

Model of the growth of *P. putida* BH (RP4) (Transconjugant:T) by transferring RP4 from *E. coli* C600(RP4) (Donor:D) to *P. putida* BH (Recipient:R)

Model : based on mass action model of Levin *et al.* (1979)

$$dD/dt = \mu_D D - kD$$

$$dR/dt = \mu_R R - \gamma_D DR - \gamma_T TR$$

$$dT/dt = \mu_T T + \gamma_D DR + \gamma_T TR$$

$$\mu_D = \mu_{Dmax} (1 - D/D_{max})$$

$$\mu_R = \mu_{Rmax} \{1 - (R + T)/R_{max}\}$$

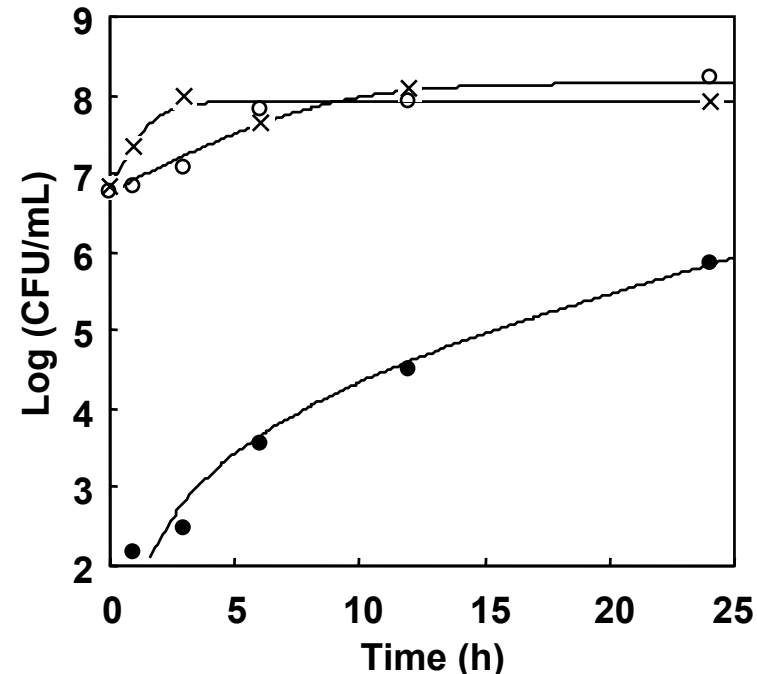
$$\mu_T = \alpha \mu_{Rmax} \{1 - (R + T)/R_{max}\}$$

t : time

D : Conc. of donor (CFU ml<sup>-1</sup>)

R : Conc. of recipient (CFU ml<sup>-1</sup>)

k : decay coefficient



### Parameters

$\mu_{Dmax}$	1.6 h <sup>-1</sup>
$\mu_{Rmax}$	0.38 h <sup>-1</sup>
$D_{max}$	8.2 x 10 <sup>7</sup> CFU ml <sup>-1</sup>
$R_{max}$	1.1 x 10 <sup>8</sup> CFU ml <sup>-1</sup>
$\alpha$	0.48
$\gamma_D$	3.8 x 10 <sup>-13</sup> ml CFU <sup>-1</sup> h <sup>-1</sup>
$\gamma_T$	1.5 x 10 <sup>-9</sup> ml CFU <sup>-1</sup> h <sup>-1</sup>

Start ~ 10h  
from donor

after 10h  
from  
transconjugant

conjugative transfer  
occurred

x : Donor, o : Recipient, ● : Transconjugant

Real line from model calculation

# Estimation of the effect to microbial community

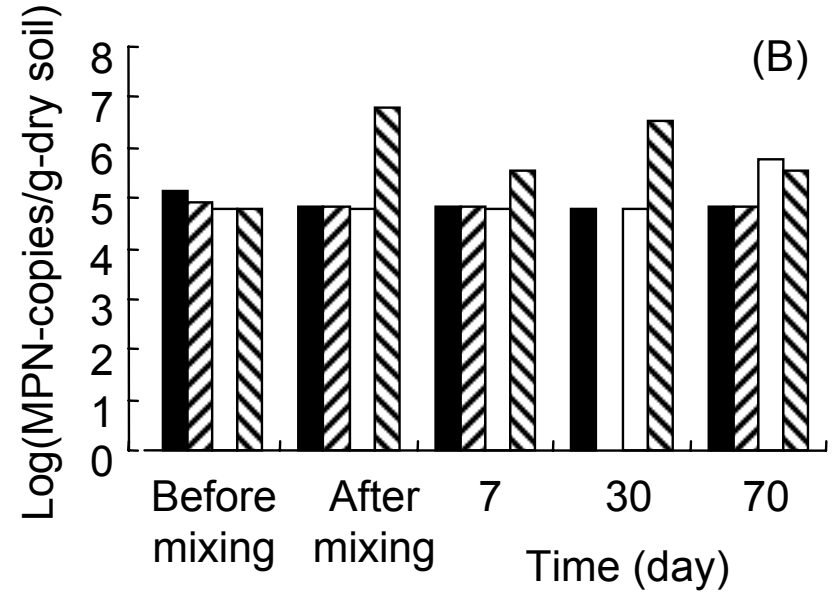
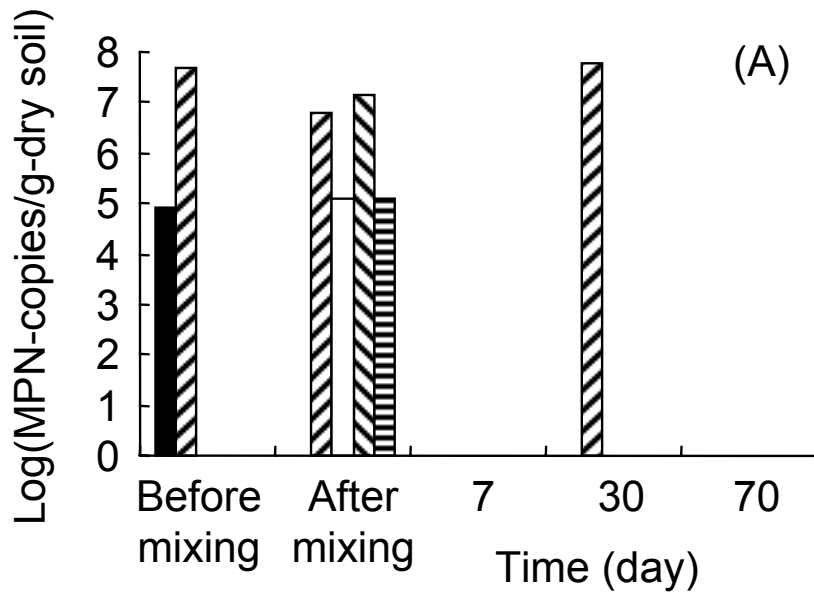
- ▶ Effect on element cycling function
- ▶ Effect on diversity of microbial community
- ▶ Estimation of the risk to indigenous microbial community

## Estimation of the effect to microbial community



Bioremediation site of the oil polluted soil

# Field experiment – Behavior of functional bacteria during bioremediation of oil polluted soil-



(A) Aromatic compounds and alkane degrading genes

Symbols; ■: C12O, ▨: C23O genes (Site 1), □: C12O, ▩: C23O, ≡: ALK3 genes (Site 2)

(B) Nitrous cycling genes

Symbols; ■: *amoA*, ▨: *nirK* genes (Site 1), □: *amoA*, ▩: *nirK* genes (Site 2)

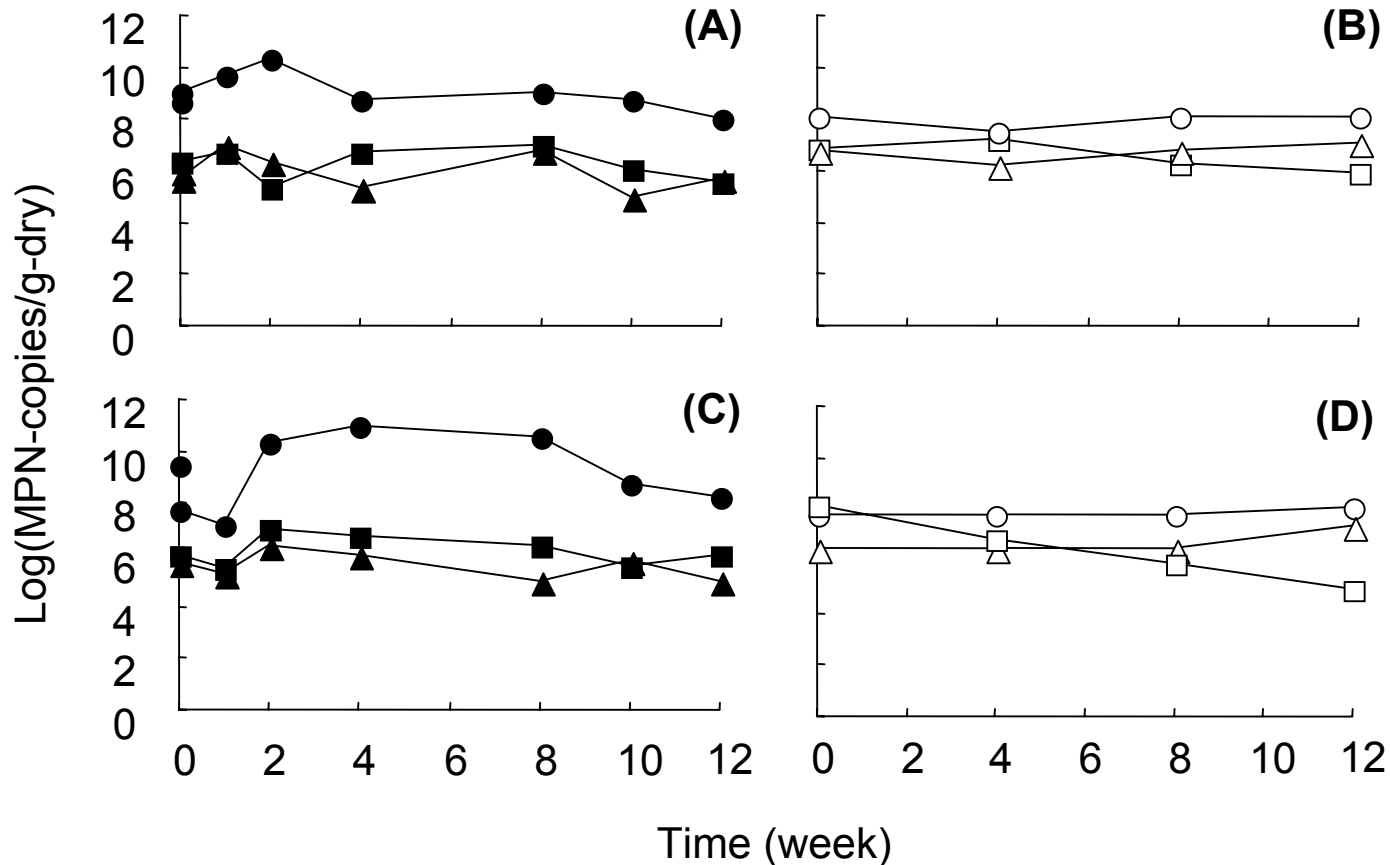


Aromatic compounds and alkane degrading bacteria in introduced black dirt decreased under detection limit

Almost no effect on nitrous cycling bacteria

## Estimation of the effect to microbial community

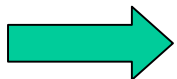
# Field experiment – Behavior of functional bacteria during bioremediation of dioxin polluted soil-



(A) and (B) Site A, (C) and (D) Site C

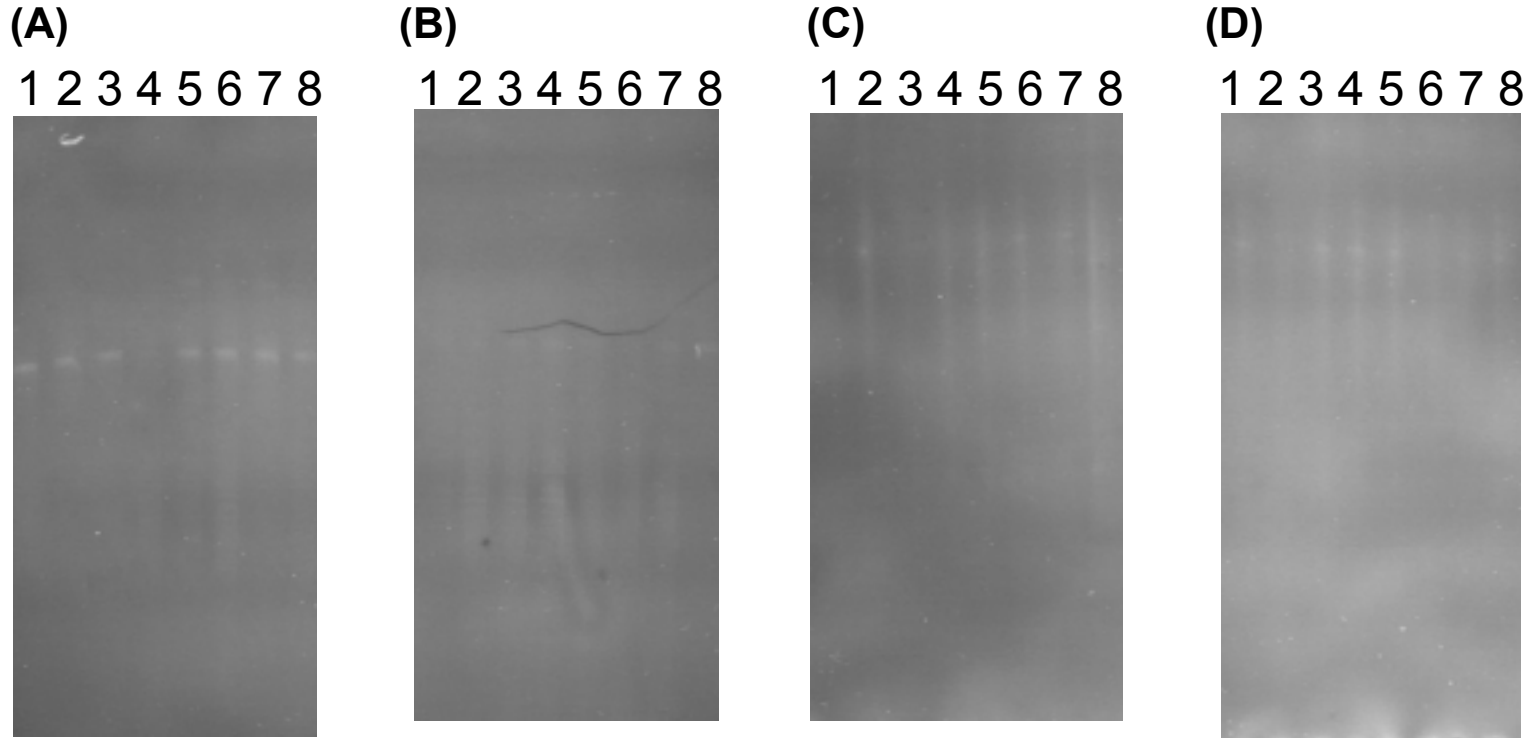
Symbols: 16S rDNA (●), *amoA* (■), *nirK* (▲) genes

ammonium oxidizing bacteria (○), nitrite oxidizing bacteria (□), denitrifying bacteria (△)



Increase of 16S rDNA and decrease of denitrifying bacteria in Site C

# Field experiment –Change of microbial community structure during bioremediation of dioxin polluted soil-



Change of 16S rDNA DGGE banding pattern during bioremediation of dioxin polluted soil using white rot fungi

(A) Site A, (B) Site C, (C) Site G1, (D) Site G2

Lane 1, 0 w (before introducing white rot fungi); lane 2, 0 w (after introducing white rot fungi); lane 3, 1 w; lane 4, 2 w; lane 5, 4 w; lane 6, 8 w; lane 7, 10 w; lane 8, 12 w

**No remarkable change was observed**

# Conclusion of experimental results

- I. Understanding of inherent risk in indigenous bacteria :  
Microarray was almost developed to monitor pathogenic and other bacteria  
Pathogens 1012 species, Other bacteria 912 genera
- II. Modeling and collecting parameters for risk assessment of environmental release of GEMs :  
Horizontal gene transfer potential in soil environment is almost understood  
About 1 % of soil bacteria are possible mobilizer  
Gene receptive potential in soil bacteria is about  $10^{-2}$   
Gene transfer is strongly affected by temperature, concentration and components of organic compounds  
Possibility of conjugative transfer in soil environment is quite low
- III. Accumulation of **data from actual bioremediation**  
Accumulating data on the behavior and effects of GEMs and GEDS  
Labo-scale: **GEMs rapidly decreased, while GEDS remained**  
Model was developed for understanding their behavior  
Field-scale: **Introduced bacteria decreased under the detection limit, and almost no effect to microbial community**



# Further perspectives

- ▶ Development of model for risk estimation
- ▶ Accumulation of monitoring data from actual remediation site

Accumulation of many data, parameters for modeling and proper estimation of risk will encourage the safe, effective, and accurate bioremediation project using GEMs